



UNIVERSITY OF CAPE TOWN  
NanoSciences Innovation Centre

David T Britton

# Nanoscience, Nanotechnology, and Nanovation

## **NANOSCIENCE**

Basic and Applied Research into nanostructured materials and nanoscale processes.

## **NANOTECHNOLOGY**

Applied Research and Development using nanoscale materials and nanoscale processes

## **NANOVATION**

Social and Commercial Innovation enabled by Nanotechnology and founded on Nanoscience

But, what is Nano?



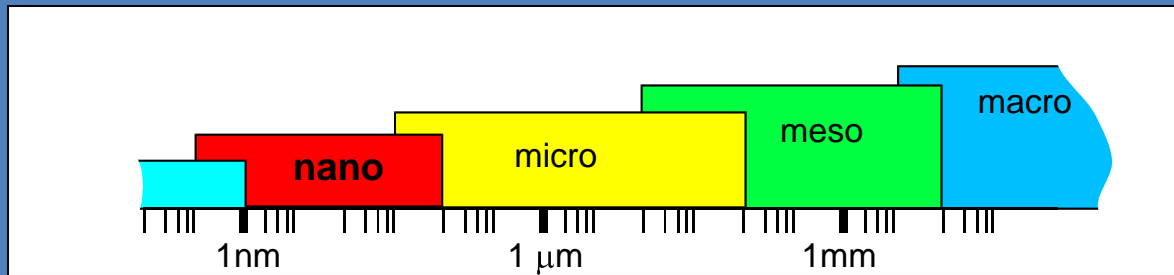
# What is nano?

Attic Greek for “dwarf”



Nano- is the SI prefix for  $10^{-9}$

Nano- usually refers to sizes 1 to several hundred nm  
(no fixed limits)



**Nanomaterials:** pieces of material with at least two external dimensions in the nanoscale. The remaining dimensions are usually microscopic or mesoscopic.

**nanoparticles, nanorods, nanotubes and nanofibres**

**Nanostructures:** objects which have been fabricated with nanoscale feature sizes.

**transistors in a silicon chip, X-ray mirrors**

**Nanostructured materials:** mesoscopic or microscopic pieces of material with an internal structure with at least one dimension in the nanoscale.

**nanocomposites and nanocrystalline materials**



# Is Nano Safe?

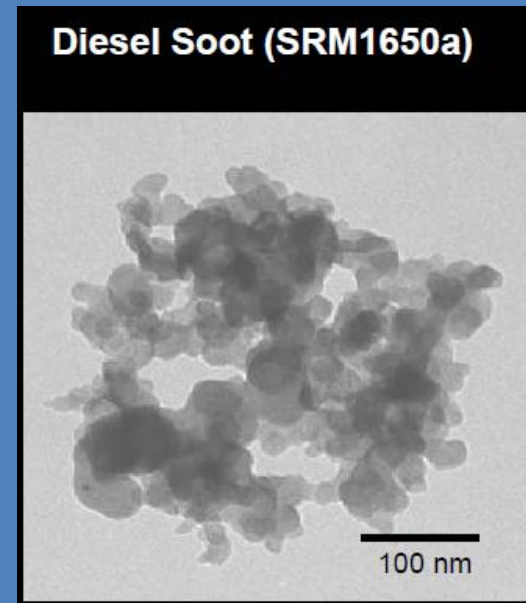
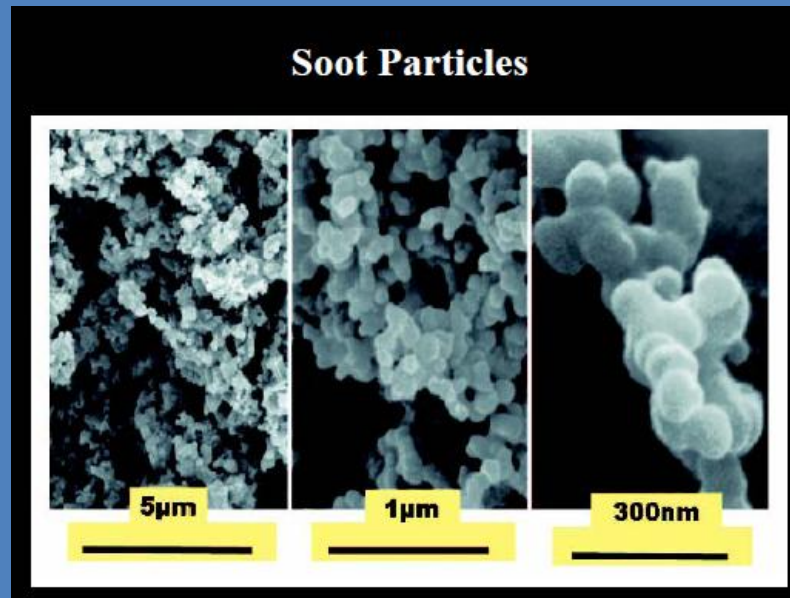
Most concerns are around nanomaterials

So far there is no legal definition of different nanomaterials or nanotechnologies

ISO Technical Standard 80004 (2010) & SA technical Standard 8004 (2011) for **vocabulary** in draft.

SA Legislation only in Hazardous Substances Act 15 of 1973 (as amended)

Draft Risk assessment ISO Technical report 13121



Nanomaterials are already here, and have been for a long time



# NanoSciences

Nanoscience is the study of materials, properties and phenomena on the nanoscale  
The NanoSciences are physics, chemistry and biology

## Size Effects

Metals become insulators

Metals and semiconductors become transparent

## Structure Changes

Gases become solid

## Surface properties dominate

- Gold melts at room temperature
- Insulators become conductors
- Colours change
- Chemical reactivity changes
- Different forces become important



British Museum

Lycurgus Cup (c. 400 ad)  
Gold nanoparticles in glass  
are **yellow in reflection**,  
**red in transmission**



University of Akron

So why is Nano so important, now?



# NanoTechnology

Nanotechnology is the use of nanoscale materials and processes to do something useful

## Generations of Nanotechnology

**Generation 1** established Nanotechnology

Pigments  
Catalysis  
Colloids  
Composites

**Generation 2** new developments

Nanoporous membranes,  
sensors,  
printed electronics  
solar cells  
Nanocomposite materials  
Ultra Large Scale Integration Chips  
Synthetic antibodies

**Generation 3** distant future

Molecular machines  
Single molecule transistors  
Synthetic virus  
Self replicating structures

**Generation 4** science fiction?

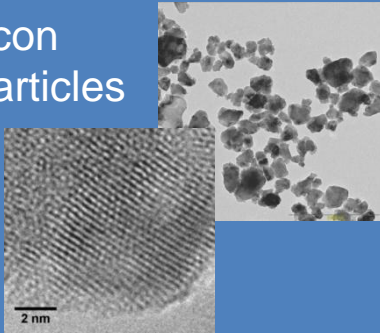
Nanobots  
Grey goo  
Nanosurgery  
(all exist in nature)



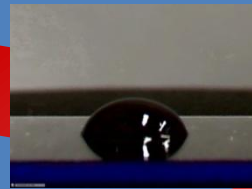
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# NanoTechnology: Printed Silicon

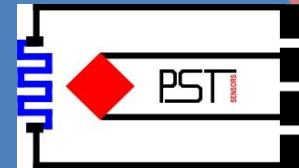
silicon  
nanoparticles



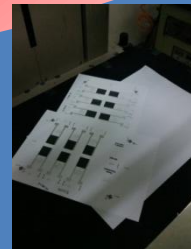
ink formulation



device design



printing  
on any  
substrate





# Nanotechnology: Why Silicon?

convenient band gap  $\sim 1.1$  eV  
energy where “nature also works”



$\sim$  atomic energy  
level spacing  
↓  
good coupling to  
metals for contacts

$\sim$  energy of visible  
light  
↓  
light sensitivity

thermal excitation  
without saturation  
↓  
temperature  
sensitivity

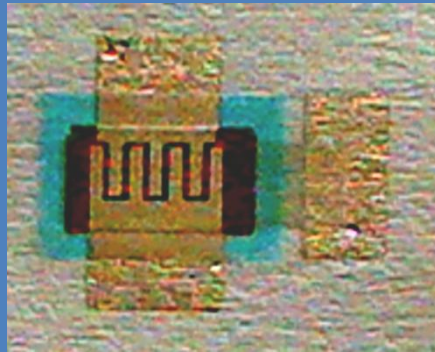
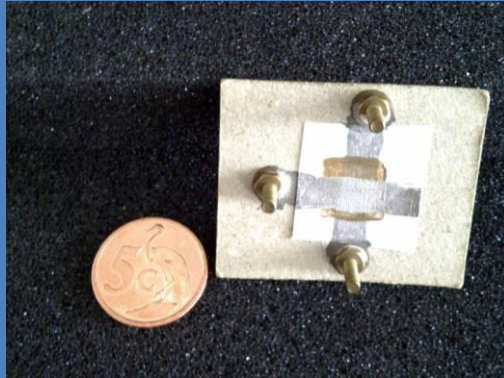
**Silicon does not need band gap engineering**

Silicon is the most widely studied and most widely used semiconductor  
Silicon is safe and non-toxic

In 2001 everybody knew : Printed Electronics = Plastic Electronics



# First Transistor printed in 2002



Härting, Gamota, Zhang & Britton,  
Appl Phys Lett 2009

It works...

...HOW?



US008026565B2

## (12) United States Patent Harting et al.

(10) Patent No.: **US 8,026,565 B2**  
(45) Date of Patent: **Sep. 27, 2011**

(54) **THIN FILM SEMICONDUCTOR DEVICE  
COMPRISING NANOCRYSTALLINE  
SILICON POWDER**

(75) Inventors: **Margit Harting**, Mowbray (ZA); **David Thomas Britton**, Cape Town (ZA)

(73) Assignee: **University of Cape Town**, Cape Town (ZA)

(\* ) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 175 days.

(21) Appl. No.: **10/543,475**

(22) PCT Filed: **Jan. 30, 2004**

(86) PCT No.: **PCT/IB2004/000221**

§ 371 (c)(1),  
(2), (4) Date: **Mar. 8, 2006**

(87) PCT Pub. No.: **WO2004/068536**

PCT Pub. Date: **Aug. 12, 2004**

(65) **Prior Publication Data**

US 2006/0199313 A1 Sep. 7, 2006

(30) **Foreign Application Priority Data**

Jan. 30, 2003 (ZA) ..... 2003/0849

(51) **Int. Cl.**  
**B82B 00/00** (2006.01)  
**B82Y 20/00** (2011.01)

(52) **U.S. Cl.** ..... **257/462; 257/E25.009; 257/E29.056; 257/E31.041; 257/E31.073; 257/E27.125; 438/149; 438/161; 438/216; 977/775; 977/778; 977/785**

(58) **Field of Classification Search** ..... 257/E25.009, 257/E27.124, E27.126, E25.007, 462, E31.052, E31.073, E31.041, E29.056, E29.117, E27.125, 136/252; 977/773-775, 778-779, 783, 785, 977/787-788; 438/149, 161, 164, 216, 280  
See application file for complete search history.

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*Primary Examiner* — Matthew Landau

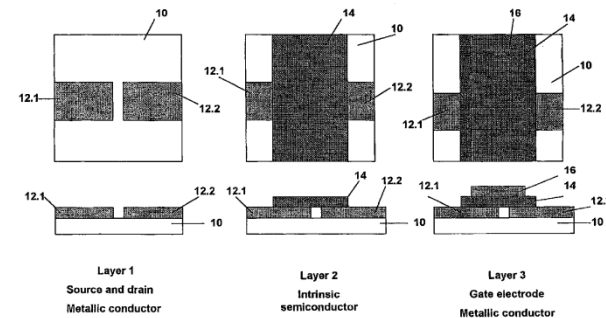
*Assistant Examiner* — Malihah Malek

(74) *Attorney, Agent, or Firm* — Frommer Lawrence & Haug LLP; Ronald R Santucci

(57) **ABSTRACT**

A thin film semiconductor in the form of a metal semiconductor field effect transistor, includes a substrate **10** of paper sheet material and a number of thin film active inorganic layers that are deposited in layers on the substrate. The active layers are printed using an offset lithography printing process. A first active layer comprises source **12.1** and drain **12.2** conductors of colloidal silver ink, that are printed directly onto the paper substrate. A second active layer is an intrinsic semiconductor layer **14** of colloidal nanocrystalline silicon ink which is printed onto the first layer. A third active layer comprises a metallic conductor **16** of colloidal silver which is printed onto the second layer to form a gate electrode. This invention extends to other thin film semiconductors such as photovoltaic cells and to a method of manufacturing semiconductors.

**23 Claims, 6 Drawing Sheets**



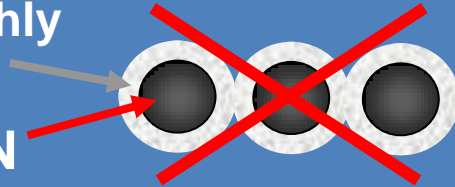




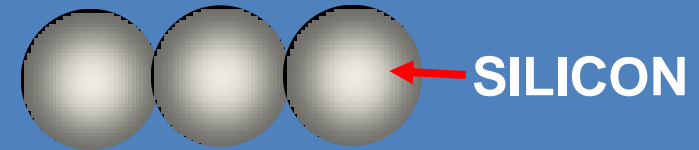
# NanoScience: Silicon Nanoparticles

No capping layer

SiO<sub>2</sub> is highly  
insulating  
**SILICON**



Electrical contact between particles



Britton and Härting, Pure & Appl. Chem. 2006

No Confinement or only weak localization

Particle size > 10 nm

No Luminescence

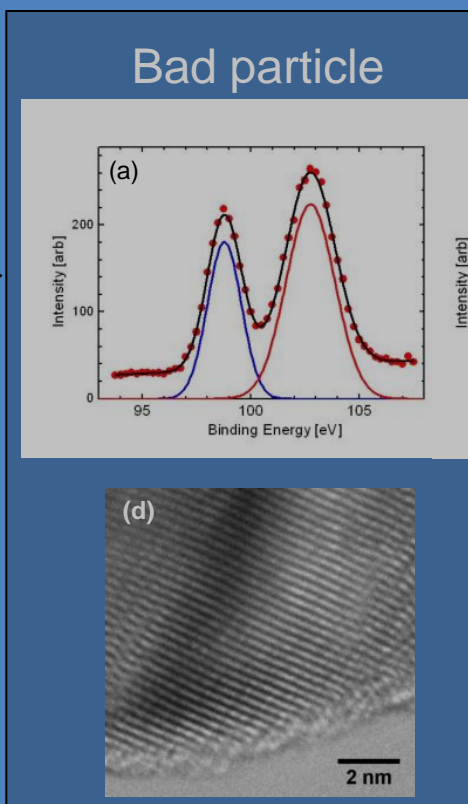
e.g 1.6 eV luminescence band competes with electrical conductivity  
– either surface or size effect.

**Control of the particle surface and interparticle interface  
is essential**

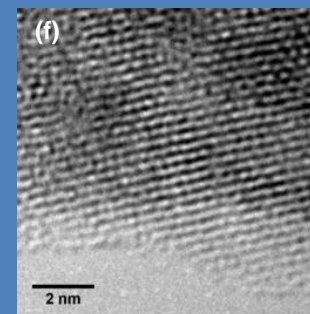
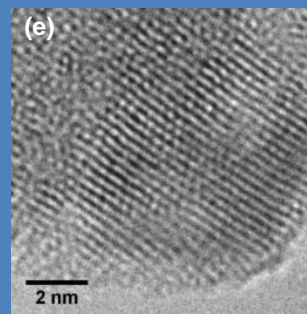
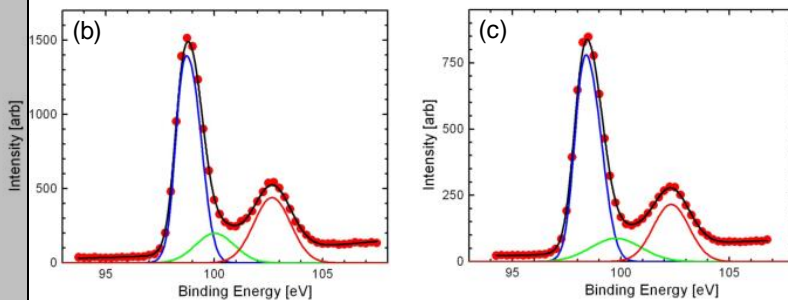


# NanoScience: Silicon Nanoparticles

Si 2p XPS



**Good Particles**

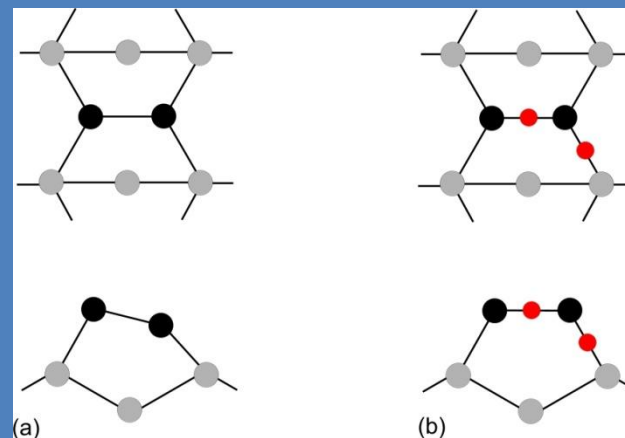
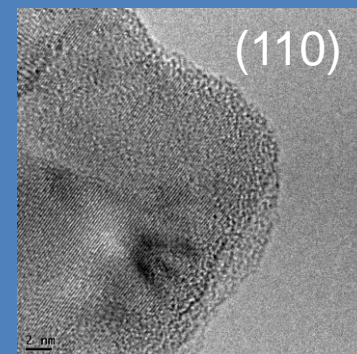
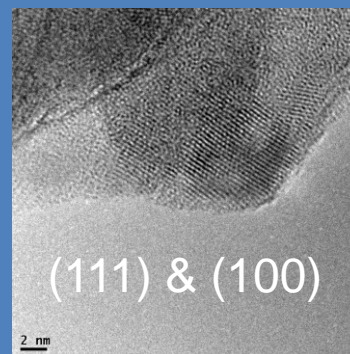
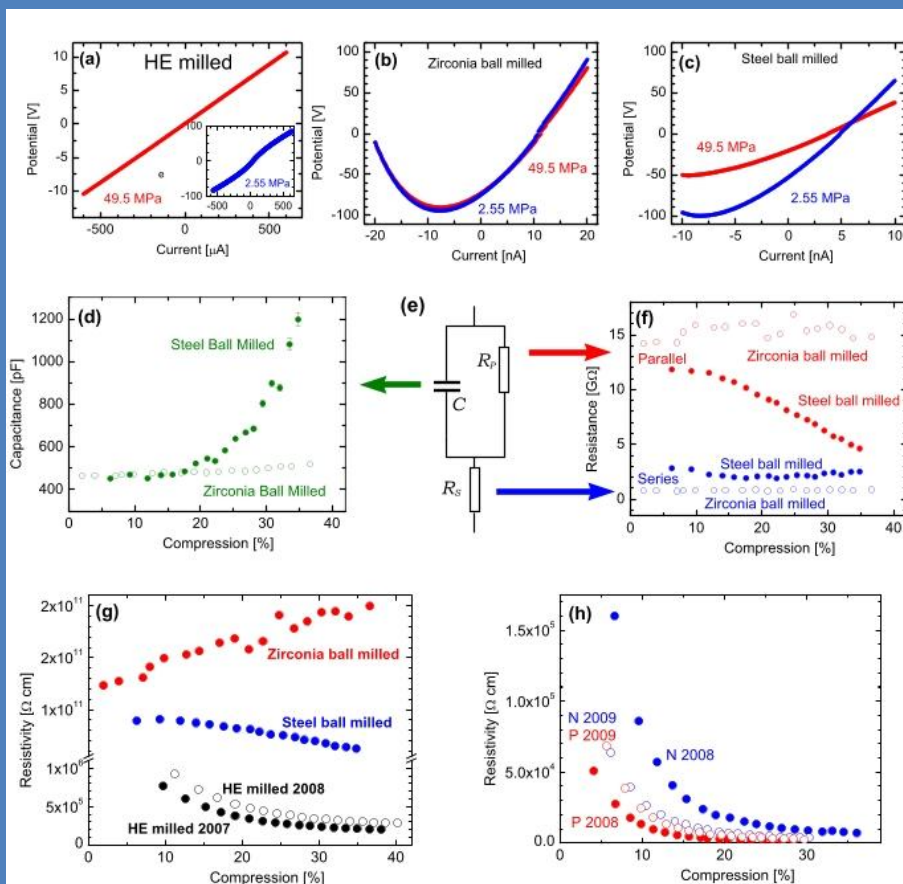


HRTEM





# NanoScience: Silicon Nanoparticles





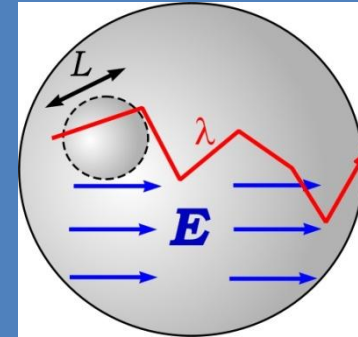
# NanoScience:

## Nanoscale Charge Transport

Transport within a single particle or grain.

Ballistic for small particles at low temperature.

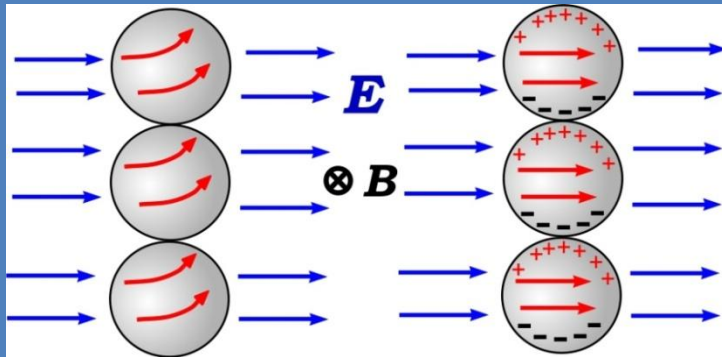
Limiting constant mobility



Drift in large particles at high temperature.

Mobility is dominated by scattering processes

$$\vec{J} = q_h \mu_h - n_e \mu_e \vec{E}$$



Hall Mobility is a local measurement.

Charge collection at boundary opposes magnetic force.

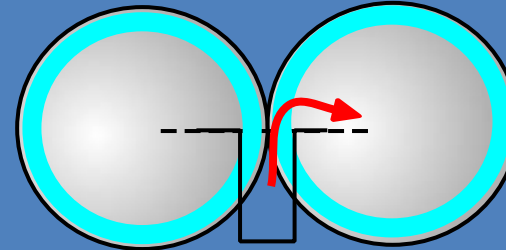
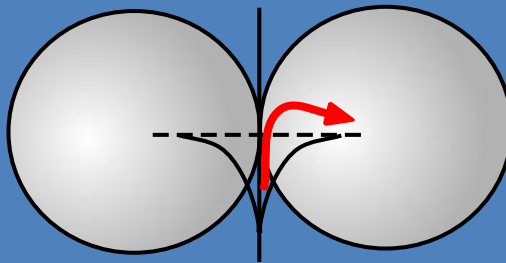
Hall mobility is independent of particle size.

But... particle size affects the carrier concentration.



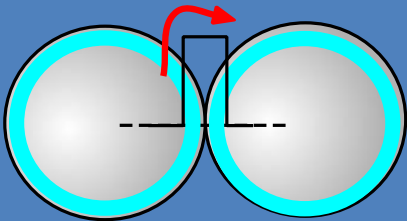
## Microscale Charge Transport

Charge collection at interface depletes carriers (depletion layer)  
Could also be a real well.

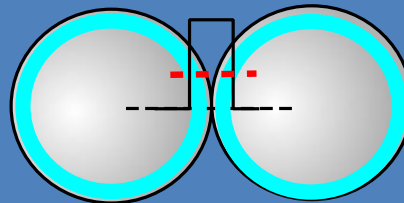


- Single range hopping between weakly localised states
- FE mobility low - similar to a-Si:H (except variable range)

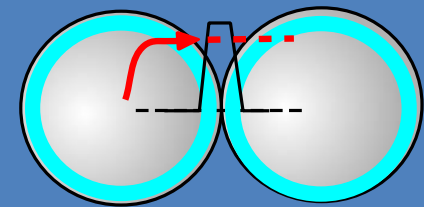
Also possible to have a barrier between particles



thermal activation



tunneling

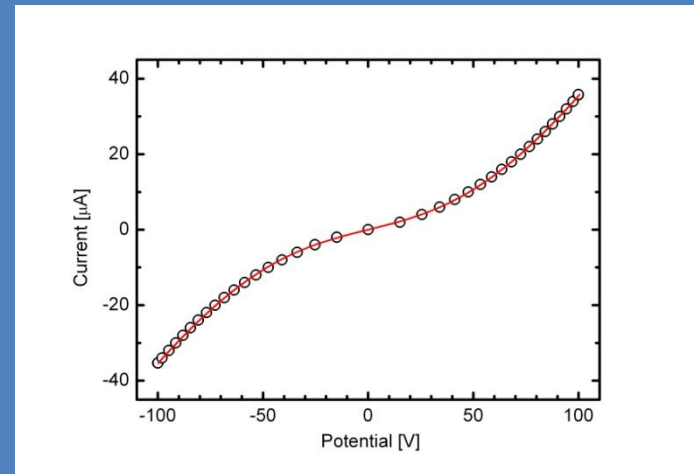
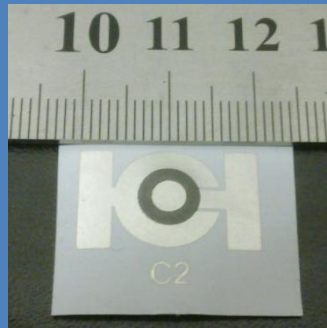


thermally  
activated tunneling

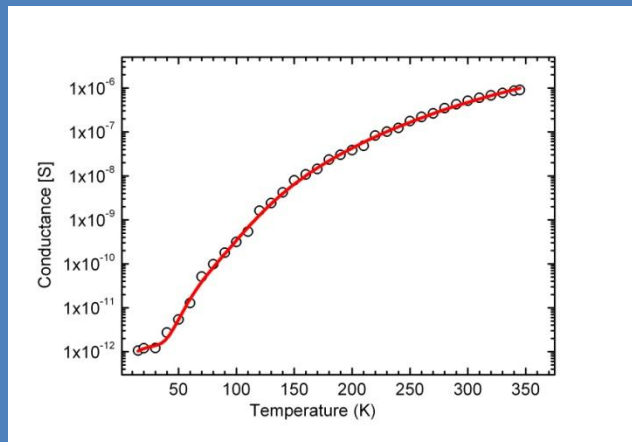


# NanoScience: Microscale Charge Transport

## Electrical properties of printed silicon



$$I = I_0 \left( \exp \left( \frac{e(V - IR_s)}{nkT} \right) - \exp \left( -\frac{e(V - IR_s)}{nkT} \right) \right)$$



4 Activation energies

0.7 ± 0.4 meV

33 ± 3 meV

93 ± 9 meV

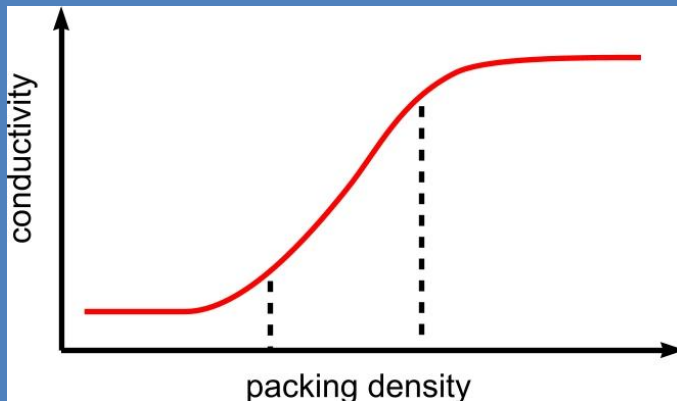
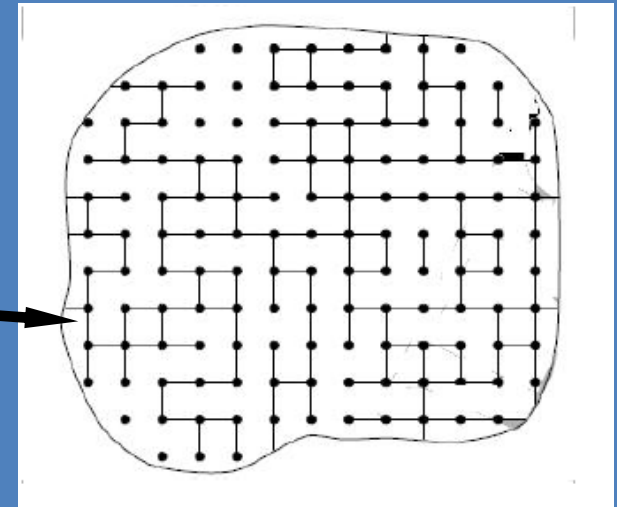
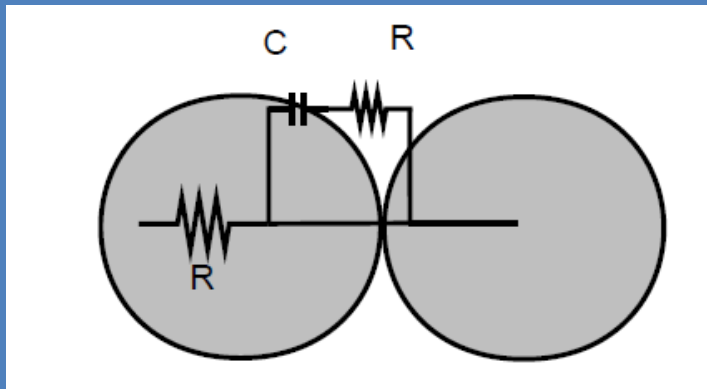
180 ± 35 meV



# NanoScience: Mesoscale Charge Transport

Resistive and capacitive links  
between particles

Bond percolation model

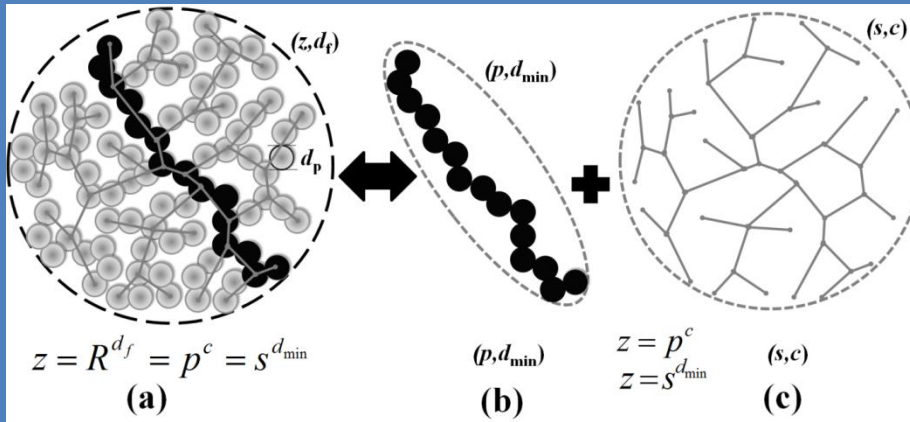


Two percolation thresholds

- Matrix (binder) dominates at low C
  - Particle interconnections at high C
- Interesting behavior in between

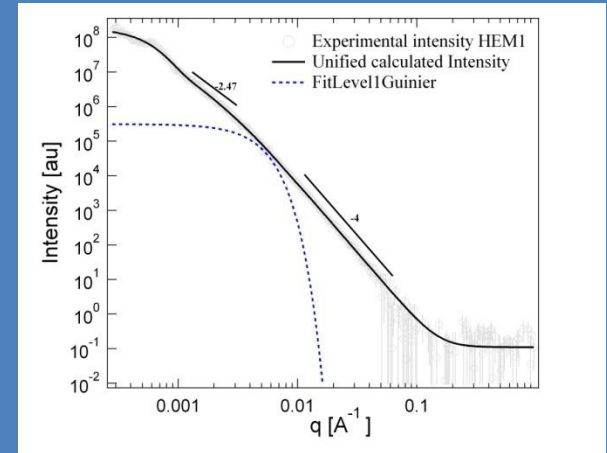


# NanoScience: Mesoscale Structure



Rai et al, J. Chem. Phys 2012

Can use a “polymer chain” model to describe nanoparticle structures



Jonah et al, J. Nanopart. Res. 2012

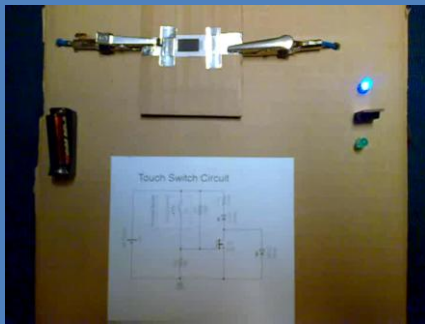
Fluid flow determines large scale structure, but not local packing.



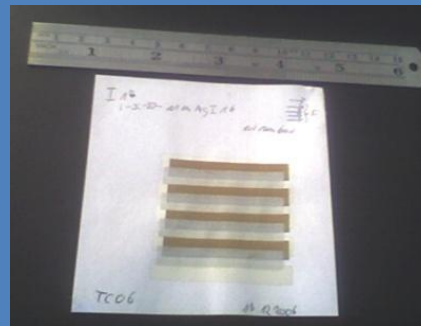


# NanoTechnology: Printed Silicon Applications

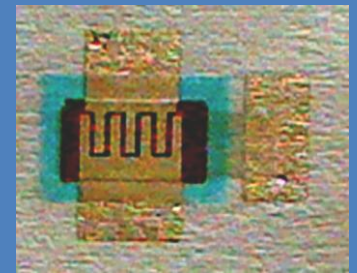
Electronic Devices using Silicon Nanoparticles



Touch switch



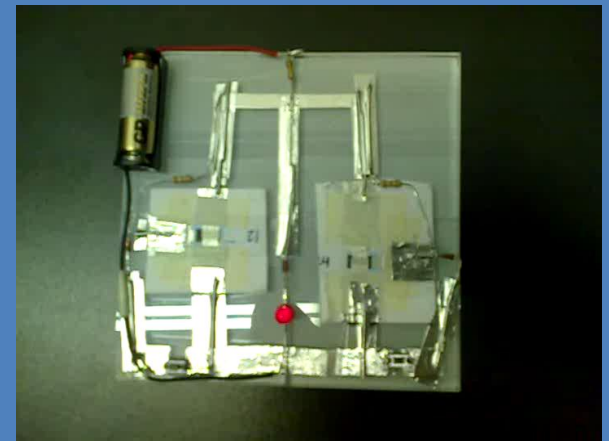
Solar cell



Printed silicon transistor



Temperature sensor

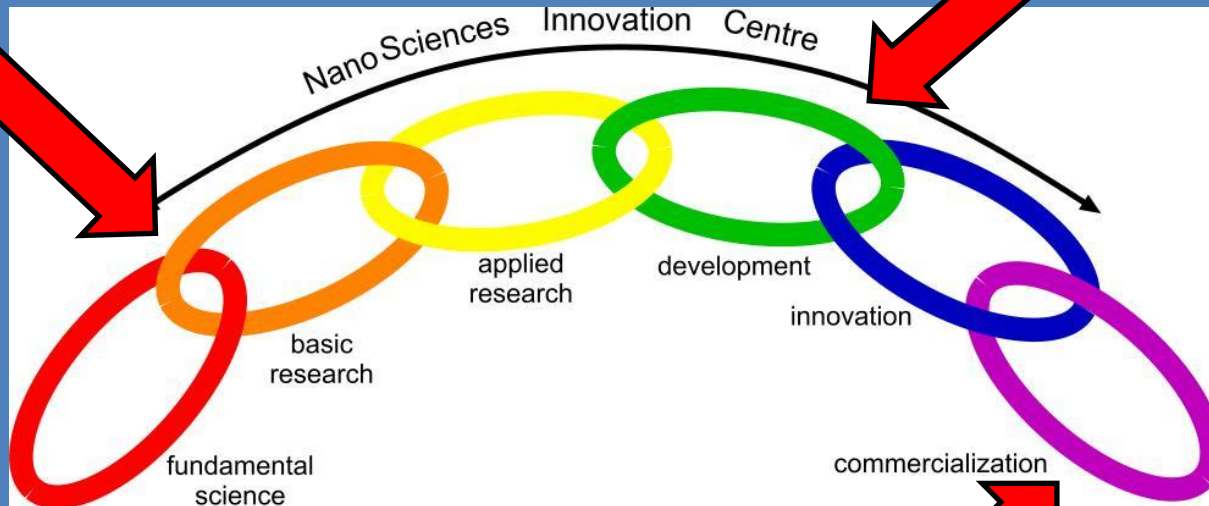




# Innovation: 3 Golden Rules

Understand  
the basics

Don't copy -  
Innovate

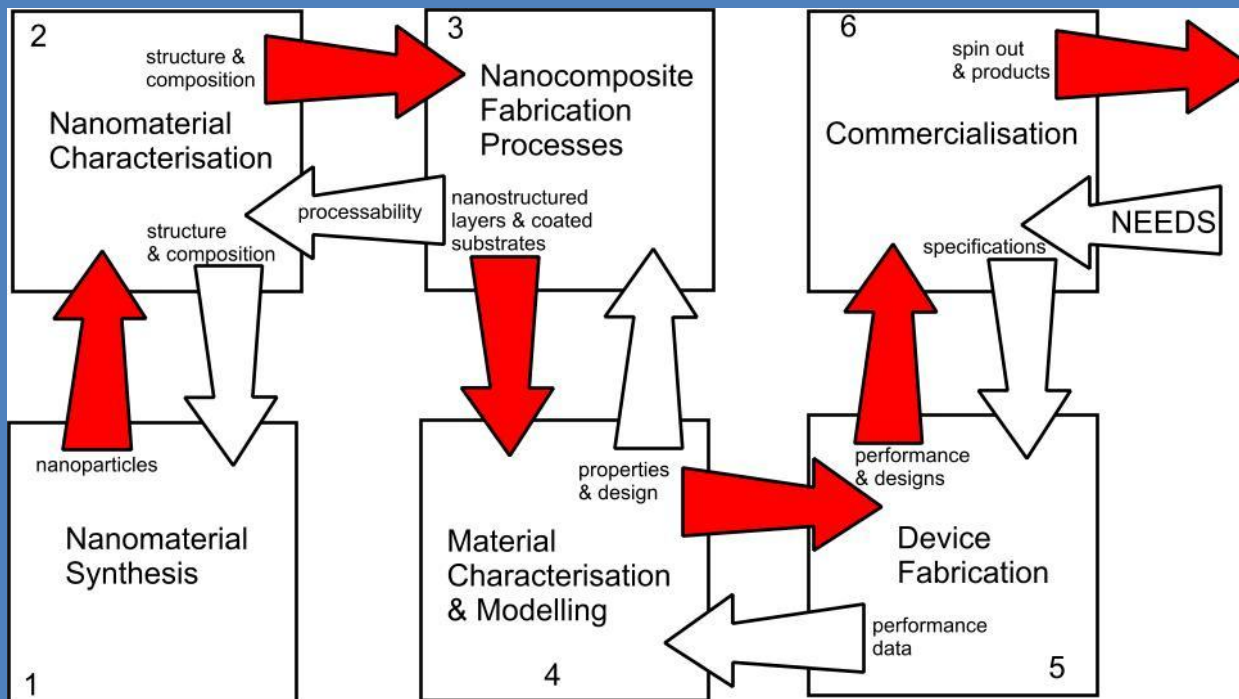
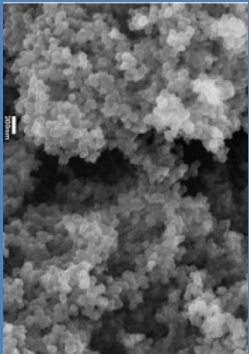


Make something  
somebody wants



# Innovation: Technology Road Map

To get  
from  
here...



... to  
here

needs a holistic view of the innovation chain  
and collaboration



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# Innovation: Partnerships

Collaboration

Joint Development

Supply/Licensing

R&D

Technology platform

KILLER APP!

Product Level Integration

System Level Integration

Product or Service

Customer/  
Society  
NEEDS



Other Technology



Other  
Materials &  
Processes



Market  
Channels

Access  
to  
Facilities

Validation





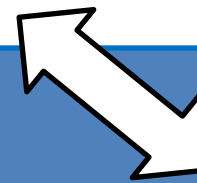
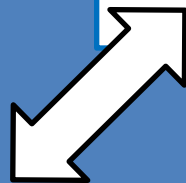
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# Structured Partnerships




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Materials research, device physics,  
IP generation



Technology  
Development



Product development,  
commercialisation



Capacity building,  
application  
development, social  
innovation





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# Nanovation: The Killer App!

The first product is not what you expect it to be

Work closely with marketing and business experts to identify the best opportunity

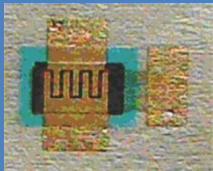
Collaborate with potential end-users

**Market-Pull is better than Technology Push!**

## Prove it works

Collaborate with industrial and academic labs, including giving out samples

**Dan Gamota & Jie Zhang**, Motorola Central Research Labs/Printovate



Independent (industry) validation of prototype and production



## Find Customers & end-use applications

Beta test by sampling products

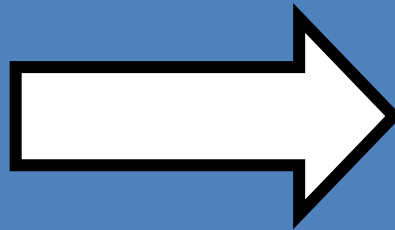
Work with market research companies





# Nanovation: Temperature Sensors

NTC thermistor: electrical resistance decreases as temperature increases



**Nominal resistance at 25 °C**

current regulation (low end):

$$100 \Omega < R_{25} < 1 \text{ M}\Omega$$

temperature sensors (high end):

$$R_{25} > 100 \text{ k}\Omega$$

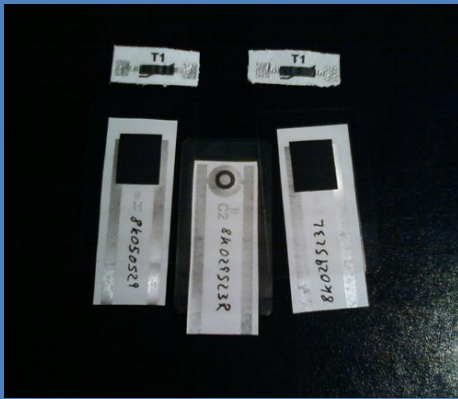
conformable

printable on any substrate with  
suitable ink formulation

can be integrated in circuits



# Nanovation: Temperature Sensors



**Sensors**

Add Functionality



Enable Functionality

- Power
- Processing
- Memory
- Display
- Communication

Choice of partners and other technologies is important  
Depends on the final application

## Time –temperature tag for food and pharmaceutical products







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# Social Innovation: Low Cost Solar

Solar power is already helping quality of life - largely through foreign NGO's and government intervention.

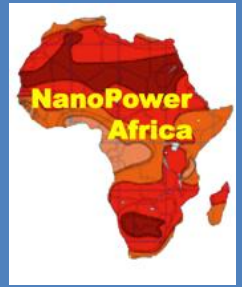
## Solar Light for Africa

Tanzania, Uganda, Rwanda, Liberia



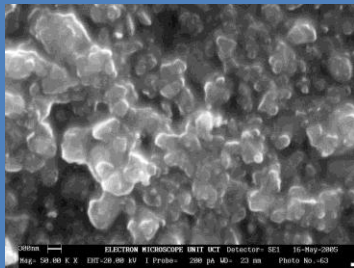
1.6 billion people off-grid  
1/2 vaccines lost  
health & pollution problems

Low cost solar power has no commercial market pull

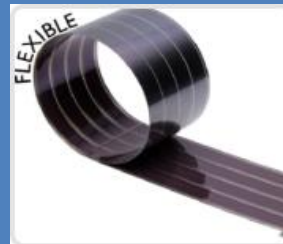
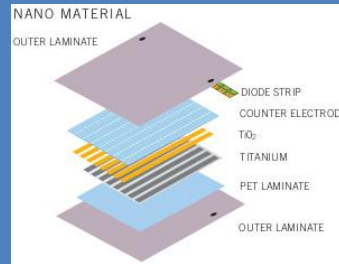
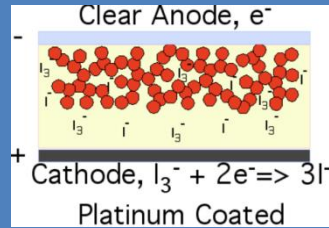


# Social Innovation: Low Cost Solar

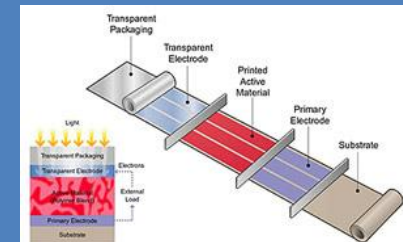
## Printed silicon



## Photochemical



## Nano + organic



More than just silicon  
More than just science



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# Nano Power Africa Goals

develop and enhance teaching and research capacity in the academic disciplines supporting nanoscience and technology

develop the science and technology to commercialize an indigenous African solar cell technology.

develop a research network which will support entrepreneurial activities.



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# Nano Power Africa: Year 1



Successful implementation of  
video lecture course  
(UC, UCT, RU, HU, KIE & UB)

SAXS measurements at ANL led by UCT student

SANS measurements at ORNL led by HU staff  
member

Electrical and microscopy studies at UCT

First joint publication with Ethiopian address

1% efficiency from hybrid (non-printed) solar cell



Students install commercial  
solar modules in rural  
Ethiopia





UNIVERSITY OF CAPE TOWN  
NanoSciences Innovation Centre

On behalf of myself, my colleague Margit Härting,  
and the “Nanovators” of the NanoSciences Innovation Centre

Batsirai Magunje (ZW)  
Emmanuel Jonah (NG)  
Stephen Jones (ZA)  
Stanley Walton (US)  
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Tsigie Atilaw (ET)

**THANK YOU**