Advanced courses in Macroscopic Physical Chemistry (Han-sur-Lesse winterschool 2005)

# THEORY OF POLYMER DYNAMICS



Johan T. Padding

Theoretical Chemistry University of Cambridge United Kingdom



# Contents

Pr	reface	5
1	The Gaussian chain	7
	1.1 Similarity of global properties	7
	1.2 The central limit theorem	8
	1.3 The Gaussian chain	10
	Problems	11
2	The Rouse model	13
	2.1 From statics to dynamics	13
	2.2 Friction and random forces	14
	2.3 The Rouse chain	16
	2.4 Normal mode analysis	17
	2.5 Rouse mode relaxation times and amplitudes	19
	2.6 Correlation of the end-to-end vector	20
	2.7 Segmental motion	21
	2.8 Stress and viscosity	22
	2.8.1 The stress tensor	23
	2.8.2 Shear flow and viscosity	24
	2.8.3 Microscopic expression for the viscosity and stress tensor	25
	2.8.4 Calculation for the Rouse model	26
	Problems	28
	Appendix A: Friction on a slowly moving sphere	29
	Appendix B: Smoluchowski and Langevin equation	32
3	The Zimm model	35
	3.1 Hydrodynamic interactions in a Gaussian chain	35
	3.2 Normal modes and Zimm relaxation times	36
	3.3 Dynamic properties of a Zimm chain	38
	Problems	38
	Appendix A: Hydrodynamic interactions in a suspension of spheres	39

4	App App The	endix B: Smolucnowski equation for the Zimm chain	4 4: <b>4</b>	
-	4 1	Entanglements in dense polymer systems	4	
	4.2	The tube model	4	
	4.3	Definition of the model	47	
	4.4	Segmental motion	4	
	4.5	Viscoelastic behaviour	52	
	Prob	lems	55	
Index				

## Preface

These lecture notes provide a concise introduction to the theory of polymer dynamics. The reader is assumed to have a reasonable math background (including some knowledge of probability and statistics, partial differential equations, and complex functions) and have some knowledge of statistical mechanics.

We will first introduce the concept of a Gaussian chain (chapter 1), which is a simple bead and spring model representing the *equilibrium* properties of a polymer. By adding friction and random forces to such a chain, one arrives at a description of the *dynamics* of a single polymer. For simplicity we will first neglect any hydrodynamic interactions (HIs). Surprisingly, this so-called Rouse model (chapter 2) is a very good approximation for low molecular weight polymers at high concentrations.

The next two chapters deal with extensions of the Rouse model. In chapter 3 we will treat HIs in an approximate way and arrive at the Zimm model, appropriate for dilute polymers. In chapter 4 we will introduce the tube model, in which the primary result of entanglements in high molecular weight polymers is the constraining of a test chain to longitudinal motion along its own contour.

The following books have been very helpful in the preparation of these lectures:

- W.J. Briels, *Theory of Polymer Dynamics*, Lecture Notes, Uppsala (1994). Also available on http://www.tn.utwente.nl/cdr/PolymeerDictaat/.
- M. Doi and S.F. Edwards, *The Theory of Polymer Dynamics* (Clarendon, Oxford, 1986).
- D.M. McQuarrie, Statistical Mechanics (Harper & Row, New York, 1976).

I would especially like to thank Prof. Wim Briels, who introduced me to the subject of polymer dynamics. His work formed the basis of a large part of these lecture notes.

Johan Padding, Cambridge, January 2005.

## Chapter 1

## The Gaussian chain

### 1.1 Similarity of global properties

Polymers are long linear macromolecules made up of a large number of chemical units or monomers, which are linked together through covalent bonds. The number of monomers per polymer may vary from a hundred to many thousands. We can describe the conformation of a polymer by giving the positions of its backbone atoms. The positions of the remaining atoms then usually follow by simple chemical rules. So, suppose we have N+1 monomers, with N+1 position vectors

**R** $_0,$ **R** $_1, \ldots,$ **R** $_N.$ 

We then have N bond vectors

$$\mathbf{r}_1 = \mathbf{R}_1 - \mathbf{R}_0, \dots, \mathbf{r}_N = \mathbf{R}_N - \mathbf{R}_{N-1}.$$

Much of the static and dynamic behavior of polymers can be explained by models which are surprisingly simple. This is possible because the global, large scale properties of polymers do not depend on the chemical details of the monomers, except for some species-dependent "effective" parameters. For example, one can measure the end-to-end vector, defined as

$$\mathbf{R} = \mathbf{R}_N - \mathbf{R}_0 = \sum_{i=1}^N \mathbf{r}_i.$$
(1.1)

If the end-to-end vector is measured for a large number of polymers in a melt, one will find that the distribution of end-to-end vectors is Gaussian and that the root mean squared end-to-end distance scales with the square root of the number of bonds,  $\sqrt{\langle R^2 \rangle} \propto \sqrt{N}$ , irrespective of the chemical details. This is a consequence of the central limit theorem.

### **1.2** The central limit theorem

Consider a chain consisting of *N* independent bond vectors  $\mathbf{r}_i$ . By this we mean that the orientation and length of each bond is independent of all others. A justification will be given at the end of this section. The probability density in configuration space  $\Psi(\mathbf{r}^N)$  may then be written as

$$\Psi(\mathbf{r}^N) = \prod_{i=1}^N \Psi(\mathbf{r}_i).$$
(1.2)

Assume further that the bond vector probability density  $\psi(\mathbf{r}_i)$  depends only on the length of the bond vector and has zero mean,  $\langle \mathbf{r}_i \rangle = \mathbf{0}$ . For the second moment we write

$$\langle r^2 \rangle = \int \mathrm{d}^3 r \, r^2 \psi(r) \equiv b^2,$$
 (1.3)

where we have defined the statistical segment (or Kuhn) length *b*,. Let  $\Omega(\mathbf{R}; N)$  be the probability distribution function for the end-to-end vector given that we have a chain of N bonds,

$$\Omega(\mathbf{R};N) = \left\langle \delta\left(\mathbf{R} - \sum_{i=1}^{N} \mathbf{r}_{i}\right) \right\rangle, \qquad (1.4)$$

where  $\delta$  is the Dirac-delta function. The central limit theorem then states that

$$\Omega(\mathbf{R};N) = \left\{\frac{3}{2\pi Nb^2}\right\}^{3/2} \exp\left\{-\frac{3R^2}{2Nb^2}\right\},$$
(1.5)

i.e., that the end-to-end vector has a Gaussian distribution with zero mean and a variance given by

$$\left\langle R^2 \right\rangle = Nb^2. \tag{1.6}$$

In order to prove Eq. (1.5) we write

$$\Omega(\mathbf{R};N) = \frac{1}{(2\pi)^3} \int d\mathbf{k} \left\langle \exp\left\{i\mathbf{k} \cdot \left(\mathbf{R} - \sum_i \mathbf{r}_i\right)\right\}\right\rangle$$
$$= \frac{1}{(2\pi)^3} \int d\mathbf{k} \, e^{i\mathbf{k}\cdot\mathbf{R}} \left\langle \exp\left\{-i\mathbf{k} \cdot \sum_i \mathbf{r}_i\right\}\right\rangle$$
$$= \frac{1}{(2\pi)^3} \int d\mathbf{k} \, e^{i\mathbf{k}\cdot\mathbf{R}} \left\{\int d\mathbf{r} \, e^{-i\mathbf{k}\cdot\mathbf{r}} \psi(\mathbf{r})\right\}^N.$$
(1.7)

For  $\mathbf{k} = \mathbf{0}$ , the Fourier transform of  $\psi(\mathbf{r})$  will be equal to one. Because  $\psi(\mathbf{r})$  has zero mean and finite second moment, the Fourier transform of  $\psi(\mathbf{r})$  will have its maximum around  $\mathbf{k} = \mathbf{0}$  and go to zero for large values of  $\mathbf{k}$ . Raising such a function to the *N*'th power leaves us with a function that differs from zero only very close to the origin, and which may be approximated by

$$\left\{ \int d\mathbf{r} \, \mathrm{e}^{-\mathrm{i}\mathbf{k}\cdot\mathbf{r}} \boldsymbol{\Psi}(\mathbf{r}) \right\}^{N} \approx \left\{ 1 - \frac{1}{2} \left\langle (\mathbf{k}\cdot\mathbf{r})^{2} \right\rangle \right\}^{N}$$
$$\approx 1 - \frac{1}{2} N \left\langle (\mathbf{k}\cdot\mathbf{r})^{2} \right\rangle$$
$$= 1 - \frac{1}{6} N k^{2} b^{2}$$
(1.8)

for small values of **k**, and by zero for the values of **k** where  $1 - \frac{1}{6}Nk^2b^2$  is negative. This again may be approximated by  $\exp\left\{-\frac{1}{6}Nk^2b^2\right\}$  for all values of **k**. Then

$$\Omega(\mathbf{R};N) = \frac{1}{(2\pi)^3} \int d\mathbf{k} \exp\left\{i\mathbf{k} \cdot \mathbf{R} - \frac{1}{6}Nk^2b^2\right\} = I(R_x)I(R_y)I(R_z)$$
(1.9)  
$$I(R_x) = \frac{1}{2\pi} \int dk_x \exp\left\{iR_xk_x - \frac{1}{6}Nb^2k_x^2\right\} = \left\{\frac{3}{2\pi Nb^2}\right\}^{1/2} \exp\left\{-\frac{3R_x^2}{2Nb^2}\right\}.$$
(1.10)

Combining Eqs. (1.9) and (1.10) we get Eq. (1.5).

Using  $\Omega(\mathbf{R}; N)$ , we can obtain an interesting insight in the thermodynamic behaviour of a polymer chain. The entropy of a chain in which the end-to-end vector **R** is kept fixed, absorbing all constants into a reference entropy, is given by

$$S(\mathbf{R};N) = k_B \ln \Omega(\mathbf{R};N) = S_0 - \frac{3kR^2}{2Nb^2},$$
(1.11)

where  $k_B$  is Boltzmann's constant. The free energy is then

$$A = U - TS = A_0 + \frac{3k_B T R^2}{2Nb^2},$$
(1.12)

where *T* is the temperature. We see that the free energy is related quadratically to the end-to-end distance, as if the chain is a harmonic (Hookean) spring with spring constant  $3k_BT/Nb^2$ . Unlike an ordinary spring, however, the strength of the spring *increases* with temperature! These springs are often referred to as entropic springs.



Figure 1.1: A polyethylene chain represented by segments of  $\lambda =$  20 monomers. If enough consecutive monomers are combined into one segment, the vectors connecting these segments become independent of each other.

Of course, in a real polymer the vectors connecting consecutive monomers do not take up random orientations. However, if enough (say  $\lambda$ ) consecutive monomers are combined into one segment with center-of-mass position  $\mathbf{R}_i$ , the vectors connecting the segments ( $\mathbf{R}_i - \mathbf{R}_{i-1}$ ,  $\mathbf{R}_{i+1} - \mathbf{R}_i$ , etcetera) become independent of each other,<sup>1</sup> see Fig. 1.1. If the number of segments is large enough, the end-to-end vector distribution, according to the central limit theorem, will be Gaussianly distributed and the local structure of the polymer appears only through the statistical segment length *b*.

### **1.3** The Gaussian chain

Now we have established that global conformational properties of polymers are largely independent of the chemical details, we can start from the simplest model available, consistent with a Gaussian end-to-end distribution. This model is one in which every bond vector itself is Gaussian distributed,

$$\Psi(\mathbf{r}) = \left\{\frac{3}{2\pi b^2}\right\}^{3/2} \exp\left\{-\frac{3}{2b^2}r^2\right\}.$$
(1.13)

<sup>&</sup>lt;sup>1</sup>We assume we can ignore long range excluded volume interactions. This is not always the case. Consider building the chain by consecutively adding monomers. At every step there are on average more monomers in the back than in front of the last monomer. Therefore, in a good solvent, the chain can gain entropy by going out, and being larger than a chain in which the new monomer does not feel its predecessors. In a bad solvent two monomers may feel an effective attraction at short distances. In case this attraction is strong enough it may cause the chain to shrink. Of course there is a whole range between good and bad, and at some point both effects cancel and Eq. (1.5) holds true. A solvent having this property is called a  $\Theta$ -solvent. In a polymer melt, every monomer is isotropically surrounded by other monomers, and there is no way to decide whether the surrounding monomers belong to the same chain as the monomer at hand or to a different one. Consequently there will be no preferred direction and the polymer melt will act as a  $\Theta$ -solvent. Here we shal restrict ourselves to such melts and  $\Theta$ -solvents.

Figure 1.2: The gaussian chain can be represented by a collection of beads connected by harmonic springs of strength  $3k_BT/b^2$ .



Such a Gaussian chain is often represented by a mechanical model of beads connected by harmonic springs, as in Fig. 1.2. The potential energy of such a chain is given by:

$$\Phi(\mathbf{r}_1,...,\mathbf{r}_N) = \frac{1}{2}k\sum_{i=1}^N r_i^2.$$
(1.14)

It is easy to see that if the spring constant k is chosen equal to

$$k = \frac{3k_BT}{b^2},\tag{1.15}$$

the Boltzmann distribution of the bond vectors obeys Eqs. (1.2) and (1.13). The Gaussian chain is used as a starting point for the Rouse model.

### **Problems**

**1-1.** A way to test the Gaussian character of a distribution is to calculate the ratio of the fourth and the square of the second moment. Show that if the end-to-end vector has a Gaussian distribution then

$$\frac{\langle R^4 \rangle}{\langle R^2 \rangle^2} = 5/3.$$

## **Chapter 2**

## The Rouse model

### **2.1** From statics to dynamics

In the previous chapter we have introduced the Gaussian chain as a model for the equilibrium (static) properties of polymers. We will now adjust it such that we can use it to calculate dynamical properties as well. A prerequisite is that the polymer chains are not very long, otherwise entanglements with surrounding chains will highly constrain the molecular motions.

When a polymer chain moves through a solvent every bead, whether it represents a monomer or a larger part of the chain, will continuously collide with the solvent molecules. Besides a systematic friction force, the bead will experience random forces, resulting in Brownian motion. In the next sections we will analyze the equations associated with Brownian motion, first for the case of a single bead, then for the Gaussian chain. Of course the motion of a bead through the solvent will induce a velocity field in the solvent which will be felt by all the other beads. To first order we might however neglect this effect and consider the solvent as being some kind of indifferent ether, only producing the friction. When applied to dilute polymeric solutions, this model gives rather bad results, indicating the importance of hydrodynamic interactions. When applied to polymeric melts the model is much more appropriate, because in polymeric melts the friction may be thought of as being caused by the motion of a chain relative to the rest of the material, which to a first approximation may be taken to be at rest; propagation of a velocity field like in a normal liquid is highly improbable, meaning there is no hydrodynamic interaction.



Figure 2.1: A spherical bead moving with velocity **v** will experience a friction force  $-\xi \mathbf{v}$  opposite to its velocity and random forces **F** due to the continuous bombardment of solvent molecules.

#### 2.2 Friction and random forces

Consider a spherical bead of radius *a* and mass *m* moving in a solvent. Because on average the bead will collide more often on the front side than on the back side, it will experience a systematic force proportional with its velocity, and directed opposite to its velocity. The bead will also experience a random or stochastic force  $\mathbf{F}(t)$ . These forces are summarized in Fig. 2.1 The equations of motion then read<sup>1</sup>

$$\frac{\mathrm{d}\mathbf{r}}{\mathrm{d}t} = \mathbf{v} \tag{2.1}$$

$$\frac{\mathrm{d}\mathbf{v}}{\mathrm{d}t} = -\xi\mathbf{v} + \mathbf{F}. \tag{2.2}$$

In Appendix A we show that the friction constant  $\xi$  is given by

$$\xi = \zeta/m = 6\pi\eta_s a/m, \tag{2.3}$$

where  $\eta_s$  is the viscosity of the solvent.

Solving Eq. (2.2) yields

$$\mathbf{v}(t) = \mathbf{v}_0 \mathbf{e}^{-\xi t} + \int_0^t \mathrm{d}\tau \ \mathbf{e}^{-\xi(t-\tau)} \mathbf{F}(t).$$
(2.4)

where  $\mathbf{v}_0$  is the initial velocity. We will now determine averages over all possible realizations of  $\mathbf{F}(t)$ , with the initial velocity as a condition. To this end we have to make some assumptions about the stochastic force. In view of its chaotic character, the following assumptions seem to be appropriate for its *average* properties:

$$\langle \mathbf{F}(t) \rangle = \mathbf{0} \tag{2.5}$$

$$\langle \mathbf{F}(t) \cdot \mathbf{F}(t') \rangle_{\mathbf{v}_0} = C_{\mathbf{v}_0} \delta(t - t')$$
 (2.6)

<sup>&</sup>lt;sup>1</sup>Note that we have divided all forces by the mass *m* of the bead. Consequently,  $\mathbf{F}(t)$  is an acceleration and the friction constant  $\xi$  is a frequency.

where  $C_{\mathbf{v}_0}$  may depend on the initial velocity. Using Eqs. (2.4) - (2.6), we find

$$\langle \mathbf{v}(t) \rangle_{\mathbf{v}_{0}} = \mathbf{v}_{0} e^{-\xi t} + \int_{0}^{t} d\tau \, e^{-\xi(t-\tau)} \, \langle \mathbf{F}(\tau) \rangle_{\mathbf{v}_{0}}$$

$$= \mathbf{v}_{0} e^{-\xi t}$$

$$\langle \mathbf{v}(t) \cdot \mathbf{v}(t) \rangle_{\mathbf{v}_{0}} = v_{0}^{2} e^{-2\xi t} + 2 \int_{0}^{t} d\tau \, e^{-\xi(2t-\tau)} \mathbf{v}_{0} \cdot \langle \mathbf{F}(\tau) \rangle_{\mathbf{v}_{0}}$$

$$+ \int_{0}^{t} d\tau' \int_{0}^{t} d\tau \, e^{-\xi(2t-\tau-\tau')} \, \langle \mathbf{F}(\tau) \cdot \mathbf{F}(\tau') \rangle_{\mathbf{v}_{0}}$$

$$= v_{0}^{2} e^{-2\xi t} + \frac{C_{\mathbf{v}_{0}}}{2\xi} \left(1 - e^{-2\xi t}\right).$$

$$(2.7)$$

The bead is in thermal equilibrium with the solvent. According to the equipartition theorem, for large *t*, Eq. (2.8) should be equal to  $3k_BT/m$ , from which it follows that

$$\langle \mathbf{F}(t) \cdot \mathbf{F}(t') \rangle = 6 \frac{k_B T \xi}{m} \delta(t - t').$$
 (2.9)

This is one manifestation of the fluctuation-dissipation theorem, which states that the systematic part of the microscopic force appearing as the friction is actually determined by the correlation of the random force.

Integrating Eq. (2.4) we get

$$\mathbf{r}(t) = \mathbf{r}_0 + \frac{\mathbf{v}_0}{\xi} \left( 1 - \mathrm{e}^{-\xi t} \right) + \int_0^t \mathrm{d}\tau \int_0^\tau \mathrm{d}\tau' \, \mathrm{e}^{-\xi(\tau - \tau')} \mathbf{F}(\tau'), \tag{2.10}$$

from which we calculate the mean square displacement

$$\left\langle (\mathbf{r}(t) - \mathbf{r}_0)^2 \right\rangle_{\mathbf{v}_0} = \frac{v_0^2}{\xi^2} \left( 1 - e^{-\xi t} \right)^2 + \frac{3k_B T}{m\xi^2} \left( 2\xi t - 3 + 4e^{-\xi t} - e^{-2\xi t} \right).$$
 (2.11)

For very large *t* this becomes

$$\left\langle (\mathbf{r}(t) - \mathbf{r}_0)^2 \right\rangle = \frac{6k_B T}{m\xi} t,$$
(2.12)

from which we get the Einstein equation

$$D = \frac{k_B T}{m\xi} = \frac{k_B T}{\zeta},\tag{2.13}$$

where we have used  $\langle (\mathbf{r}(t) - \mathbf{r}_0)^2 \rangle = 6Dt$ . Notice that the diffusion coefficient *D* is independent of the mass *m* of the bead.

From Eq. (2.7) we see that the bead loses its memory of its initial velocity after a time span  $\tau \approx 1/\xi$ . Using equipartition its initial velocity may be put equal to  $\sqrt{3k_BT/m}$ . The distance *l* it travels, divided by its diameter then is

$$\frac{l}{a} = \frac{\sqrt{3k_BT/m}}{a\xi} = \sqrt{\frac{\rho k_BT}{9\pi\eta_s^2 a}},$$
(2.14)

where  $\rho$  is the mass density of the bead. Typical values are  $l/a \approx 10^{-2}$  for a nanometre sized bead and  $l/a \approx 10^{-4}$  for a micrometre sized bead in water at room temperature. We see that the particles have hardly moved at the time possible velocity gradients have relaxed to equilibrium. When we are interested in timescales on which particle configurations change, we may restrict our attention to the space coordinates, and average over the velocities. The time development of the distribution of particles on these time scales is governed by the Smoluchowski equation.

In Appendix B we shall derive the Smoluchowski equation and show that the explicit equations of motion for the particles, i.e. the Langevin equations, which lead to the Smoluchowski equation are

$$\frac{\mathrm{d}\mathbf{r}}{\mathrm{d}t} = -\frac{1}{\zeta}\nabla\Phi + \nabla D + \mathbf{f}$$
(2.15)

$$\langle \mathbf{f}(t) \rangle = \mathbf{0} \tag{2.16}$$

$$\langle \mathbf{f}(t)\mathbf{f}(t')\rangle = 2D\mathbf{I}\delta(t-t').$$
 (2.17)

where  $\bar{\mathbf{I}}$  denotes the 3-dimensional unit matrix  $I_{\alpha\beta} = \delta_{\alpha\beta}$ . We use these equations in the next section to derive the equations of motion for a polymer.

#### 2.3 The Rouse chain

Suppose we have a Gaussian chain consisting of N + 1 beads connected by N springs of strength  $k = 3k_BT/b^2$ , see section 1.3. If we focus on one bead, while keeping all other beads fixed, we see that the external field  $\Phi$  in which that bead moves is generated by connections to its predecessor and successor. We assume that each bead feels the same friction  $\zeta$ , that its motion is overdamped, and that the diffusion coefficient  $D = k_BT/\zeta$  is independent of the position  $\mathbf{R}_n$  of the bead. This model for a polymer is called the Rouse chain. According to Eqs. (2.15)-

(2.17) the Langevin equations describing the motion of a Rouse chain are

$$\frac{\mathrm{d}\mathbf{R}_0}{\mathrm{d}t} = -\frac{3k_BT}{\zeta b^2} (\mathbf{R}_0 - \mathbf{R}_1) + \mathbf{f}_0 \qquad (2.18)$$

$$\frac{\mathrm{d}\mathbf{R}_n}{\mathrm{d}t} = -\frac{3k_BT}{\zeta b^2} \left(2\mathbf{R}_n - \mathbf{R}_{n-1} - \mathbf{R}_{n+1}\right) + \mathbf{f}_n \tag{2.19}$$

$$\frac{\mathrm{d}\mathbf{R}_N}{\mathrm{d}t} = -\frac{3k_BT}{\zeta b^2} \left(\mathbf{R}_N - \mathbf{R}_{N-1}\right) + \mathbf{f}_N \tag{2.20}$$

$$\langle \mathbf{f}_n(t) \rangle = \mathbf{0}$$

$$\langle \mathbf{f}_n(t) \mathbf{f}_m(t') \rangle = 2D \overline{\mathbf{I}} \delta_{nm} \delta(t-t').$$

$$(2.21)$$

$$(2.22)$$

Eq. (2.19) applies when n = 1, ..., N - 1.

### 2.4 Normal mode analysis

Equations (2.18) - (2.20) are (3N + 3) coupled stochastic differential equations. In order to solve them, we will first ignore the stochastic forces  $\mathbf{f}_n$  and try specific solutions of the following form:

$$\mathbf{R}_{n}(t) = \mathbf{X}(t)\cos(an+c). \tag{2.23}$$

The equations of motion then read

$$\frac{d\mathbf{X}}{dt}\cos c = -\frac{3k_BT}{\zeta b^2} \left\{\cos c - \cos(a+c)\right\} \mathbf{X}$$
(2.24)

$$\frac{d\mathbf{X}}{dt}\cos(na+c) = -\frac{3k_BT}{\zeta b^2} 4\sin^2(a/2)\cos(na+c)\mathbf{X}$$
(2.25)

$$\frac{d\mathbf{X}}{dt}\cos(Na+c) = -\frac{3k_BT}{\zeta b^2} \left\{\cos(Na+c) - \cos\left((N-1)a+c\right)\right\} \mathbf{X}, (2.26)$$

where we have used

$$2\cos(na+c) - \cos((n-1)a+c) - \cos((n+1)a+c) = \cos(na+c) \{2-2\cos a\} = \cos(na+c) 4\sin^2(a/2).$$
(2.27)

The boundaries of the chain, Eqs. (2.24) and (2.26), are consistent with Eq. (2.25) if we choose

$$\cos c - \cos(a+c) = 4\sin^2(a/2)\cos c$$
 (2.28)

$$\cos(Na+c) - \cos((N-1)a+c) = 4\sin^2(a/2)\cos(Na+c), \quad (2.29)$$

17

which is equivalent to

$$\cos(a-c) = \cos c \tag{2.30}$$

$$\cos((N+1)a+c) = \cos(Na+c).$$
 (2.31)

We find independent solutions from

$$a - c = c \tag{2.32}$$

$$(N+1)a + c = p2\pi - Na - c, \qquad (2.33)$$

where *p* is an integer. So finally

$$a = \frac{p\pi}{N+1}, c = a/2 = \frac{p\pi}{2(N+1)}.$$
 (2.34)

Eq. (2.23), with *a* and *c* from Eq. (2.34), decouples the set of differential equations. To find the general solution to Eqs. (2.18) to (2.22) we form a linear combination of all *independent* solutions, formed by taking *p* in the range p = 0, ..., N:

$$\mathbf{R}_{n} = \mathbf{X}_{0} + 2\sum_{p=1}^{N} \mathbf{X}_{p} \cos\left[\frac{p\pi}{N+1}(n+\frac{1}{2})\right].$$
(2.35)

The factor 2 in front of the summation is only for reasons of convenience. Making use  $of^2$ 

$$\frac{1}{N+1}\sum_{n=0}^{N}\cos\left[\frac{p\pi}{N+1}(n+\frac{1}{2})\right] = \delta_{p0} \qquad (0 \le p < 2(N+1)), \qquad (2.36)$$

we may invert this to

$$\mathbf{X}_{p} = \frac{1}{N+1} \sum_{n=0}^{N} \mathbf{R}_{n} \cos\left[\frac{p\pi}{N+1}(n+\frac{1}{2})\right].$$
(2.37)

The equations of motion then read

$$\frac{\mathrm{d}\mathbf{X}_p}{\mathrm{d}t} = -\frac{3k_BT}{\zeta b^2} 4\sin^2\left(\frac{p\pi}{2(N+1)}\right)\mathbf{X}_p + \mathbf{F}_p \qquad (2.38)$$

$$\left\langle \mathbf{F}_{p}(t)\right\rangle = \mathbf{0} \tag{2.39}$$

$$\langle \mathbf{F}_0(t)\mathbf{F}_0(t')\rangle = \frac{2D}{N+1}\mathbf{\bar{I}}\delta(t-t')$$
 (2.40)

$$\langle \mathbf{F}_{p}(t)\mathbf{F}_{q}(t')\rangle = \frac{D}{N+1}\mathbf{\bar{I}}\delta_{pq}\delta(t-t') \qquad (p+q>0)$$
 (2.41)

<sup>2</sup>The validity of Eq. (2.36) is evident when p = 0 or p = N + 1. In the remaining cases the sum may be evaluated using  $\cos(na + c) = 1/2(e^{ina}e^{ic} + e^{-ina}e^{-ic})$ . The result then is

$$\frac{1}{N+1}\sum_{n=0}^{N}\cos\left[\frac{p\pi}{N+1}(n+\frac{1}{2})\right] = \frac{1}{2(N+1)}\frac{\sin(p\pi)}{\sin\left(\frac{p\pi}{2(N+1)}\right)},$$

which is consistent with Eq. (2.36).

where p, q = 0, ..., N.  $\mathbf{F}_p$  is a weighted average of the stochastic forces  $\mathbf{f}_n$ ,

$$\mathbf{F}_{p} = \frac{1}{N+1} \sum_{n=0}^{N} \mathbf{f}_{n} \cos\left[\frac{p\pi}{N+1}(n+\frac{1}{2})\right],$$
(2.42)

and is therefore itself a stochastic variable, characterised by its first and second moments, Eqs. (2.39) - (2.41).

#### 2.5 Rouse mode relaxation times and amplitudes

Eqs. (2.38) - (2.41) form a decoupled set of 3(N+1) stochastic differential equations, each of which describes the fluctuations and relaxations of a normal mode (a Rouse mode) of the Rouse chain. It is easy to see that the zeroth Rouse mode,  $\mathbf{X}_0$ , is the position of the centre-of-mass  $\mathbf{R}_G = \sum_n \mathbf{R}_n/(N+1)$  of the polymer chain. The mean square displacement of the centre-of-mass,  $g_{\rm cm}(t)$  can easily be calculated:

$$\begin{aligned} \mathbf{X}_{0}(t) &= \mathbf{X}_{0}(0) + \int_{0}^{t} d\mathbf{\tau} \, \mathbf{F}_{0}(\mathbf{\tau}) \end{aligned} \tag{2.43} \\ g_{\rm cm}(t) &= \left\langle \left( \mathbf{X}_{0}(t) - \mathbf{X}_{0}(0) \right)^{2} \right\rangle = \left\langle \int_{0}^{t} d\mathbf{\tau} \, \int_{0}^{t} d\mathbf{\tau}' \, \mathbf{F}_{0}(\mathbf{\tau}) \cdot \mathbf{F}_{0}(\mathbf{\tau}') \right\rangle \\ &= \frac{6D}{N+1} t \equiv 6D_{G} t. \end{aligned} \tag{2.44}$$

So the diffusion coefficient of the centre-of-mass of the polymer is given by  $D_G = D/(N+1) = k_B T/[(N+1)\zeta]$ . Notice that the diffusion coefficient scales inversely proportional to the length (and weight) of the polymer chain. All other modes  $1 \le p \le N$  describe independent vibrations of the chain leaving the centre-of-mass unchanged; Eq. (2.37) shows that Rouse mode  $\mathbf{X}_p$  describes vibrations of a wavelength corresponding to a subchain of N/p segments. In the applications ahead of us, we will frequently need the time correlation functions of these Rouse modes. From Eq. (2.38) we get

$$\mathbf{X}_{p}(t) = \mathbf{X}_{p}(0)\mathbf{e}^{-t/\tau_{p}} + \int_{0}^{t} \mathrm{d}\tau \ \mathbf{e}^{-(t-\tau)/\tau_{p}} \mathbf{F}_{p}(\tau),$$
(2.45)

where the characteristic relaxation time  $\tau_p$  is given by

$$\tau_p = \frac{\zeta b^2}{3k_B T} \left[ 4\sin^2\left(\frac{p\pi}{2(N+1)}\right) \right]^{-1} \approx \frac{\zeta b^2(N+1)^2}{3\pi^2 k_B T} \frac{1}{p^2}.$$
 (2.46)

The last approximation is valid for large wavelengths, in which case  $p \ll N$ . Multiplying Eq. (2.45) by  $\mathbf{X}_p(0)$  and taking the average over all possible realisations

of the random force, we find

$$\langle \mathbf{X}_p(t) \cdot \mathbf{X}_p(0) \rangle = \langle X_p^2 \rangle \exp\left(-t/\tau_p\right).$$
 (2.47)

From these equations it is clear that the lower Rouse modes, which represent motions with larger wavelengths, are also slower modes. The relaxation time of the slowest mode, p = 1, is often referred to as the Rouse time  $\tau_R$ .

We now calculate the equilibrium expectation values of  $X_p^2$ , i.e., the amplitudes of the normal modes. To this end, first consider the statistical weight of a configuration  $\mathbf{R}_0, \ldots, \mathbf{R}_N$  in Carthesian coordinates,

$$P(\mathbf{R}_{0},...,\mathbf{R}_{N}) = \frac{1}{Z} \exp\left[-\frac{3}{2b^{2}} \sum_{n=1}^{N} (\mathbf{R}_{n} - \mathbf{R}_{n-1})^{2}\right],$$
 (2.48)

where Z is a normalization constant (the partition function). We can use Eq. (2.35) to find the statistical weight of a configuration in Rouse coordinates. Since the transformation to the Rouse coordinates is a linear transformation from one set of orthogonal coordinates to another, the corresponding Jacobian is simply a constant. The statistical weight therefore reads

$$P(\mathbf{X}_0,\ldots,\mathbf{X}_N) = \frac{1}{Z} \exp\left[-\frac{12}{b^2}(N+1)\sum_{p=1}^N \mathbf{X}_p \cdot \mathbf{X}_p \sin^2\left(\frac{p\pi}{2(N+1)}\right)\right]. \quad (2.49)$$

[Exercise: show this] Since this is a simple product of independent Gaussians, the amplitudes of the Rouse modes can easily be calculated:

$$\langle X_p^2 \rangle = \frac{b^2}{8(N+1)\sin^2\left(\frac{p\pi}{2(N+1)}\right)} \approx \frac{(N+1)b^2}{2\pi^2} \frac{1}{p^2}.$$
 (2.50)

Again, the last approximation is valid when  $p \ll N$ . Using the amplitudes and relaxation times of the Rouse modes, Eqs. (2.50) and (2.46) respectively, we can now calculate all kinds of dynamic quantities of the Rouse chain.

#### 2.6 Correlation of the end-to-end vector

The first dynamic quantity we are interested in is the time correlation function of the end-to-end vector  $\mathbf{R}$ . Notice that

$$\mathbf{R} = \mathbf{R}_N - \mathbf{R}_0 = 2\sum_{p=1}^N \mathbf{X}_p \{(-1)^p - 1\} \cos\left[\frac{p\pi}{2(N+1)}\right].$$
 (2.51)

Figure 2.2: Molecular dynamics simulation results for the orientational correlation function of the end-to-end vector of a  $C_{120}H_{242}$  polyethylene chain under melt conditions (symbols), compared with the Rouse model prediction (solid line). J.T. Padding and W.J. Briels, J. Chem. Phys. **114**, 8685 (2001).



Because the Rouse mode amplitudes decay as  $p^{-2}$ , our results will be dominated by p values which are extremely small compared to N. We therefore write

$$\mathbf{R} = -4\sum_{p=1}^{N} \mathbf{X}_{p},\tag{2.52}$$

where the prime at the summation sign indicates that only terms with odd p should occur in the sum. Then

$$\langle \mathbf{R}(t) \cdot \mathbf{R}(0) \rangle = 16 \sum_{p=1}^{N'} \left\langle \mathbf{X}_p(t) \cdot \mathbf{X}_p(0) \right\rangle$$

$$= \frac{8b^2}{\pi^2} (N+1) \sum_{p=1}^{N'} \frac{1}{p^2} e^{-t/\tau_p}.$$
(2.53)

The characteristic decay time at large t is  $\tau_1$ , which is proportional to  $(N+1)^2$ .

Figure 2.2 shows that Eq. (2.53) gives a good description of the time correlation function of the end-to-end vector of a real polymer chain in a melt (provided the polymer is not much longer than the entanglement length).

### 2.7 Segmental motion

In this section we will calculate the mean square displacements  $g_{seg}(t)$  of the individual segments. Using Eq. (2.35) and the fact that different modes are not correlated, we get for segment *n* 

$$\left\langle (\mathbf{R}_n(t) - \mathbf{R}_n(0))^2 \right\rangle = \left\langle (\mathbf{X}_0(t) - \mathbf{X}_0(0))^2 \right\rangle + 4 \sum_{p=1}^N \left\langle (\mathbf{X}_p(t) - \mathbf{X}_p(0))^2 \right\rangle \cos^2 \left[ \frac{p\pi}{N+1} (n+\frac{1}{2}) \right].$$
(2.54)

21

Averaging over all segments, and introducing Eqs. (2.44) and (2.47), the mean square displacement of a typical segment in the Rouse model is

$$g_{\text{seg}}(t) = \frac{1}{N+1} \sum_{n=0}^{N} \left\langle (\mathbf{R}_{n}(t) - \mathbf{R}_{n}(0))^{2} \right\rangle$$
  
=  $6D_{G}t + 4 \sum_{p=1}^{N} \left\langle X_{p}^{2} \right\rangle \left( 1 - e^{-t/\tau_{p}} \right).$  (2.55)

Two limits may be distinguished. First, when *t* is very large,  $t \gg \tau_1$ , the first term in Eq. (2.55) will dominate, yielding

$$g_{\text{seg}}(t) \approx 6D_G t \qquad (t \gg \tau_1).$$
 (2.56)

This is consistent with the fact that the polymer as a whole diffuses with diffusion coefficient  $D_G$ .

Secondly, when  $t \ll \tau_1$  the sum over *p* in Eq. (2.55) dominates. If  $N \gg 1$  the relaxation times can be approximated by the right hand side of Eq. (2.46), the Rouse mode amplitudes can be approximated by the right hand side of Eq. (2.50), and the sum can be replaced by an integral,

$$g_{\text{seg}}(t) = \frac{2b^2}{\pi^2} (N+1) \int_0^\infty dp \, \frac{1}{p^2} \left( 1 - e^{-tp^2/\tau_1} \right) \\ = \frac{2b^2}{\pi^2} (N+1) \int_0^\infty dp \, \frac{1}{\tau_1} \int_0^t dt' \, e^{-t'p^2/\tau_1} \\ = \frac{2b^2}{\pi^2} \frac{(N+1)}{\tau_1} \frac{1}{2} \sqrt{\pi\tau_1} \int_0^t dt' \, \frac{1}{\sqrt{t'}} \\ = \left( \frac{12k_B T b^2}{\pi\zeta} \right)^{1/2} t^{1/2} \qquad (\tau_N \ll t \ll \tau_1, N \gg 1).$$
(2.57)

So, at short times the mean square displacement of a typical segment is subdiffusive with an exponent 1/2, and is independent of the number of segments N in the chain.

Figure 2.3 shows the mean square displacement of monomers (circles) and centre-of-mass (squares) of an unentangled polyethylene chain in its melt. Observe that the chain motion is in agreement with the Rouse model prediction, but only for displacements larger than the square statistical segment length  $b^2$ .

### 2.8 Stress and viscosity

We will now calculate the viscosity of a solution or melt of Rouse chains. To this end we will first introduce the macroscopic concepts of stress and shear flow. Figure 2.3: Molecular dynamics simulation results for the mean square displacements of a  $C_{120}H_{242}$ polyethylene chain under melt conditions (symbols). The dotted and dot-dashed lines are Rouse predictions for a chain with an infinite number of modes and for a finite Rouse chain, respectively. The horizontal line is the statistical segment length  $b^2$ . J.T. Padding and W.J. Briels, J. Chem. Phys. **114**, 8685 (2001).



Then we will show how the viscosity can be calculated from a microscopic model such as the Rouse model.

#### 2.8.1 The stress tensor

Suppose the fluid velocity on a macroscopic scale is described by the fluid velocity field  $\mathbf{v}(\mathbf{r})$ . When two neighbouring fluid volume elements move with different velocities, they will experience a friction force proportional to the area of the surface between the two fluid volume elements. Moreover, even without relative motion, the volume elements will be able to exchange momentum through the motions of, and interactions between, the constituent particles.

All the above forces can conveniently be summarized in the stress tensor. Consider a surface element of size dA and normal  $\hat{\mathbf{t}}$ . Let dF be the force exerted by the fluid below the surface element on the fluid above the fluid element. Then we define the stress tensor  $\bar{\mathbf{S}}$  by

$$\mathrm{d}F_{\alpha} = -\sum_{\beta} S_{\alpha\beta} \hat{t}_{\beta} \mathrm{d}A = -\left(\bar{\mathbf{S}} \cdot \hat{\mathbf{t}}\right)_{\alpha} \mathrm{d}A, \qquad (2.58)$$

where  $\alpha$  and  $\beta$  run from 1 to 3 (or *x*, *y*, and *z*). It is easy to show that the total force **F** on a volume element *V* is given by

$$\mathbf{F} = V \nabla \cdot \bar{\mathbf{S}}.\tag{2.59}$$

In the case of simple fluids the stress tensor consists of one part which is independent of the fluid velocity, and a viscous part which depends linearly on the *instantaneous* derivatives  $\partial v_{\alpha}/\partial r_{\beta}$ . In Appendix A we elaborate on this, and calculate the velocity field and friction on a sphere moving in a simple liquid. In the



Figure 2.4: Shear flow in the *xy*-plane (a). Strain  $\gamma$ , shear rate  $\dot{\gamma}$ , and stress  $S_{xy}$  versus time *t* for sudden shear strain (b) and sudden shear flow (c).

more general case of complex fluids, the stress tensor depends on the *history* of fluid flow (the fluid has a memory) and has both viscous and elastic components.

#### 2.8.2 Shear flow and viscosity

Shear flows, for which the velocity components are given by

$$v_{\alpha}(\mathbf{r},t) = \sum_{\beta} \kappa_{\alpha\beta}(t) r_{\beta}, \qquad (2.60)$$

are commonly used for studying the viscoelastic properties of complex fluids. If the shear rates  $\kappa_{\alpha\beta}(t)$  are small enough, the stress tensor depends linearly on  $\mathbf{\bar{\kappa}}(t)$  and can be written as

$$S_{\alpha\beta}(t) = \int_{-\infty}^{t} \mathrm{d}\tau \ G(t-\tau) \,\kappa_{\alpha\beta}(\tau), \qquad (2.61)$$

where G(t) is called the shear relaxation modulus. G(t) contains the shear stress memory of the complex fluid. This becomes apparent when we consider two special cases, depicted in Fig. 2.4:

(*i*) Sudden shear strain. At t = 0 a shear strain  $\gamma$  is suddenly applied to a relaxed system. The velocity field is given by

$$v_x(t) = \delta(t)\gamma r_y \tag{2.62}$$

$$v_y(t) = 0$$
 (2.63)

$$v_z(t) = 0 \tag{2.64}$$

The stress tensor component of interest is  $S_{xy}$ , which now reads

$$S_{xy}(t) = \gamma G(t). \tag{2.65}$$

So G(t) is simply the stress relaxation after a sudden shear strain.

(*ii*) Sudden shear flow. At t = 0 a shear flow is suddenly switched on:

$$v_x(t) = \Theta(t)\dot{\gamma}r_y \tag{2.66}$$

$$v_y(t) = 0$$
 (2.67)

$$\nu_z(t) = 0 \tag{2.68}$$

Here  $\Theta(t)$  is the Heaviside function and  $\dot{\gamma}$  is the shear rate. Now  $S_{xy}$  is given by

$$S_{xy}(t) = \dot{\gamma} \int_0^t \mathrm{d}\tau \ G(t-\tau), \qquad (2.69)$$

In the case of simple fluids, the shear stress is the product of shear rate and the shear viscosity, a characteristic transport property of the fluid (see Appendix A, Eq. (A.3)). Similarly, in the case of complex fluids, the shear viscosity is defined as the ratio of steady-state shear stress and shear rate,

$$\eta = \lim_{t \to \infty} \frac{S_{xy}(t)}{\dot{\gamma}} = \lim_{t \to \infty} \int_0^t d\tau \ G(t - \tau) = \int_0^\infty d\tau \ G(\tau) \,. \tag{2.70}$$

The limit  $t \to \infty$  must be taken because during the early stages elastic stresses are built up. This expression shows that the integral over the shear relaxation modulus yields the (low shear rate) viscosity.

#### 2.8.3 Microscopic expression for the viscosity and stress tensor

Eq. (2.70) is not very useful as it stands because the viscosity is not related to the microscopic properties of the molecular model. Microscopic expressions for transport properties such as the viscosity can be found by relating the relaxation of a macroscopic disturbance to spontaneous fluctuations in an equilibrium system. Close to equilibrium there is no way to distinguish between spontaneous fluctuations and deviations from equilibrium that are externally prepared. Since one cannot distinguish, according to the regression hypothesis of Onsager, the regression of spontaneous fluctuations should coincide with the relaxation of macroscopic variables to equilibrium. A derivation for the viscosity and many other transport properties can be found in Statistical Mechanics text books. The result for the viscosity is

$$\eta = \frac{V}{k_B T} \int_0^\infty \mathrm{d}\tau \, \left\langle \sigma_{xy}^{\mathrm{micr}}(\tau) \, \sigma_{xy}^{\mathrm{micr}}(0) \right\rangle, \tag{2.71}$$

where V is the volume in which the microscopic stress tensor  $\bar{\sigma}^{\text{micr}}$  is calculated. Eq. (2.71) is sometimes referred to as the Green-Kubo expression for the viscosity. Using Onsager's regression hypothesis, it is possible to relate also the integrand of Eq. (2.71) to the shear relaxation modulus G(t) in the macroscopic world:

$$G(t) = \frac{V}{k_B T} \left\langle \sigma_{xy}^{\text{micr}}(t) \sigma_{xy}^{\text{micr}}(0) \right\rangle$$
(2.72)

The microscopic stress tensor in Eqs. (2.71) and (2.72) is generally defined as

$$\bar{\mathbf{\sigma}}^{\text{micr}} = -\frac{1}{V} \sum_{i=1}^{N_{\text{tot}}} \left[ M_i \left( \mathbf{V}_i - \mathbf{v} \right) \left( \mathbf{V}_i - \mathbf{v} \right) + \mathbf{R}_i \mathbf{F}_i \right], \qquad (2.73)$$

25

where  $M_i$  is the mass and  $V_i$  the velocity of particle *i*, and  $F_i$  is the force on particle *i*. Eqs. (2.71) and (2.72) are ensemble averages under equilibrium conditions. We can therefore set the macroscopic fluid velocity field **v** to zero. If furthermore we assume that the interactions between the particles are pairwise additive, we find

$$\bar{\mathbf{\sigma}}^{\text{micr}} = -\frac{1}{V} \left( \sum_{i=1}^{N_{\text{tot}}} M_i \mathbf{V}_i \mathbf{V}_i + \sum_{i=1}^{N_{\text{tot}}-1} \sum_{j=i+1}^{N_{\text{tot}}} \left( \mathbf{R}_i - \mathbf{R}_j \right) \mathbf{F}_{ij} \right), \qquad (2.74)$$

where  $\mathbf{F}_{ij}$  is the force that particle *j* is exerting on particle *i*.

The sums in Eqs. (2.73) and (2.74) must be taken over all  $N_{tot}$  particles in the system, including the solvent particles. At first sight, it would be a tremendous task to calculate the viscosity analytically. Fortunately, for most polymers there is a large separation of time scales between the stress relaxation due to the solvent and the stress relaxation due to the polymers. In most cases we can therefore treat the solvent contribution to the viscosity, denoted by  $\eta_s$ , separately from the polymer contribution. Moreover, because the velocities of the polymer segments are usually overdamped, the polymer stress is dominated by the interactions between the beads. The first (kinetic) part of Eq. (2.73) or (2.74) may then be neglected.

#### 2.8.4 Calculation for the Rouse model

Even if we can treat separately the solvent contribution, the sum over i in Eq. (2.74) must still be taken over all beads of all chains in the system. This is why in real polymer systems the stress tensor is a collective property. In the Rouse model, however, there is no correlation between the dynamics of one chain and the other, so one may just as well analyze the stress relaxation of a single chain and make an ensemble average over all initial configurations.

Using Eqs. (2.35) and (2.74), the microscopic stress tensor of a Rouse chain in a specific configuration, neglecting also the kinetic contributions, is equal to

$$\bar{\mathbf{\sigma}}^{\text{micr}} = \frac{1}{V} \frac{3k_B T}{b^2} \sum_{n=1}^{N} \left( \mathbf{R}_{n-1} - \mathbf{R}_n \right) \left( \mathbf{R}_{n-1} - \mathbf{R}_n \right)$$

$$= \frac{1}{V} \frac{48k_B T}{b^2} \sum_{n=1}^{N} \sum_{p=1}^{N} \sum_{q=1}^{N} \mathbf{X}_p \mathbf{X}_q \sin\left(\frac{p\pi n}{N+1}\right) \sin\left(\frac{p\pi}{2(N+1)}\right) \times$$

$$\sin\left(\frac{q\pi n}{N+1}\right) \sin\left(\frac{q\pi}{2(N+1)}\right)$$

$$= \frac{1}{V} \frac{24k_B T}{b^2} N \sum_{p=1}^{N} \mathbf{X}_p \mathbf{X}_p \sin^2\left(\frac{p\pi}{2(N+1)}\right). \quad (2.75)$$

Combining this with the expression for the equilibrium Rouse mode amplitudes, Eq. (2.50), this can be written more concisely as

$$\bar{\mathbf{\sigma}}^{\text{micr}} = \frac{3k_BT}{V} \sum_{p=1}^{N} \frac{\mathbf{X}_p \mathbf{X}_p}{\langle X_p^2 \rangle}.$$
(2.76)

The correlation of the *xy*-component of the microscopic stress tensor at t = 0 with the one at t = t is therefore

$$\sigma_{xy}^{\text{micr}}(t)\sigma_{xy}^{\text{micr}}(0) = \left(\frac{3k_BT}{V}\right)^2 \sum_{p=1}^N \sum_{q=1}^N \frac{X_{px}(t)X_{py}(t)X_{qx}(0)X_{qy}(0)}{\left\langle X_p^2 \right\rangle \left\langle X_q^2 \right\rangle}.$$
 (2.77)

To obtain the shear relaxation modulus, according to Eq. (2.72), the ensemble average must be taken over all possible configurations at t = 0. Now, since the Rouse modes are Gaussian variables, all the ensemble averages of products of an odd number of  $X_p$ 's are zero and the ensemble averages of products of an even number of  $X_p$ 's can be written as a sum of products of averages of only two  $X_p$ 's. For the even term in Eq. (2.77) we find:

$$\begin{aligned} \left\langle X_{px}(t)X_{py}(t)X_{qx}(0)X_{qy}(0)\right\rangle &= \left\langle X_{px}(t)X_{py}(t)\right\rangle \left\langle X_{qx}(0)X_{qy}(0)\right\rangle \\ &+ \left\langle X_{px}(t)X_{qy}(0)\right\rangle \left\langle X_{py}(t)X_{qx}(0)\right\rangle \\ &+ \left\langle X_{px}(t)X_{qx}(0)\right\rangle \left\langle X_{py}(t)X_{qy}(0)\right\rangle. (2.78) \end{aligned}$$

The first four ensemble averages equal zero because, for a Rouse chain in equilibrium, there is no correlation between different cartesian components. The last two ensemble averages are nonzero only when p = q, since the Rouse modes are mutually orthogonal. Using the fact that all carthesian components are equivalent, and Eq. (2.47), the shear relaxation modulus (excluding the solvent contribution) of a Rouse chain can be expressed as

$$G(t) = \frac{k_B T}{V} \sum_{p=1}^{N} \left[ \frac{\langle \mathbf{X}_k(t) \cdot \mathbf{X}_k(0) \rangle}{\langle X_k^2 \rangle} \right]^2 = \frac{c k_B T}{N+1} \sum_{p=1}^{N} \exp\left(-2t/\tau_p\right), \quad (2.79)$$

where c = N/V is the number density of beads.

In concentrated polymer systems and melts, the stress is dominated by the polymer contribution. The shear relaxation modulus calculated above predicts a viscosity, at constant monomer concentration c and segmental friction  $\zeta$ , proportional to N:

$$\eta = \int_0^\infty dt G(t) \approx \frac{ck_B T}{N+1} \frac{\tau_1}{2} \sum_{p=1}^N \frac{1}{p^2}$$
$$\approx \frac{ck_B T}{N+1} \frac{\tau_1}{2} \frac{\pi^2}{6} = \frac{c\zeta b^2}{36} (N+1).$$
(2.80)

27

This has been confirmed for concentrated polymers with low molecular weight.<sup>3</sup> Concentrated polymers of high molecular weight give different results, stressing the importance of entanglements. We will deal with this in Chapter 4.

In dilute polymer solutions, we do not neglect the solvent contribution to the stress. The shear relaxation modulus Eq. (2.79) must be augmented by a very fast decaying term, the integral of which is the solvent viscosity  $\eta_s$ , leading to the following expression for the intrinsic viscosity:

$$[\eta] \equiv \lim_{\rho \to 0} \frac{\eta - \eta_s}{\rho \eta_s} \approx \frac{N_{\rm Av}}{M} \frac{1}{\eta_s} \frac{\zeta b^2}{36} (N+1)^2.$$

$$(2.81)$$

Here,  $\rho = cM/(N_{Av}(N+1))$  is the polymer concentration; *M* is the mol mass of the polymer, and  $N_{Av}$  is Avogadro's number. Eq. (2.81) is at variance with experimental results for dilute polymers, signifying the importance of hydrodynamic interactions. These will be included in the next chapter.

#### Problems

**2-1.** Why is it obvious that the expression for the end-to-end vector **R**, Eq. (2.52), should only contain Rouse modes of odd mode number p?

**2-2.** Show that the shear relaxation modulus G(t) of a Rouse chain at short times decays like  $t^{-1/2}$  and is given by

$$G(t) = \frac{ck_BT}{N+1}\sqrt{\frac{\pi\tau_1}{8t}} \qquad (\tau_N \ll t \ll \tau_1).$$

<sup>&</sup>lt;sup>3</sup>A somewhat stronger N dependence is often observed because the density and, more important, the segmental friction coefficient increase with increasing N.

#### **Appendix A: Friction on a slowly moving sphere**

We will calculate the fluid flow field around a moving sphere and the resulting friction. To formulate the basic equations for the fluid we utilize the conservation of mass and momentum. The conservation of mass is expressed by the continuity equation

$$\frac{\mathrm{D}\rho}{\mathrm{D}t} = -\rho \boldsymbol{\nabla} \cdot \mathbf{v},\tag{A.1}$$

and the conservation of momentum by the Navier-Stokes equation

$$\rho \frac{\mathbf{D}}{\mathbf{D}t} \mathbf{v} = \boldsymbol{\nabla} \cdot \bar{\mathbf{S}}. \tag{A.2}$$

Here  $\rho(\mathbf{r}, t)$  is the fluid density,  $\mathbf{v}(\mathbf{r}, t)$  the fluid velocity,  $D/Dt \equiv \mathbf{v} \cdot \nabla + \partial/\partial t$  the total derivative, and  $\mathbf{\bar{S}}$  is the stress tensor.

We now have to specify the nature of the stress tensor  $\hat{S}$ . For a viscous fluid, friction occurs when the distance between two neighbouring fluid elements changes, i.e. they move relative to each other. Most simple fluids can be described by a stress tensor which consists of a part which is independent of the velocity, and a part which depends linearly on the derivatives  $\partial v_{\alpha}/\partial r_{\beta}$ , i.e., where the friction force is proportional to the *instantaneous* relative velocity of the two fluid elements.<sup>4</sup> The most general form of the stress tensor for such a fluid is

$$S_{\alpha\beta} = \eta_s \left\{ \frac{\partial v_{\alpha}}{\partial r_{\beta}} + \frac{\partial v_{\beta}}{\partial r_{\alpha}} \right\} - \left\{ P + \left( \frac{2}{3} \eta_s - \kappa \right) \nabla \cdot \mathbf{v} \right\} \delta_{\alpha\beta}, \tag{A.3}$$

where  $\eta_s$  is the shear viscosity,  $\kappa$  the bulk viscosity, which is the resistance of the fluid against compression, and *P* the pressure.

Many flow fields of interest can be described assuming that the fluid is incompressible, i.e. that the density along the flow is constant. In that case  $\nabla \cdot \mathbf{v} = 0$ , as follows from Eq. (A.1). Assuming moreover that the velocities are small, and that the second order non-linear term  $\mathbf{v} \cdot \nabla \mathbf{v}$  may be neglected, we obtain Stokes

<sup>&</sup>lt;sup>4</sup>The calculations in this Appendix assume that the solvent is an isotropic, unstructured fluid, with a characteristic stress relaxation time which is much smaller than the time scale of any flow experiment. The stress response of such a so-called Newtonian fluid appears to be *instantaneous*. Newtonian fluids usually consist of small and roughly spherical molecules, e.g., water and light oils. Non-Newtonian fluids, on the other hand, usually consist of large or elongated molecules. Often they are structured, either spontaneously or under the influence of flow. Their characteristic stress relaxation time is experimentally accessible. As a consequence, the stress between two non-Newtonian fluid elements generally depends on the *history* of relative velocities, and contains an elastic part. Examples are polymers and self-assembling surfactants.



Figure 2.5: Definition of spherical coordinates  $(r, \theta, \phi)$  and the unit vectors  $\hat{\mathbf{e}}_r$ ,  $\hat{\mathbf{e}}_{\theta}$ , and  $\hat{\mathbf{e}}_{\phi}$ .

equation for incompressible flow

$$\rho \frac{\partial \mathbf{v}}{\partial t} = \eta_s \nabla^2 \mathbf{v} - \nabla P \tag{A.4}$$

$$\nabla \cdot \mathbf{v} = 0. \tag{A.5}$$

Now consider a sphere of radius *a* moving with velocity  $\mathbf{v}_S$  in a quiescent liquid. Assume that the velocity field is stationary. Referring all coordinates and velocities to a frame which moves with velocity  $\mathbf{v}_S$  relative to the fluid transforms the problem into one of a resting sphere in a fluid which, at large distances from the sphere, moves with constant velocity  $\mathbf{v}_0 \equiv -\mathbf{v}_S$ . The problem is best considered in spherical coordinates (see Fig. 2.5),<sup>5</sup>  $\mathbf{v}(\mathbf{r}) = v_r \hat{\mathbf{e}}_r + v_{\theta} \hat{\mathbf{e}}_{\theta} + v_{\phi} \hat{\mathbf{e}}_{\phi}$ , so that  $\theta = 0$  in the flow direction. By symmetry the azimuthal component of the fluid velocity is equal to zero,  $v_{\phi} = 0$ . The fluid flow at infinity gives the boundary conditions

Moreover, we will assume that the fluid is at rest on the surface of the sphere (stick boundary conditions):

$$v_r = v_{\theta} = 0 \quad \text{for} \quad r = a. \tag{A.7}$$

<sup>5</sup>In spherical coordinates the gradient, Laplacian and divergence are given by

$$\nabla f = \hat{\mathbf{e}}_r \frac{\partial}{\partial r} f + \frac{1}{r} \hat{\mathbf{e}}_{\theta} \frac{\partial}{\partial \theta} f + \frac{1}{r \sin \theta} \hat{\mathbf{e}}_{\phi} \frac{\partial}{\partial \phi} f$$

$$\nabla^2 f = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial}{\partial r} f \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial}{\partial \theta} f \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2}{\partial \phi^2} f$$

$$\nabla \cdot \mathbf{v} = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 v_r \right) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta v_\theta \right) + \frac{1}{r \sin \theta} \frac{\partial}{\partial \phi} v_\phi.$$

It can easily be verified that the solution of Eqs. (A.4) - (A.5) is

$$v_r = v_0 \cos \theta \left( 1 - \frac{3a}{2r} + \frac{a^3}{2r^3} \right)$$
 (A.8)

$$v_{\theta} = -v_0 \sin \theta \left( 1 - \frac{3a}{4r} - \frac{a^3}{4r^3} \right) \tag{A.9}$$

$$p - p_0 = -\frac{3}{2} \frac{\eta_s v_0 a}{r^2} \cos \theta.$$
 (A.10)

We shall now use this flow field to calculate the friction force exerted by the fluid on the sphere. The stress on the surface of the sphere results in the following force per unit area:

$$\mathbf{f} = \bar{\mathbf{S}} \cdot \hat{\mathbf{e}}_r = \hat{\mathbf{e}}_r S_{rr} + \hat{\mathbf{e}}_{\theta} S_{\theta r} = -\hat{\mathbf{e}}_r \left. p \right|_{(r=a)} + \hat{\mathbf{e}}_{\theta} \eta_s \left. \frac{\partial v_{\theta}}{\partial r} \right|_{(r=a)}$$
$$= \left( -p_0 + \frac{3\eta_s v_0}{2a} \cos \theta \right) \hat{\mathbf{e}}_r - \frac{3\eta_s v_0}{2a} \sin \theta \hat{\mathbf{e}}_{\theta}.$$
(A.11)

Integrating over the whole surface of the sphere, only the component in the flow direction survives:

$$F = \int \mathrm{d}\Omega \, a^2 \left[ \left( -p_0 + \frac{3\eta_s v_0}{2a} \cos\theta \right) \cos\theta + \frac{3\eta_s v_0}{2a} \sin^2\theta \right] = 6\pi\eta_s a v_0.$$
(A.12)

Transforming back to the frame in which the sphere is moving with velocity  $\mathbf{v}_S = -\mathbf{v}_0$  through a quiescent liquid, we find for the fluid flow field

$$\mathbf{v}(\mathbf{r}) = \mathbf{v}_S \frac{3a}{4r} \left( 1 + \frac{a^2}{3r^2} \right) + \hat{\mathbf{e}}_r \left( \hat{\mathbf{e}}_r \cdot \mathbf{v}_S \right) \frac{3a}{4r} \left( 1 - \frac{a^2}{r^2} \right), \tag{A.13}$$

and the friction on the sphere

$$\mathbf{F} = -\zeta \mathbf{v}_S = -6\pi \eta_s a \mathbf{v}_S. \tag{A.14}$$

**F** is known as the Stokes friction.

#### **Appendix B: Smoluchowski and Langevin equations**

The Smoluchowski equation describes the time evolution of the probability density  $\Psi(\mathbf{r}, \mathbf{r}_0; t)$  to find a particle at a particular position  $\mathbf{r}$  at a particular time t, given it was at  $\mathbf{r}_0$  at t = 0. It is assumed that at every instant of time the particle is in thermal equilibrium with respect to its velocity, i.e., the particle velocity is strongly damped on the Smoluchowski timescale. A flux will exist, given by

$$\mathbf{J}(\mathbf{r},\mathbf{r}_0,t) = -D\boldsymbol{\nabla}\Psi(\mathbf{r},\mathbf{r}_0;t) - \frac{1}{\zeta}\Psi(\mathbf{r},\mathbf{r}_0;t)\boldsymbol{\nabla}\Phi(\mathbf{r}).$$
(B.1)

The first term in Eq. (B.1) is the flux due to the diffusive motion of the particle; *D* is the diffusion coefficient, occurring in  $\langle (\mathbf{r}(t) - \mathbf{r}_0)^2 \rangle = 6Dt$ . The second term is the flux in the "downhill" gradient direction of the external potential  $\Phi(\mathbf{r})$ , damped by the friction coefficient  $\zeta$ . At equilibrium, the flux must be zero and the distribution must be equal to the Boltzmann distribution

$$\Psi_{\rm eq}(\mathbf{r}) = C \exp\left[-\beta \Phi(\mathbf{r})\right],\tag{B.2}$$

where  $\beta = 1/k_BT$  and *C* a normalization constant. Using this in Eq. (B.1) while setting  $\mathbf{J}(\mathbf{r},t) = \mathbf{0}$ , leads to the Einstein equation (2.13). In general, we assume that no particles are generated or destroyed, so

$$\frac{\partial}{\partial t}\Psi(\mathbf{r},\mathbf{r}_0;t) = -\nabla \cdot \mathbf{J}(\mathbf{r},\mathbf{r}_0,t). \tag{B.3}$$

Combining Eq. (B.1) with the above equation of particle conservation we arrive at the Smoluchowski equation

$$\frac{\partial}{\partial t}\Psi(\mathbf{r},\mathbf{r}_{0};t) = \boldsymbol{\nabla} \cdot \left[\frac{1}{\zeta}\Psi(\mathbf{r},\mathbf{r}_{0};t)\boldsymbol{\nabla}\Phi(\mathbf{r})\right] + \boldsymbol{\nabla} \cdot \left[D\boldsymbol{\nabla}\Psi(\mathbf{r},\mathbf{r}_{0};t)\right]$$
(B.4)

$$\lim_{t \to 0} \Psi(\mathbf{r}, \mathbf{r}_0; t) = \delta(\mathbf{r} - \mathbf{r}_0).$$
(B.5)

The Smoluchowski equation describes how particle distribution functions change in time and is fundamental to the non-equilibrium statistical mechanics of overdamped particles such as colloids and polymers.

Sometimes it is more advantageous to have explicit equations of motion for the particles instead of distribution functions. Below we shall show that the Langevin equations which lead to the above Smoluchowski equation are:

$$\frac{\mathrm{d}\mathbf{r}}{\mathrm{d}t} = -\frac{1}{\zeta}\nabla\Phi + \nabla D + \mathbf{f}$$
(B.6)

$$\langle \mathbf{f}(t) \rangle = \mathbf{0}$$
 (B.7)

$$\langle \mathbf{f}(t)\mathbf{f}(t') \rangle = 2D\bar{\mathbf{I}}\delta(t-t').$$
 (B.8)

where  $\mathbf{\bar{I}}$  denotes the 3-dimensional unit matrix  $I_{\alpha\beta} = \delta_{\alpha\beta}$ .

The proof starts with the Chapman-Kolmogorov equation, which in our case reads

$$\Psi(\mathbf{r},\mathbf{r}_0;t+\Delta t) = \int d\mathbf{r}' \ \Psi(\mathbf{r},\mathbf{r}';\Delta t) \Psi(\mathbf{r}',\mathbf{r}_0;t). \tag{B.9}$$

This equation simply states that the probability of finding a particle at position  $\mathbf{r}$  at time  $t + \Delta t$ , given it was at  $\mathbf{r}_0$  at t = 0, is equal to the probability of finding that particle at position  $\mathbf{r}'$  at time t, given it was at position  $\mathbf{r}_0$  at time t = 0, multiplied by the probability that it moved from  $\mathbf{r}'$  to  $\mathbf{r}$  in the last interval  $\Delta t$ , integrated over all possibilities for  $\mathbf{r}'$  (we assume  $\Psi$  is properly normalized). In the following we assume that we are always interested in *averages*  $\int d\mathbf{r} F(\mathbf{r})\Psi(\mathbf{r},\mathbf{r}_0;t)$  of some function  $F(\mathbf{r})$ . According to Eq. (B.9) this average at  $t + \Delta t$  reads

$$\int d\mathbf{r} F(\mathbf{r})\Psi(\mathbf{r},\mathbf{r}_{0};t+\Delta t) = \int d\mathbf{r} \int d\mathbf{r}' F(\mathbf{r})\Psi(\mathbf{r},\mathbf{r}';\Delta t)\Psi(\mathbf{r}',\mathbf{r}_{0};t).$$
(B.10)

We shall now perform the integral with respect to **r** on the right hand side. Because  $\Psi(\mathbf{r}, \mathbf{r}'; \Delta t)$  differs from zero only when **r** is in the neighbourhood of **r**', we expand  $F(\mathbf{r})$  around **r**',

$$F(\mathbf{r}) = F(\mathbf{r}') + \sum_{\alpha} (r_{\alpha} - r_{\alpha}') \frac{\partial F(\mathbf{r}')}{\partial r_{\alpha}'} + \frac{1}{2} \sum_{\alpha,\beta} (r_{\alpha} - r_{\alpha}') (r_{\beta} - r_{\beta}') \frac{\partial^2 F(\mathbf{r}')}{\partial r_{\alpha}' \partial r_{\beta}'}$$
(B.11)

where  $\alpha$  and  $\beta$  run from 1 to 3. Introducing this into Eq. (B.10) we get

$$\int d\mathbf{r} F(\mathbf{r}) \Psi(\mathbf{r}, \mathbf{r}_{0}; t + \Delta t) = \int d\mathbf{r}' \left\{ \int d\mathbf{r} \Psi(\mathbf{r}, \mathbf{r}'; \Delta t) \right\} \Psi(\mathbf{r}', \mathbf{r}_{0}; t) F(\mathbf{r}') + \sum_{\alpha} \int d\mathbf{r}' \left\{ \int d\mathbf{r} (r_{\alpha} - r'_{\alpha}) \Psi(\mathbf{r}, \mathbf{r}'; \Delta t) \right\} \Psi(\mathbf{r}', \mathbf{r}_{0}; t) \frac{\partial F(\mathbf{r}')}{\partial r'_{\alpha}} + \frac{1}{2} \sum_{\alpha, \beta} \int d\mathbf{r}' \left\{ \int d\mathbf{r} (r_{\alpha} - r'_{\alpha}) (r_{\beta} - r'_{\beta}) \Psi(\mathbf{r}, \mathbf{r}'; \Delta t) \right\} \Psi(\mathbf{r}', \mathbf{r}_{0}; t) \frac{\partial^{2} F(\mathbf{r}')}{\partial r'_{\alpha} \partial r'_{\beta}}.$$
(B.12)

Now we evaluate the terms between brackets:

$$\int d\mathbf{r} \Psi(\mathbf{r}, \mathbf{r}'; \Delta t) = 1$$
(B.13)

$$\int d\mathbf{r} (r_{\alpha} - r'_{\alpha}) \Psi(\mathbf{r}, \mathbf{r}'; \Delta t) = -\frac{1}{\zeta} \frac{\partial \Phi}{\partial r'_{\alpha}} \Delta t + \frac{\partial D}{\partial r'_{\alpha}} \Delta t \qquad (B.14)$$

$$\int d\mathbf{r} (r_{\alpha} - r'_{\alpha})(r_{\beta} - r'_{\beta})\Psi(\mathbf{r}, \mathbf{r}'; \Delta t) = 2D\delta_{\alpha\beta}\Delta t, \qquad (B.15)$$

33

which hold true up to first order in  $\Delta t$ . The first equation is obvious. The last two easily follow from the Langevin equations (B.6) - (B.8). Introducing this into Eq. (B.12), dividing by  $\Delta t$  and taking the limit  $\Delta t \rightarrow 0$ , we get

$$\int d\mathbf{r} F(\mathbf{r}) \frac{\partial}{\partial t} \Psi(\mathbf{r}, \mathbf{r}_{0}; t) = \sum_{\alpha} \int d\mathbf{r}' \left\{ \left[ -\frac{1}{\zeta} \frac{\partial \Phi}{\partial r'_{\alpha}} + \frac{\partial D}{\partial r'_{\alpha}} \right] \frac{\partial F(\mathbf{r}')}{\partial r'_{\alpha}} + D \frac{\partial^{2} F(\mathbf{r}')}{\partial r'_{\alpha}^{2}} \right\} \Psi(\mathbf{r}', \mathbf{r}_{0}; t) \quad (B.16)$$

Next we change the integration variable  $\mathbf{r}'$  into  $\mathbf{r}$  and perform some partial integrations. Making use of  $\lim_{|\mathbf{r}|\to\infty} \Psi(\mathbf{r},\mathbf{r}_0;t) = 0$  and  $\nabla^2(D\Psi) = \nabla \cdot (\Psi \nabla D) + \nabla \cdot (D\nabla \Psi)$ , we finally obtain

$$\int d\mathbf{r} F(\mathbf{r}) \frac{\partial}{\partial t} \Psi(\mathbf{r}, \mathbf{r}_{0}; t)$$

$$= \sum_{\alpha} \int d\mathbf{r} F(\mathbf{r}) \frac{\partial}{\partial r_{\alpha}} \left[ \frac{1}{\zeta} \Psi(\mathbf{r}, \mathbf{r}_{0}; t) \frac{\partial \Phi}{\partial r_{\alpha}} \right] +$$

$$\sum_{\alpha} \int d\mathbf{r} F(\mathbf{r}) \left\{ \frac{\partial}{\partial r_{\alpha}} \left[ -\Psi(\mathbf{r}, \mathbf{r}_{0}; t) \frac{\partial D}{\partial r_{\alpha}} \right] + \frac{\partial^{2}}{\partial r_{\alpha}^{2}} \left[ D\Psi(\mathbf{r}, \mathbf{r}_{0}; t) \right] \right\}$$

$$= \int d\mathbf{r} F(\mathbf{r}) \left\{ \nabla \cdot \left[ \frac{1}{\zeta} \Psi(\mathbf{r}, \mathbf{r}_{0}; t) \nabla \Phi(\mathbf{r}) \right] + \nabla \cdot \left[ D \nabla \Psi(\mathbf{r}, \mathbf{r}_{0}; t) \right] \right\}. \quad (B.17)$$

Because this has to hold true for all possible  $F(\mathbf{r})$  we conclude that the Smoluchowski equation (B.4) follows from the Langevin equations (B.6) - (B.8).