

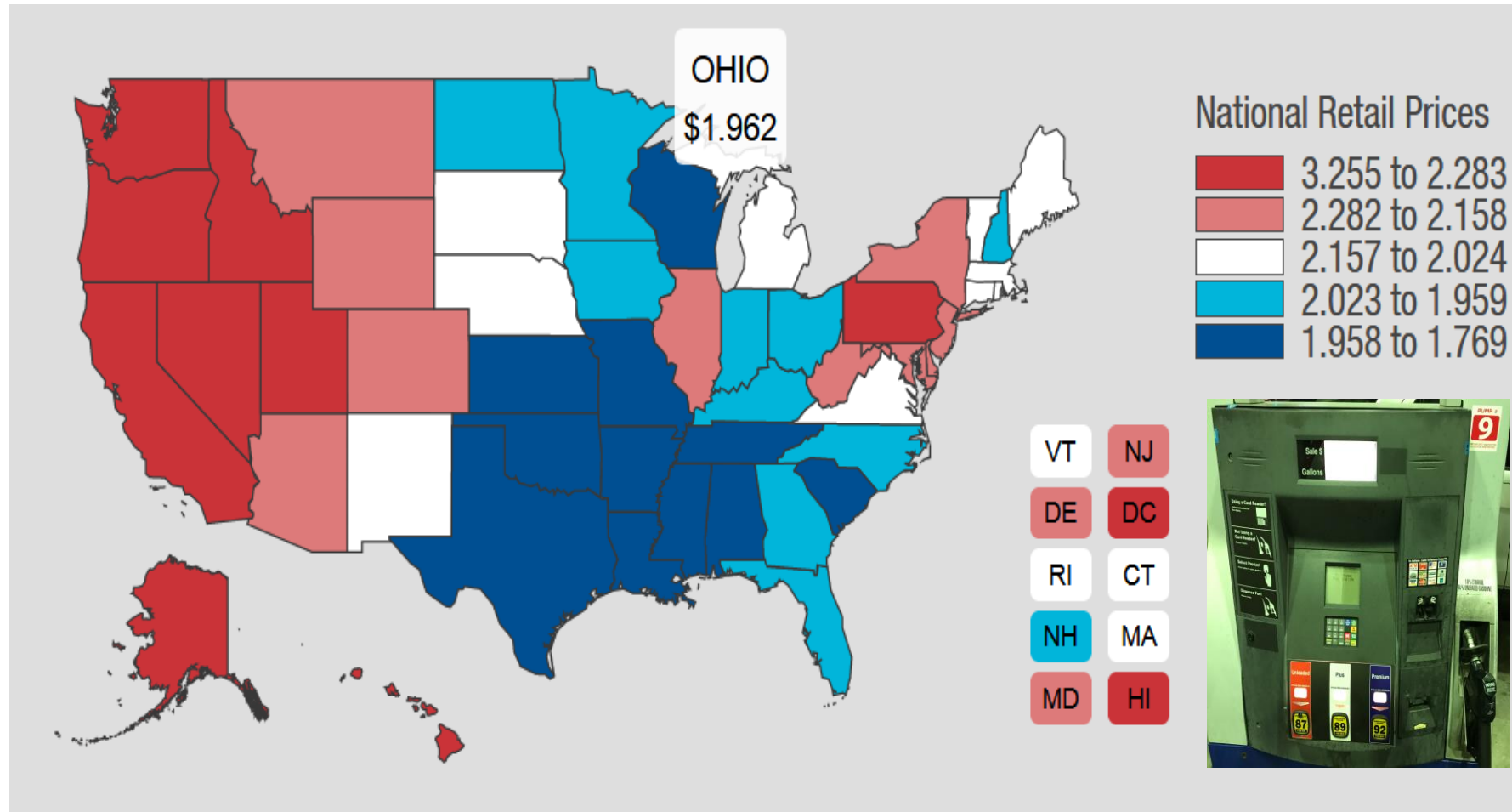
# Biofuels production from renewable biomass

*Maobing Tu*

*Chemical and Environmental Engineering*

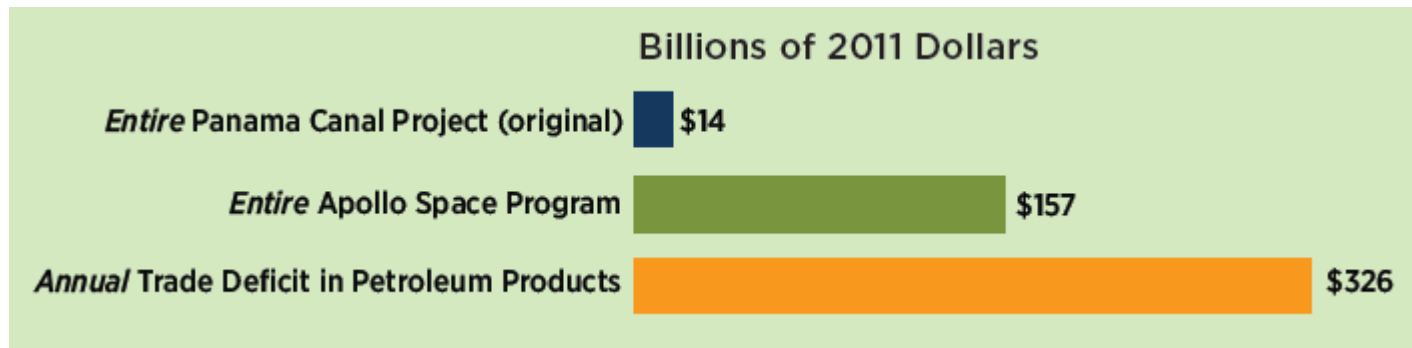
*University of Cincinnati*

# Gasoline and ethanol



# Background

- U.S. spending \$1 billion per day on foreign oil
- Facing critical disruptions in oil supply
- Generating economic uncertainties
- Influencing national security



# Drivers-why biofuels and bioproducts

- Increase energy security and reduce the nation's dependence on foreign oil
- Reduce greenhouse gas (GHG) emission
- Enhance sustainability of liquid fuels
- Create new economic opportunities and jobs
- Utilize 1 billion tons of renewable biomass (U.S.)

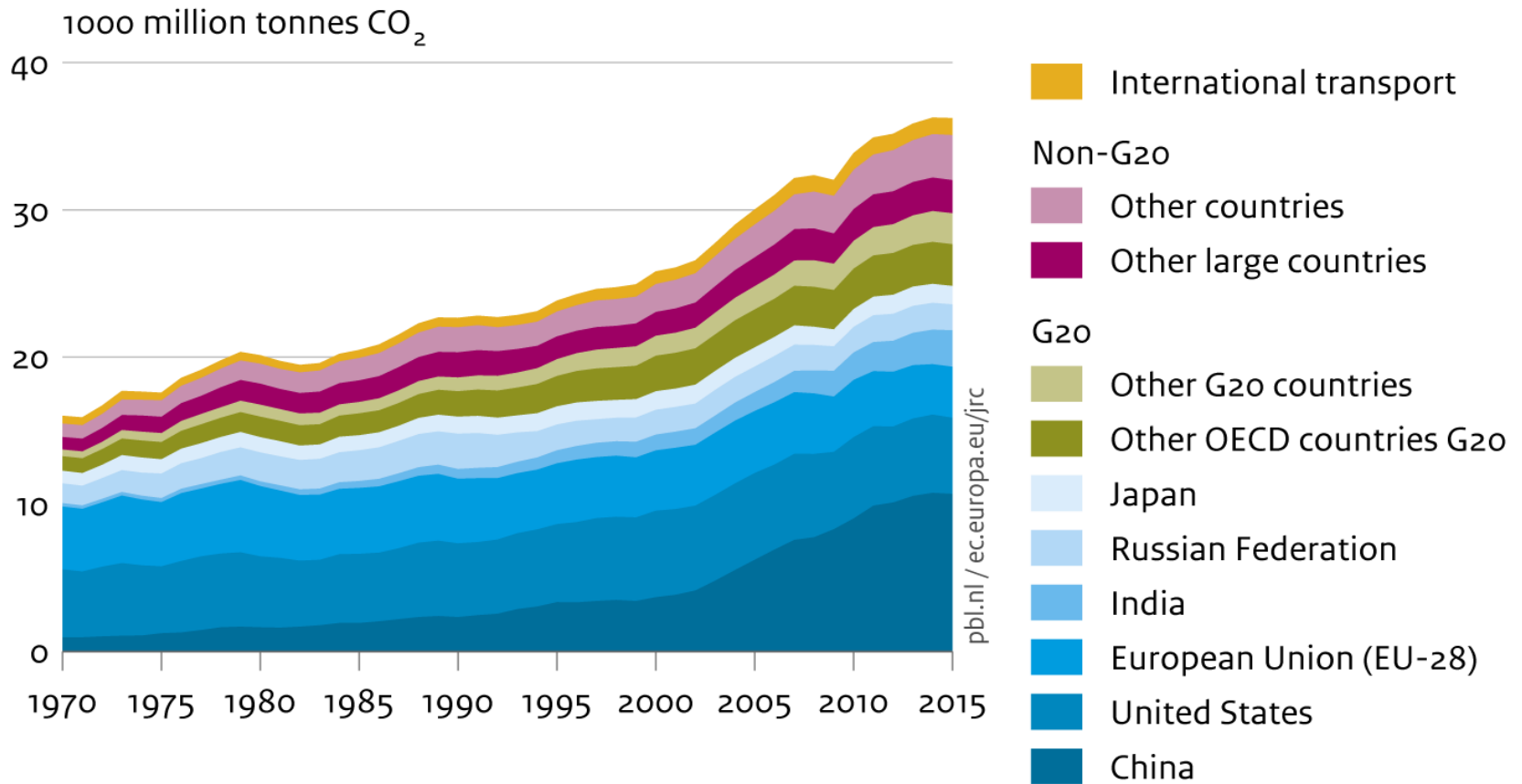
# U.S. petroleum imports and exports (2019)

- U.S. petroleum imports and exports million barrels per day

Import sources	Gross imports	Exports	Net imports
Total, all countries	9.14	8.47	0.67
OPEC countries	1.64 (18%)	0.08	1.56
Persian Gulf countries	0.96 (11%)	0.05	0.91
<b>Top five countries<sup>1</sup></b>			
Canada	4.43 (48%)	1.04	3.40
Mexico	0.65 (7%)	1.16	-0.51
Saudi Arabia	0.53 (6%)	<0.01	0.53
Russia	0.52 (6%)	<0.01	0.52
Colombia	0.37 (4%)	0.14	0.24

# U.S. CO<sub>2</sub> emission

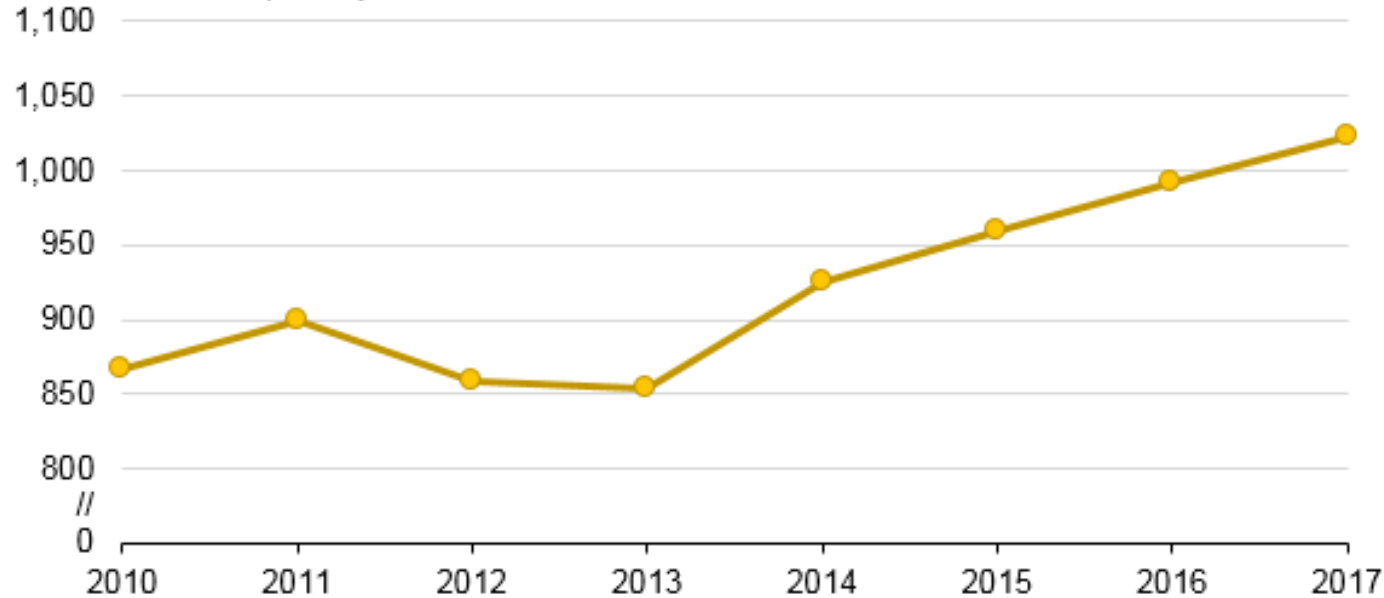
## Global CO<sub>2</sub> emissions per region from fossil-fuel use and cement production



Source: EDGAR v4.3.2 FT2015 (JRC/PBL 2016: IEA 2014 (suppl. with IEA 2016 for China, BP 2016, NBS 2016, USGS 2016, WSA 2016, NOAA 2016)

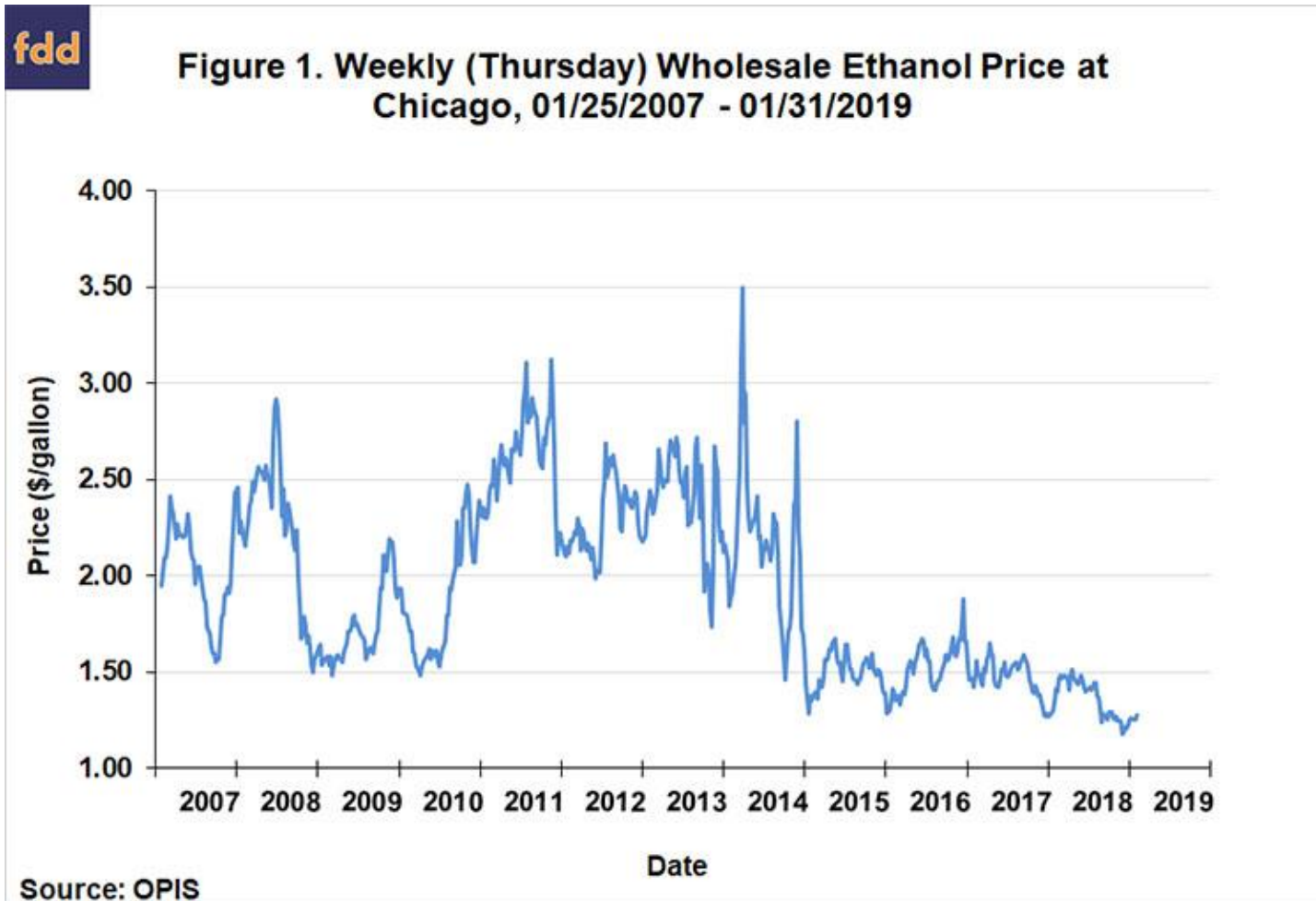
# U.S. fuel ethanol production

U.S. ethanol production (2010-2017)  
thousand barrels per day



- Corn is the primary feedstock of ethanol in U.S.
- Ethanol is blended with gasoline (10%)

# Ethanol price





# Energy content of ethanol

Fuel	MJ/L	MJ/Kg
Ethanol	23.5	31.1
Gasoline	34.8	44.4
Diesel	38.6	45.4
Dry Wood	-	19.5
E85	25.2	33.2
Liq. Natural Gas	25.3	55
Methanol	17.9	19.9

# Why lignocellulosic biomass?

- Biomass is carbon-based organic material, including forest residues/waste, agricultural residues, energy crops (switchgrass) and algae
- Biomass clean renewable source of energy
- Biomass absorbs carbon during growth



# Federal initiative on bioenergy

- President Bush-2007
  - “Twenty-in-Ten” initiative, reduce gasoline 20% in 10 y
  - Energy Independence & Security Act mandates 36 b gallons of renewable fuels by 2022
  - Bioenergy Research Centers
- President Obama-2013
  - \$2-Billion Energy Security Trust
  - Natural gas fuel & Hydrogen fuel
  - Advanced batteries
  - Cleaner biofuels



# Bioenergy research centers

- DOE Joint BioEnergy Institute
- DOE Great Lakes Bioenergy Research Center
- DOE BioEnergy Science Center
  - Receive \$25 million per year
  - Innovative biofuel research for another five years
- DOE Bioenergy Research Centers (BRCs) 2017
  - \$40 million per year

# Integrated biorefinery projects funded (DOE)

- INEOS first commercial biorefinery (8MG)
- POET-DSM & Abengoa produce ethanol (20/25MG)
- Myriant produces biobased succinic acid (30 MP)

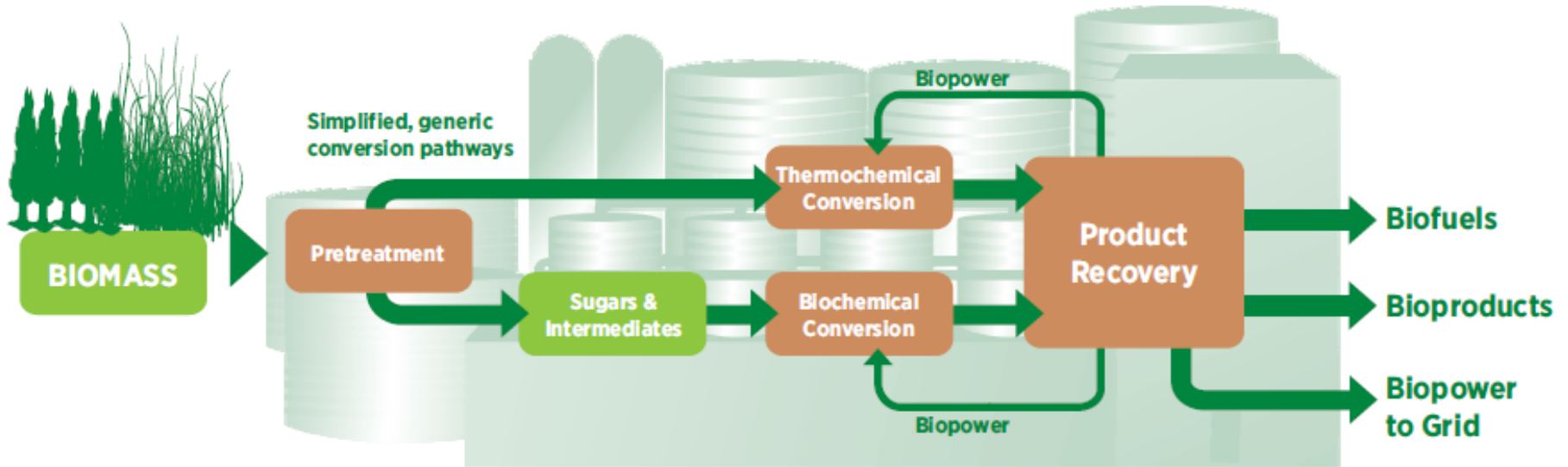


# Integrated biorefinery

Project	Location	Scale	Conversion Technology	
<a href="#">Abengoa</a>	American Process Inc.	Alpena, MI	Pilot	Biochemical
Bluefire LLC	<a href="#">Amyris Biotechnologies Inc.</a>	Emeryville, CA	Pilot	Biochemical
Flambeau	Archer Daniels Midland	Decatur, IL	Pilot	Biochemical
Mascoma	Haldor Topsoe Inc.	Des Plaines, IL	Pilot	Thermo - Gasification
<a href="#">POET</a>	ICM Inc.	St. Joseph, MO	Pilot	Biochemical
Enerkem	Logos/EdenIQ Technologies	Visalia, CA	Pilot	Biochemical
INEOS New Planet Bioenergy LLC	Renewable Energy Institute International	Toledo, OH	Pilot	Thermo - Gasification
<a href="#">Myriant</a>	Rentech ClearFuels	Commerce City, CO	Pilot	Thermo - Gasification
RSA	Solazyme Inc.	Peoria, IL	Pilot	Algae/Sugar
Sapphire Energy Inc.	UOP LLC	Kapolei, HI	Pilot	Thermo - Pyrolysis
Algenol Biofuels Inc	ZeaChem Inc.	Boardman, OR	Pilot	Hybrid
	Gas Technology Institute	Des Plaines, IL	Design Only	Thermo - Pyrolysis

# Biorefinery pathways

- Thermochemical conversion (gasification/pyrolysis)
- Biochemical conversion (enzymes/microbes)

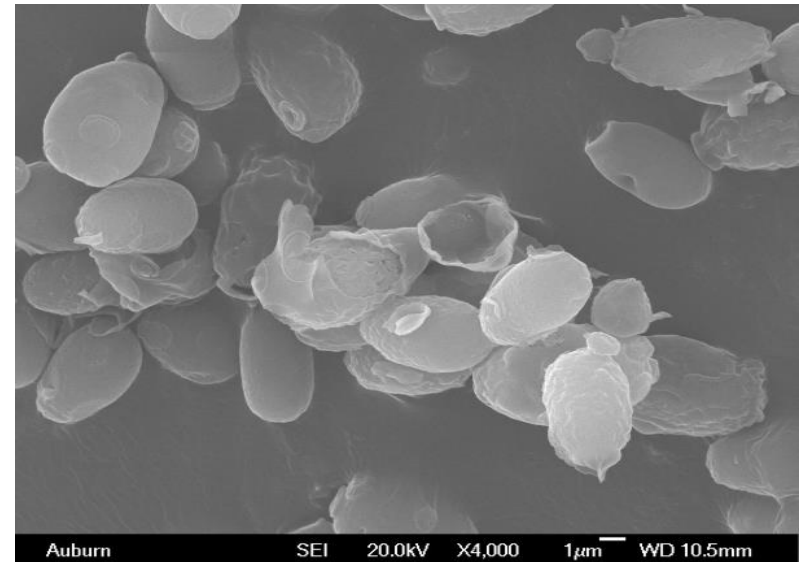


<https://www1.eere.energy.gov/bioenergy>



# Background

- Biomass pretreatment is needed in biorefinery
  - Break down the recalcitrant structure of cell walls
  - Subsequent enzymatic hydrolysis and fermentation
- Pretreatment undesirably generates inhibitors
  - Degradation of cellulose, hemicellulose, lignin and extractives
- Fermentation inhibition
  - Reduce microbial growth
  - Decrease fermentation rate and yield

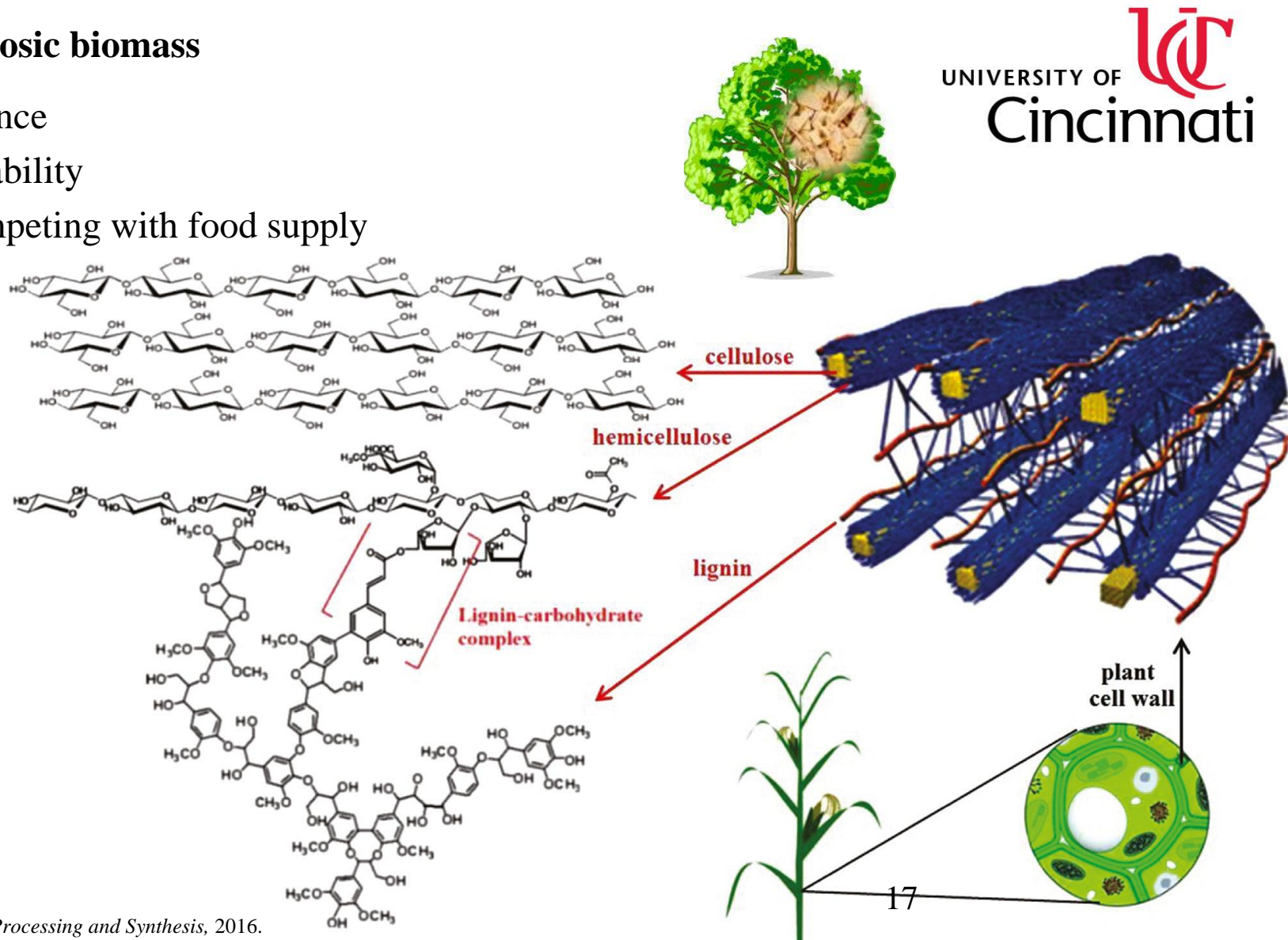




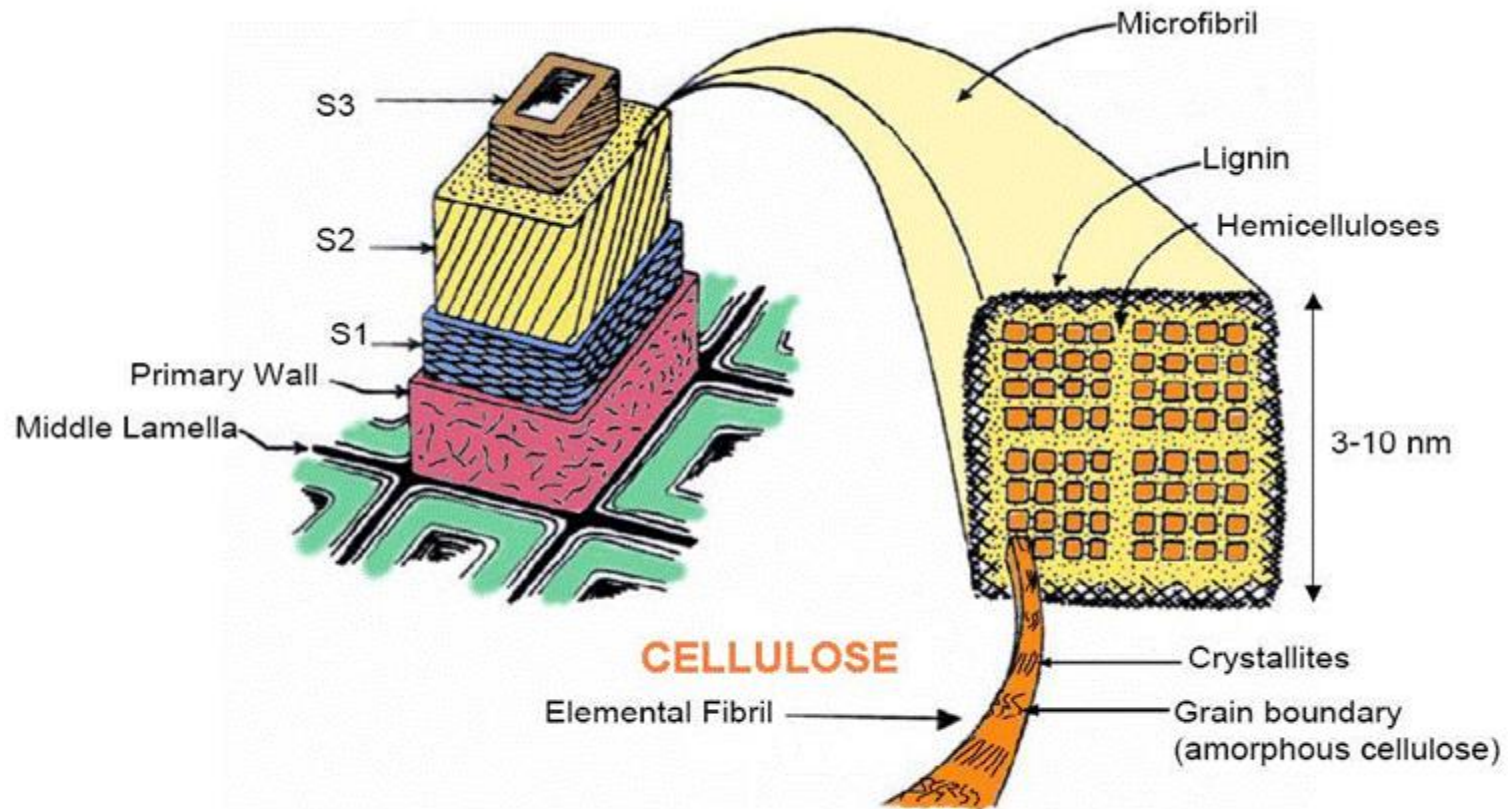
# Structure of lignocellulosic biomass

## Lignocellulosic biomass

- Abundance
- Sustainability
- Not competing with food supply



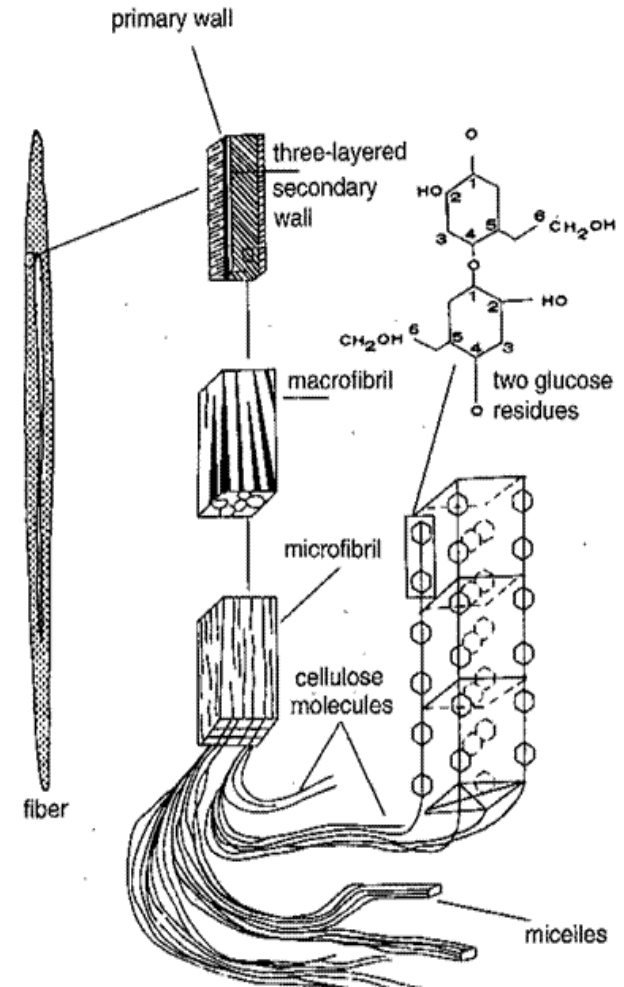
# Ultrastructure of plant cell wall



Industry Canada Nov 2005

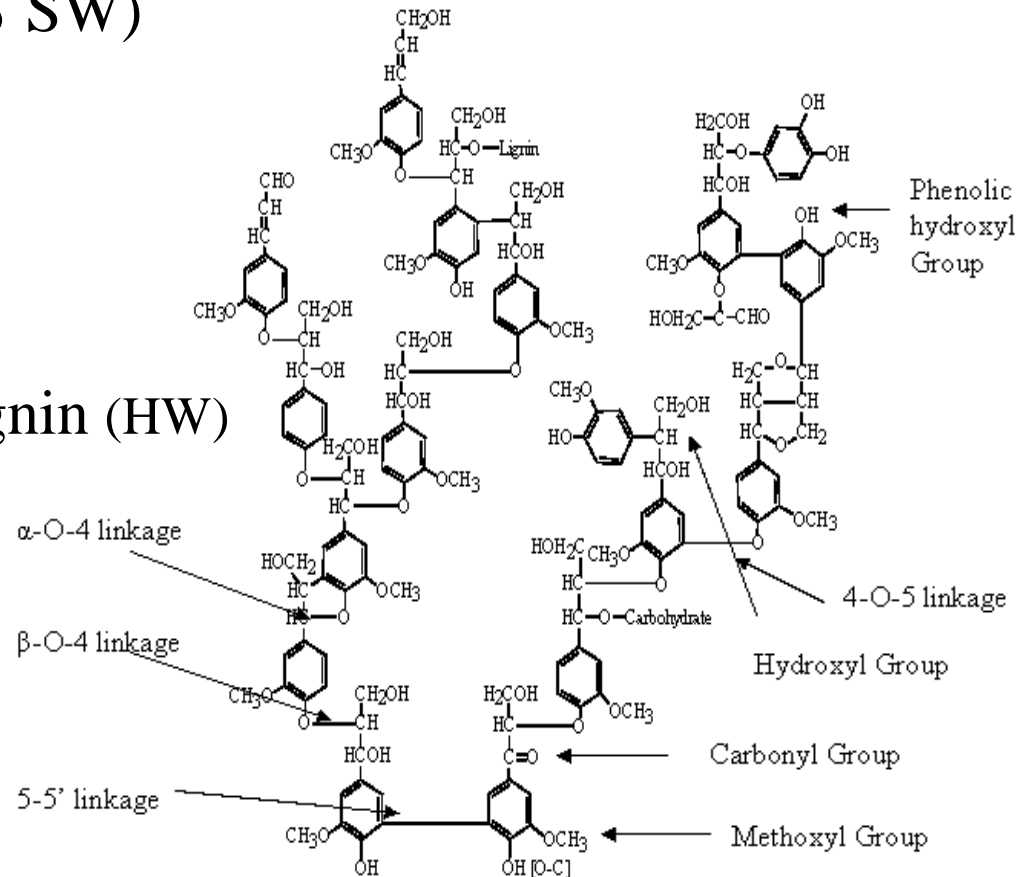
# Lignocellulosic biomass chemistry

- **Cellulose** (45% HW/SW)
  - Linear polymer of  $\beta$ -1,4 linked glucose
  - Degree polymerization (DP), 10,000
  - Crystalline and amorphous
- **Hemicellulose** (35% HW, 25% SW)
  - Branched polymer of glucose, mannose, galactose, xylose, and arabinose
  - DP 150-200
  - Easily degraded and dissolved



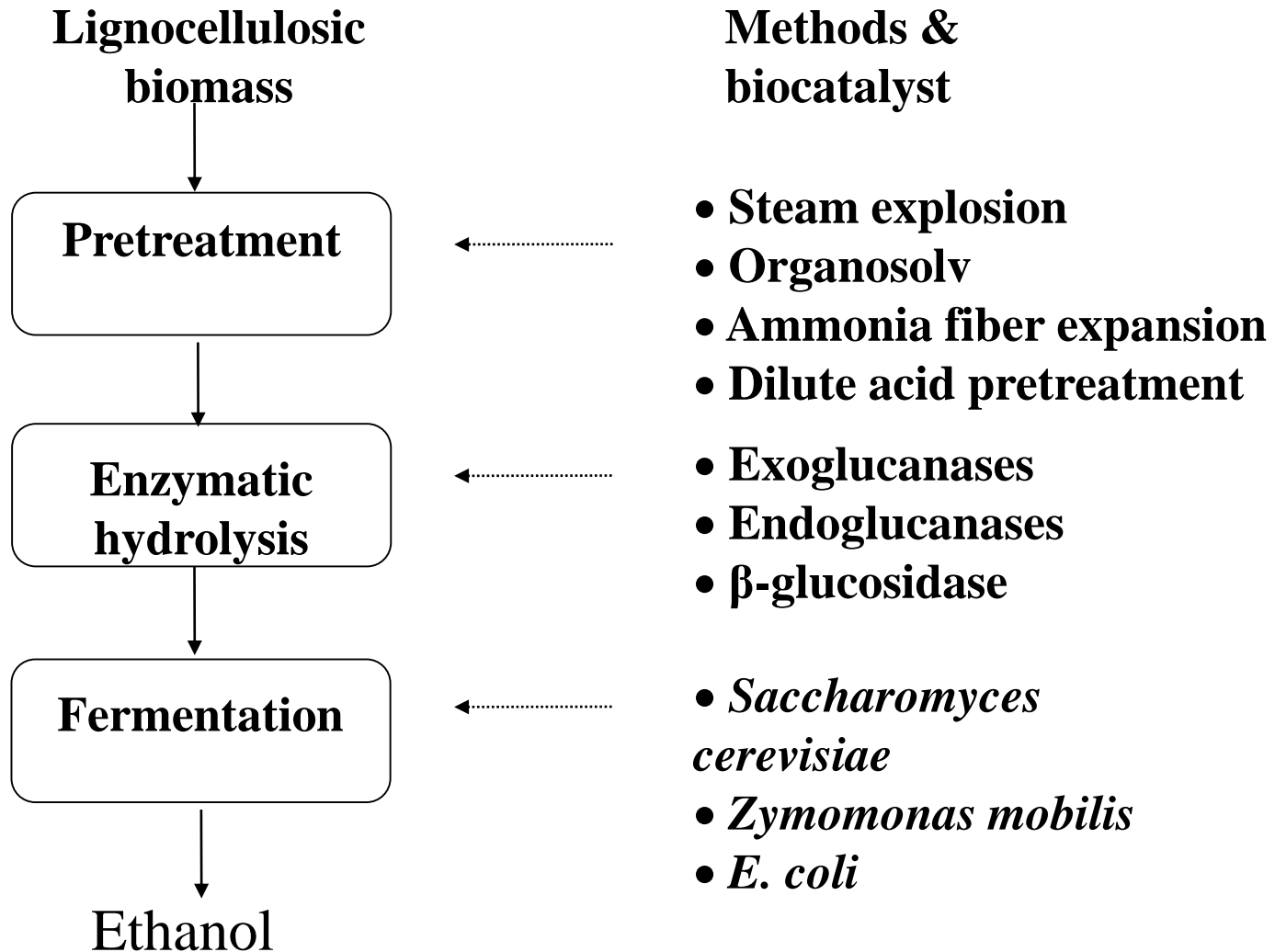
# Lignocellulosic biomass chemistry

- **Lignin** (21% HW, 25% SW)
  - 3-dimension
  - Amorphous polymer
  - Phenylpropane
  - Guaiacyl-syringyl lignin (HW)
  - Guaiacyl lignin (SW)
  - Complex structure



The structure of softwood lignin (Akler )

# Bioconversion process



# Pretreatment process

- **Steam explosion**
  - High yield of cellulose
  - High lignin content
- **Organosolv pretreatment**
  - Hydrolyzing of hemicellulose
  - Solubilization of lignin
- **Ammonia fiber explosion (AFEX)**
  - degrading crystalline cellulose, preserving hemicellulose
  - 10-20% solubilization of lignin
- **Dilute acid pretreatment**
  - Extensive hemicellulose hydrolysis
  - Furfural and other degradation products



# Biomass deconstruction and pretreatment

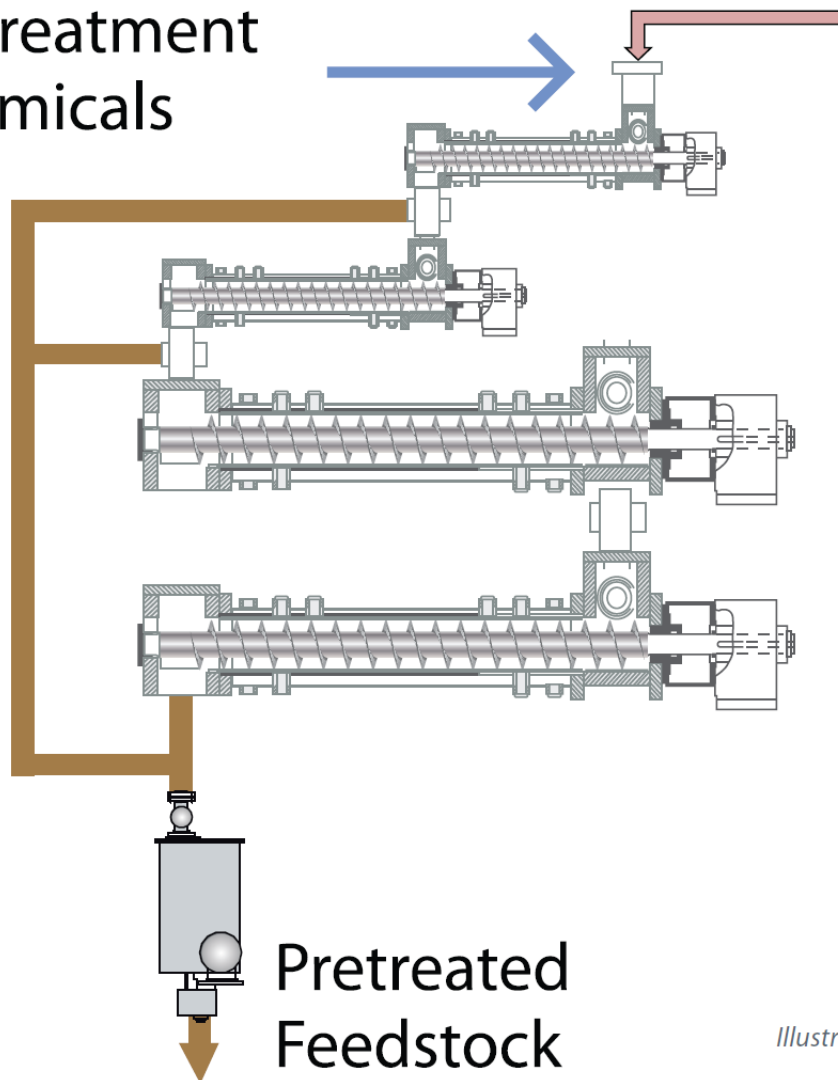
- Chemical and mechanical deconstruction
  - Deacetylation and mechanical refining process
  - Low toxicity, high concentration sugar stream
  - Native lignin



Photo by Dennis Schroeder, NREL/PIX 17684

# Biomass deconstruction

Pretreatment  
Chemicals



Feedstock

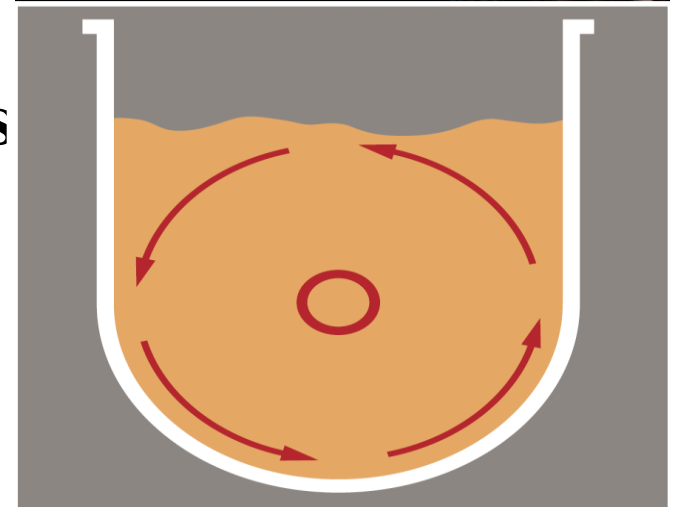
- Multiple horizontal-tube reactors
- Steam heated to 150-210 °C
- Changing the auger speed to move biomass

*Illustration created by Josh Bauer, NREL*



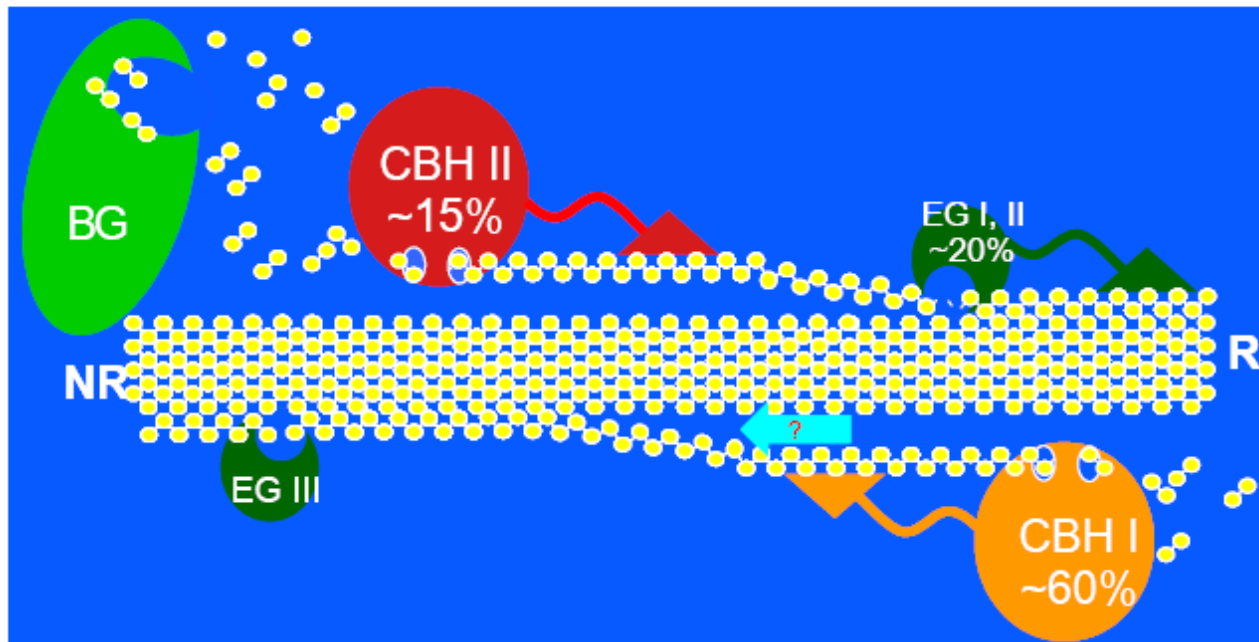
# Produce highly concentrated sugar streams

- Solid loadings  $>20\%$  w/w
- Operated in batch mode (36h)
- Vigorous mixing at temperature
  - 40-50°C
- Biomass slurry is liquefied 24 h
- Complete **enzymatic hydrolysis**
  - in another reactor



# Enzymatic hydrolysis of cellulose

## *Trichoderma reesei* Cellulases

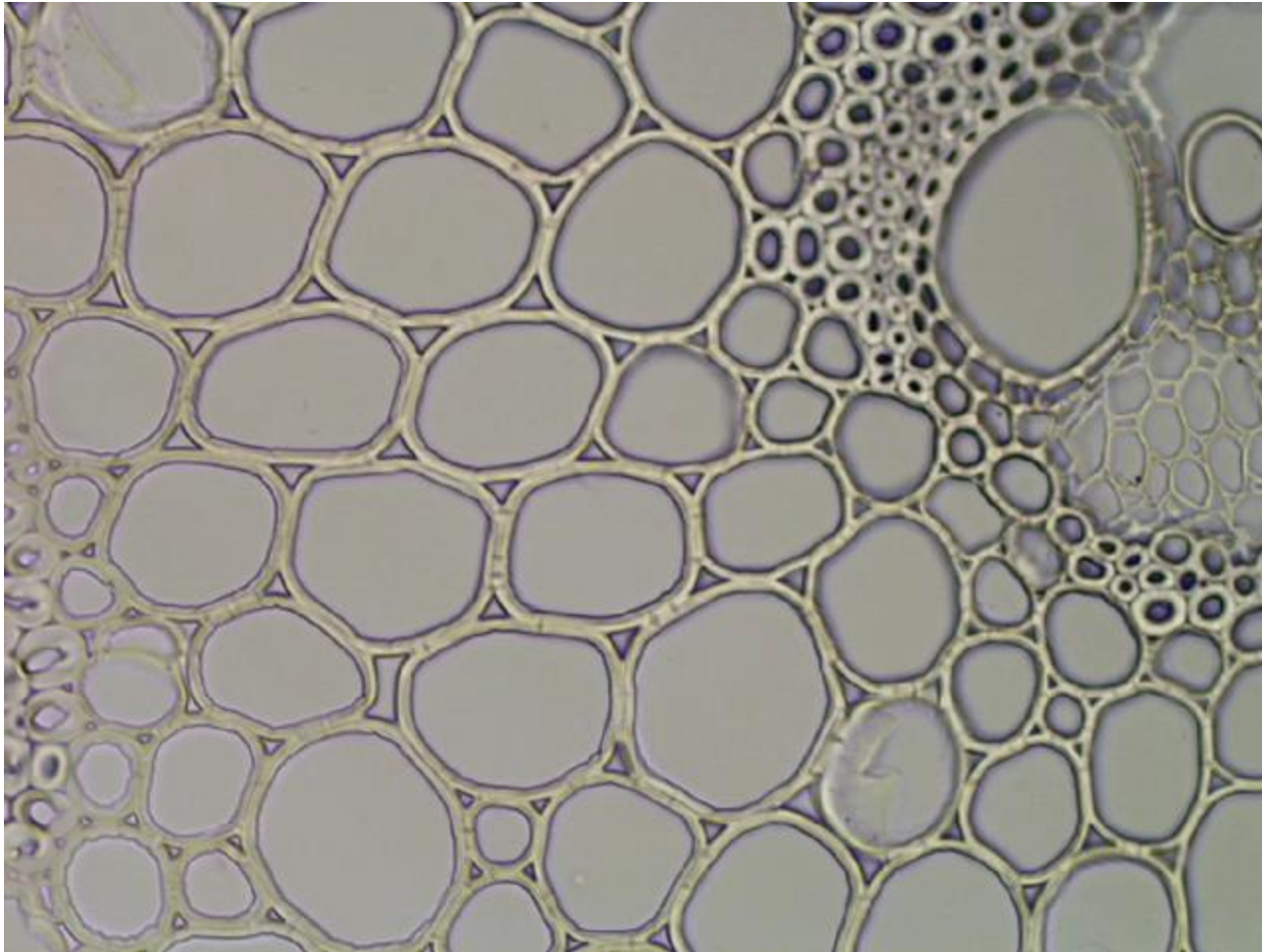


EG: endo-glucanase

CBH: cellobiohydrolase

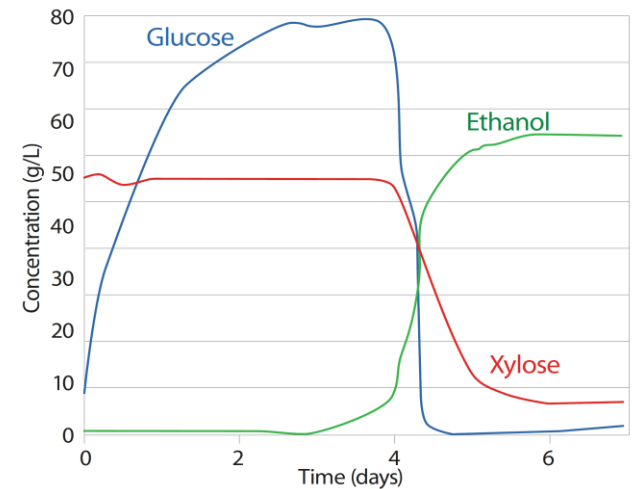
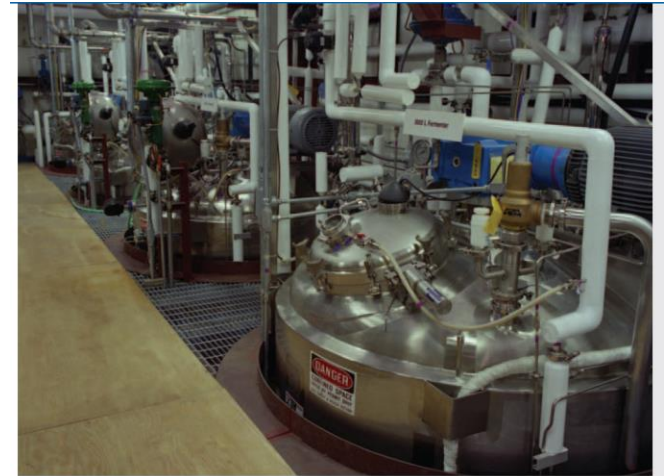
BG: β-glucosidase

# Plant cell walls digested by fungal cellulases (10 h)



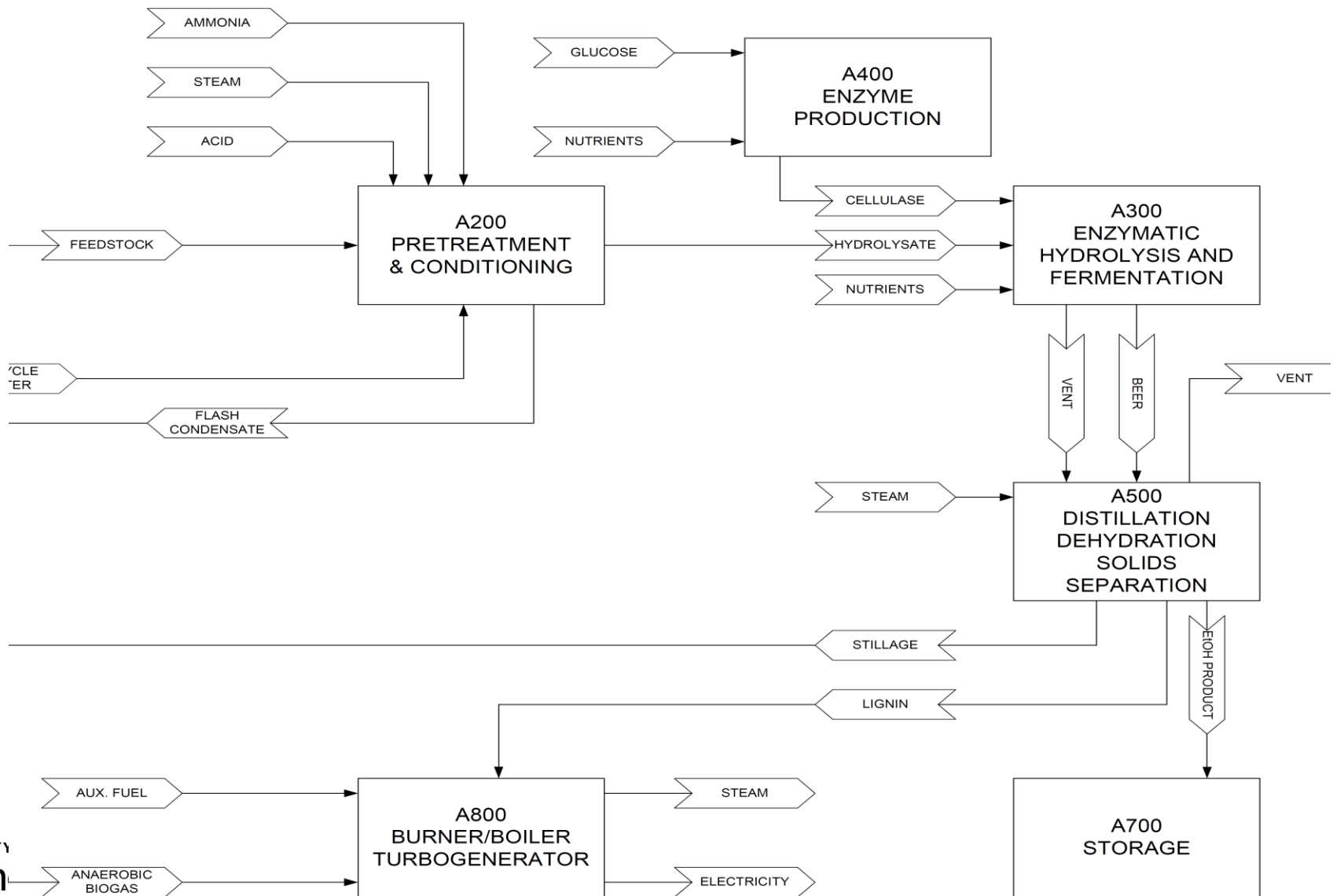
# Microbial fermentation

- Fermentation systems with pH,
  - Temperature, dissolved oxygen control
- Monitoring glucose and xylose
  - Consumption and ethanol production



Enzymatic hydrolysis and fermentation of pretreated corn stover

# Process design and economic analysis





# Ethanol production engineering analysis

Dilute Acid Pretreatment with Enzymatic Hydrolysis and Co-Fermentation

All Values in 2007\$

Minimum Ethanol Selling Price (MESP): **\$2.15 /gal**

Gasoline-Equivalent MESP: **\$3.27 /gal gasoline equivalent**

Contributions: Feedstock **\$0.74 /gal**

Enzymes **\$0.34 /gal**

Non-Enzyme Conversion **\$1.08 /gal**

Ethanol Production 61.0 MMgal/yr (Ethanol at 68 °F)

Ethanol Yield 79.0 gal / dry U.S. ton feedstock

Feedstock + Handling Cost \$58.50 /dry U.S. ton

Internal Rate of Return (After-Tax) 10%

Equity Percent of Total Investment 40%

## Capital Costs

Pretreatment	\$29,900,000
Neutralization/Conditioning	\$3,000,000
Saccharification & Fermentation	\$31,200,000
On-site Enzyme Production	\$18,300,000
Distillation and Solids Recovery	\$22,300,000
Wastewater Treatment	\$49,400,000
Storage	\$5,000,000
Boiler/Turbogenerator	\$66,000,000
Utilities	\$6,900,000
<b>Total Installed Equipment Cost</b>	<b>\$232,000,000</b>

Added Direct + Indirect Costs \$190,500,000  
(% of TCI) 45%

**Total Capital Investment (TCI) \$422,500,000**

## Manufacturing Costs (cents/gal ethanol)

Feedstock + Handling	74.1
Sulfuric Acid	2.4
Ammonia	6.5
Glucose (enzyme production)	19.3
Other Raw Materials	12.9
Waste Disposal	2.5
Net Electricity	-10.8
Fixed Costs	17.5
Capital Depreciation	22.0
Average Income Tax	12.3
Average Return on Investment	56.6

## Manufacturing Costs (\$/yr)

Feedstock + Handling	\$45,200,000
Sulfuric Acid	\$1,500,000

# Biomass composition in process design

Table 1. Delivered Feedstock Composition Assumed in the Present Design

Component	Composition (dry wt %)
Glucan	35.1
Xylan	19.5
Lignin	15.8
Ash	4.9
Acetate <sup>a</sup>	1.8
Protein	3.1
Extractives	14.7
Arabinan	2.4
Galactan	1.4
Mannan	0.6
Sucrose	0.8
<i>Total structural carbohydrate</i>	<i>59.0</i>
<i>Total structural carbohydrate + sucrose</i>	<i>59.8</i>
<i>Moisture (bulk wt %)</i>	<i>20.0</i>

- Biomass cost is \$58.5/dry ton (2007\$)

# Biomass pretreatment targets

Table 7. Research Status and 2012 Targets in the Pretreatment Area

	2008 State of Technology	2009 State of Technology	2010 State of Technology	2012 Targets
<b>Pretreatment</b>				
Solids loading (wt %)	30%	30%	30%	30%
Xylan conversion to xylose (%)	75%	84%	85%	90%
Xylan conversion to furfural (%)	11%	6.4%	8%	5%
<b>Conditioning</b>				
Ammonia loading (g/L of hydrolysate)	13	10	4.8	4.8
Hydrolysate solid-liquid separation	yes	yes	yes	no
Xylose sugar loss (% entering conditioning)	2%	2%	2%	1%
Glucose sugar loss (% entering conditioning)	1%	1%	1%	0%



# Enzymatic hydrolysis and assumed conversions

Reaction	Reactant	% Converted to Product
$(\text{Glucan})_n \rightarrow n \text{ Glucose Oligomer}$	Glucan	4.0%
$(\text{Glucan})_n + \frac{1}{2}n \text{ H}_2\text{O} \rightarrow \frac{1}{2}n \text{ Cellobiose}$	Glucan	1.2%
$(\text{Glucan})_n + n \text{ H}_2\text{O} \rightarrow n \text{ Glucose}$	Glucan	90.0%
$\text{Cellobiose} + \text{H}_2\text{O} \rightarrow 2 \text{ Glucose}$	Cellobiose	100%

- Temperature 48 °C and Initial solids loading 20 wt % total solids
- Residence time 84 h
- Number and size of continuous vessels 8 @ 950 m<sup>3</sup> (250,000 gal) each
- Number and size of batch vessels 12 @ 3,600 m<sup>3</sup> (950,000 gal) each
- Cellulase loading 20 mg protein/g cellulose

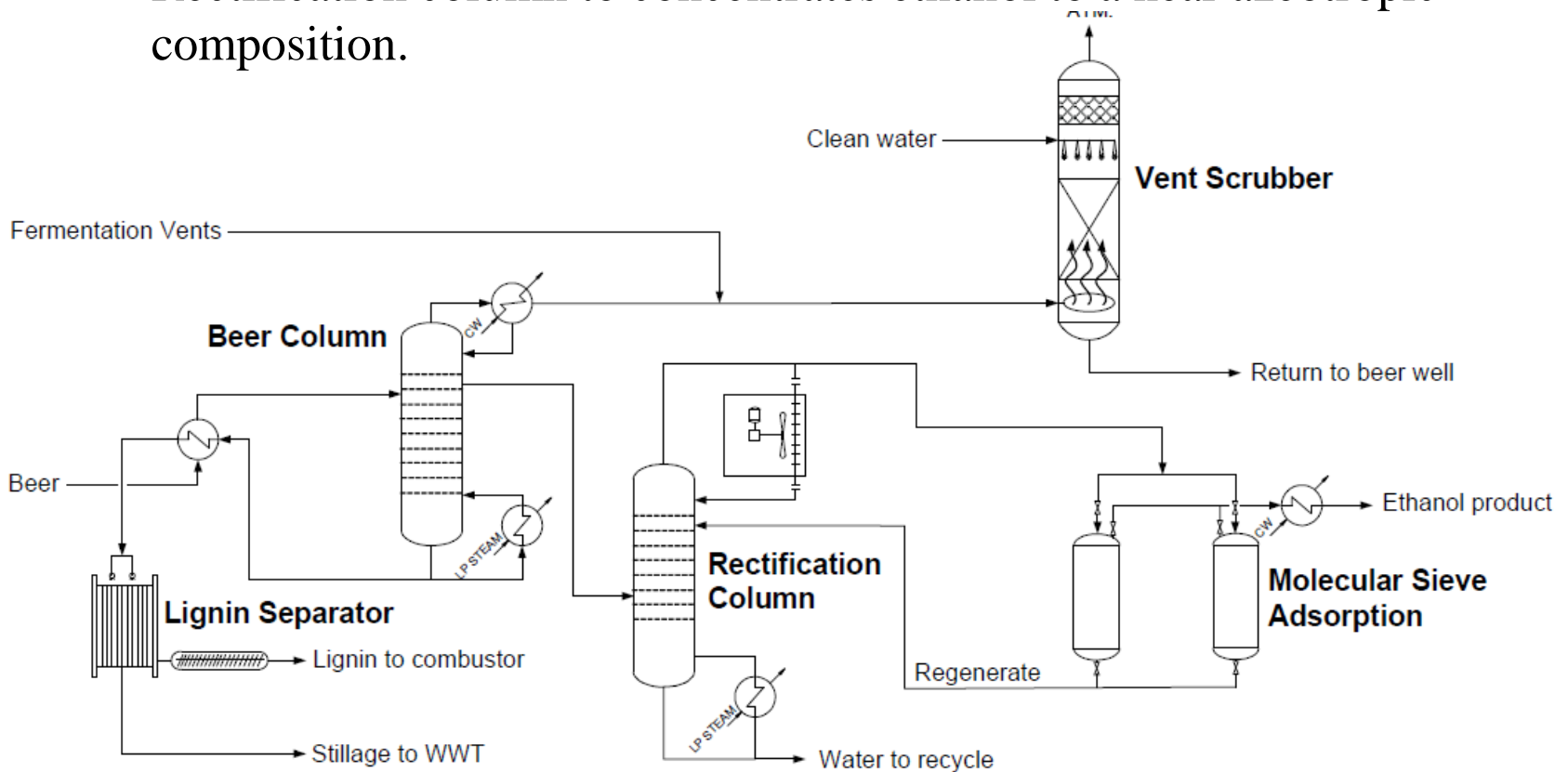
# Co-fermentation of glucose and xylose

Reaction	Reactant	% Converted to Product
Glucose $\rightarrow$ 2 Ethanol + 2 CO <sub>2</sub>	Glucose	95.0%
Glucose + 0.047 CSL <sup>a</sup> + 0.018 DAP $\rightarrow$ 6 <i>Z. mobilis</i> + 2.4 H <sub>2</sub> O	Glucose	2.0%
Glucose + 2 H <sub>2</sub> O $\rightarrow$ 2 Glycerol + O <sub>2</sub>	Glucose	0.4%
Glucose + 2 CO <sub>2</sub> $\rightarrow$ 2 Succinic Acid + O <sub>2</sub>	Glucose	0.6%
3 Xylose $\rightarrow$ 5 Ethanol + 5 CO <sub>2</sub>	Xylose	85.0%
Xylose + 0.039 CSL + 0.015 DAP $\rightarrow$ 5 <i>Z. mobilis</i> + 2 H <sub>2</sub> O	Xylose	1.9%
3 Xylose + 5 H <sub>2</sub> O $\rightarrow$ 5 Glycerol + 2.5 O <sub>2</sub>	Xylose	0.3%
Xylose + H <sub>2</sub> O $\rightarrow$ Xylitol + 0.5 O <sub>2</sub>	Xylose	4.6%
3 Xylose + 5 CO <sub>2</sub> $\rightarrow$ 5 Succinic Acid + 2.5 O <sub>2</sub>	Xylose	0.9%

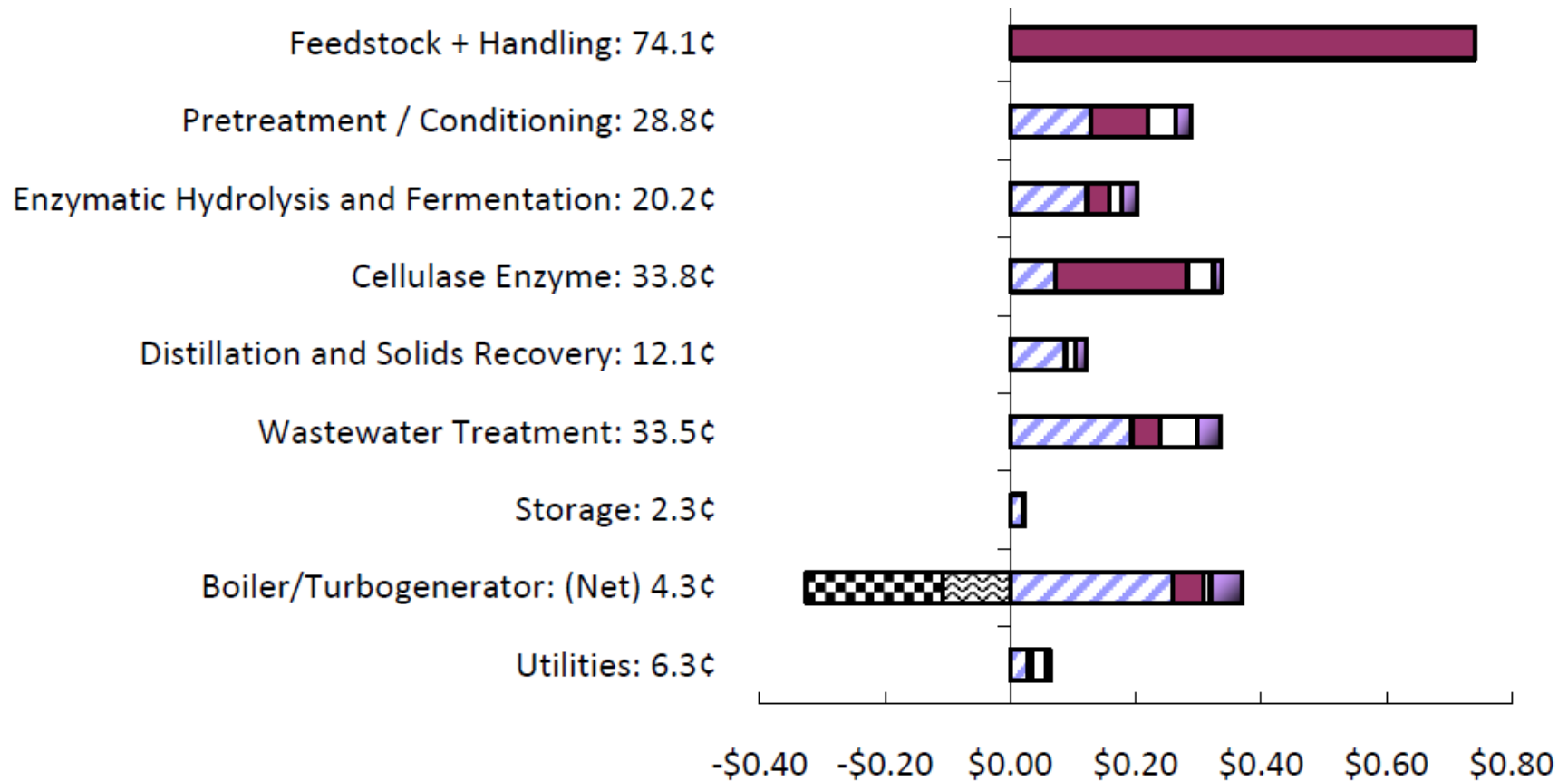
- Organism Recombinant *Zymomonas mobilis*
- Temperature 32 °C
- Initial fermentation solids level 19.8% total solids
- Residence time 1.5 days (36 h)
- Inoculum level 10 vol %

# Ethanol distillation and separation

- Distillation and molecular sieve adsorption to recover ethanol
- Distillation is accomplished in two columns:
  - Beer column, removes the dissolved CO<sub>2</sub> and most of the water.
  - Rectification column to concentrates ethanol to a near azeotropic composition.



# Cost contribution from each process area



■ Capital Recovery Charge

■ Grid Electricity

■ Raw Materials & Waste

■ Total Plant Electricity

■ Process Electricity

■ Fixed Costs

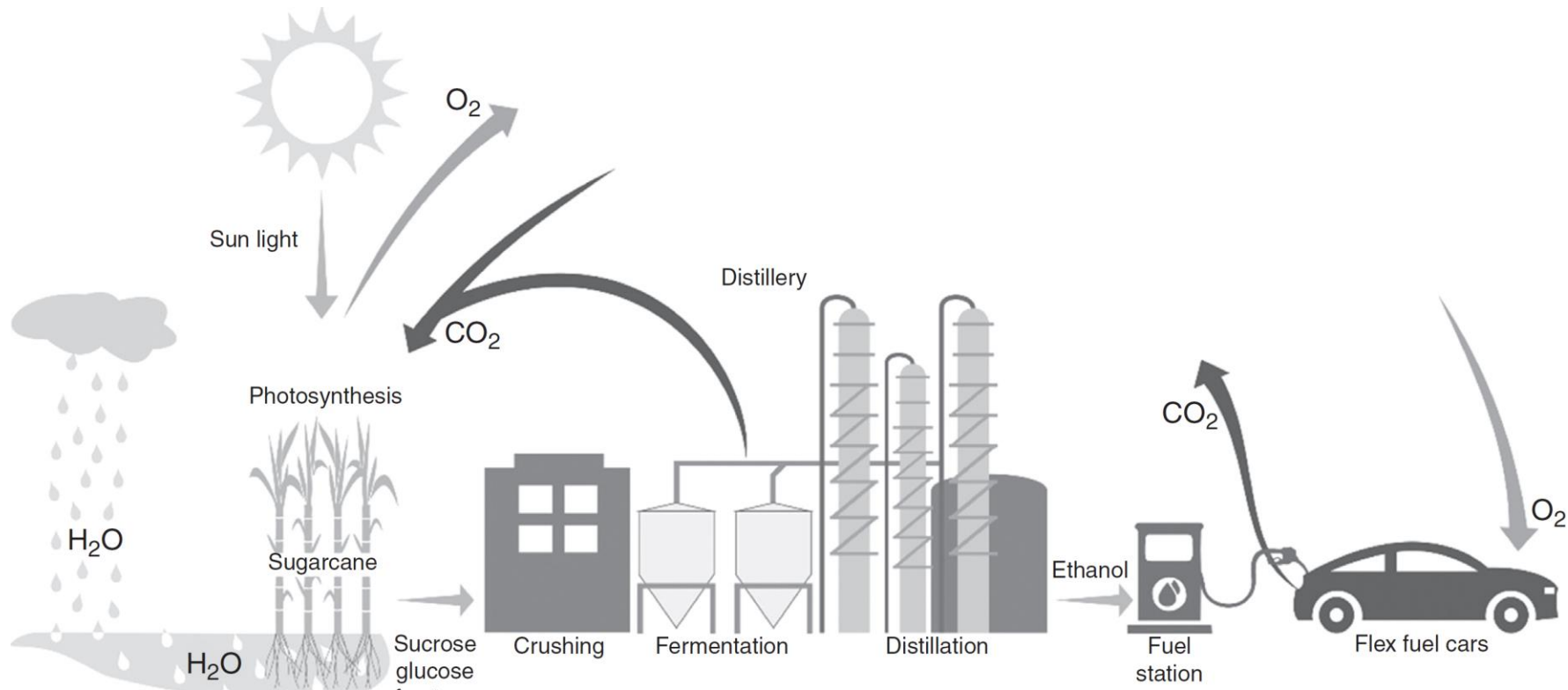
# Ethanol plant energy balance

Stream	Energy Flow (MMkcal/h)
Major energy inputs	
Stover feedstock	-316
Glucose	-8
Sulfuric acid	1
Ammonia	-4
Other chemicals	0
Well water	87
Total	-239
Major energy outputs	
Ethanol	-139
Cooling tower evaporation	-20
Combustion exhaust + ash	-12
Ambient heat losses	-63
Electricity export	-11
Electricity reserve	-4
WWT brine	8
Total	-240

- Energy balance performed to compare the energy products from the process (ethanol & electricity) to the potential energy in the biomass feed.

The percent of carbohydrate energy in the feed recovered as ethanol is about 72%

# Sugarcane ethanol in brazil



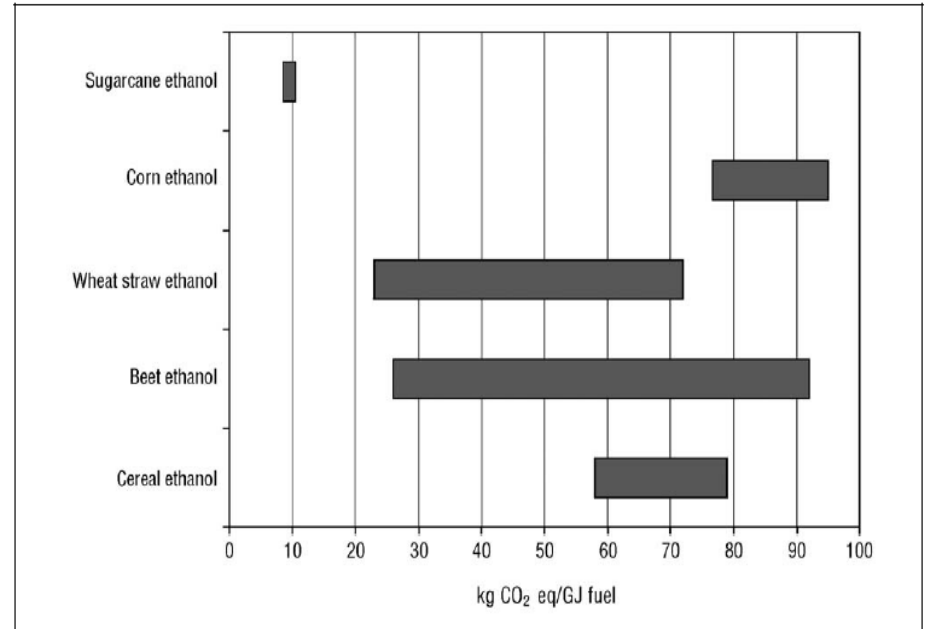
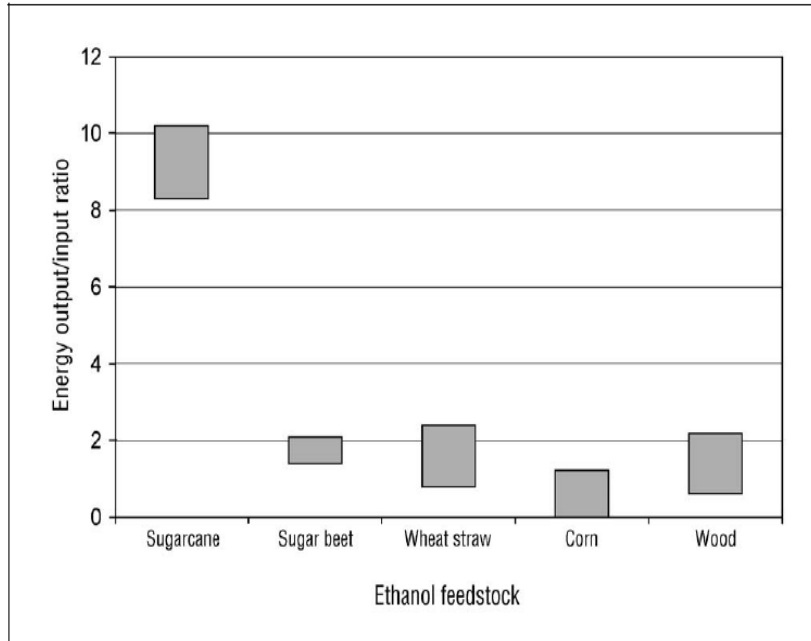
- $\text{CO}_2$  and  $\text{H}_2\text{O}$  absorbed and converted to sugars, which are fermented by yeasts to ethanol.

# Sugar cane to ethanol process





# Energy input and output



- Energy output and input from different feedstocks
- Greenhouse gas emissions from different fuels



# Comparison of ethanol from corn & sugarcane

Cost item	US corn wet milling	US corn dry milling	US sugarcane	Brazil sugarcane
Feedstock cost	0.40	0.53	1.48	0.30
Processing cost	0.63	0.52	0.92	0.51
Total cost	1.03	1.05	2.04	0.81

- Estimated ethanol production costs (\$ per gallon)
  - Excludes capital costs
  - Feedstock costs for U.S. corn wet and dry milling are net feedstock costs
  - USDA report (2006)

# Bioproducts from biorefinery

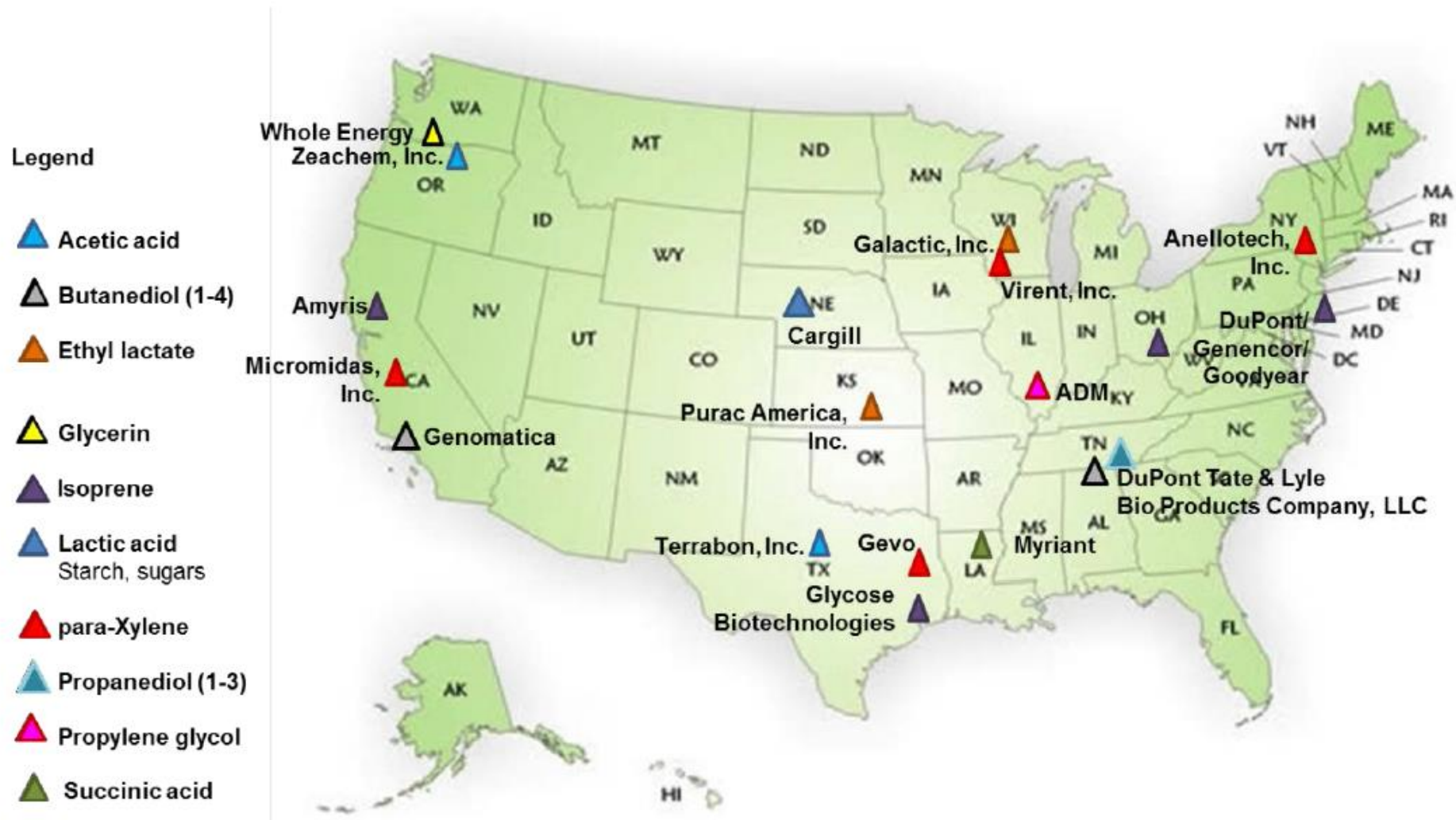
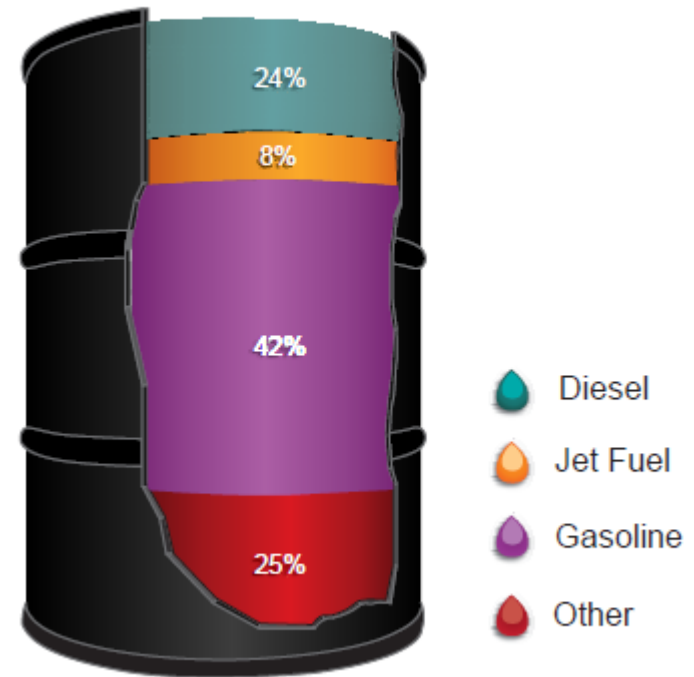


Figure 4. Overview of current and planned bioproduct facilities in the United States

# Replacing the whole barrel

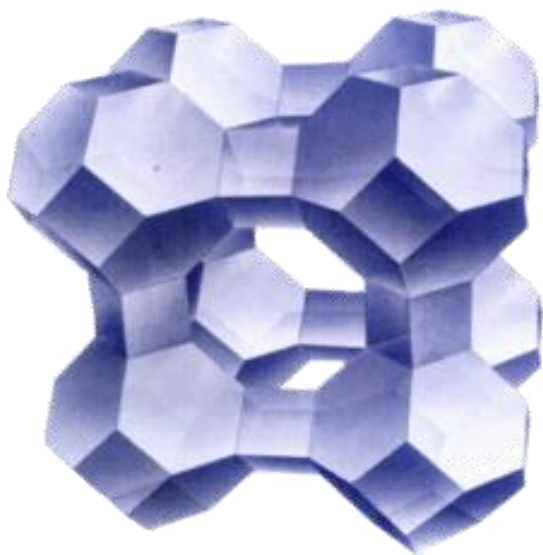
- Cellulosic ethanol can
  - displace only 42% of a barrel
  - Of crude oil (gasoline)
- Hydrocarbon biofuels
  - “drop-in” fuels to replace
  - Diesel, jet fuel and others
- 7% of barrel used to make
  - glues, solvents and plastics

**Uses of a Barrel of Crude Oil (by percentage)**

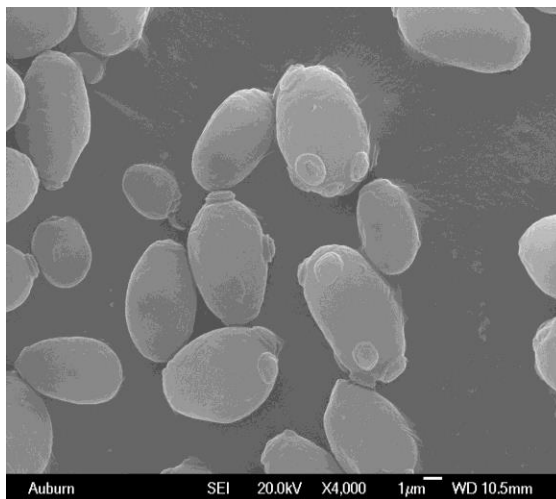


*Source: Energy Information Administration; data*

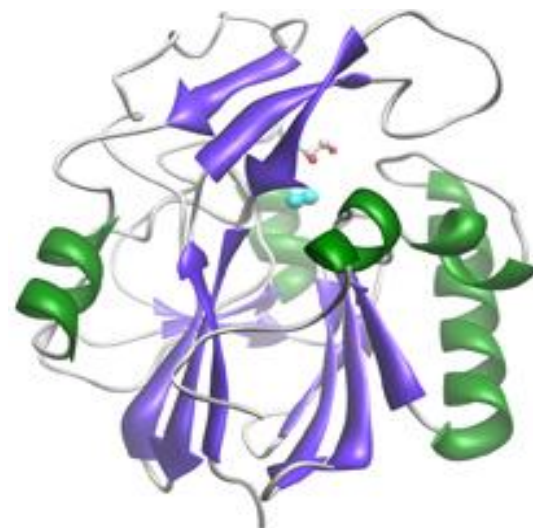
# Catalyst and biocatalyst



Zeolite



Yeast

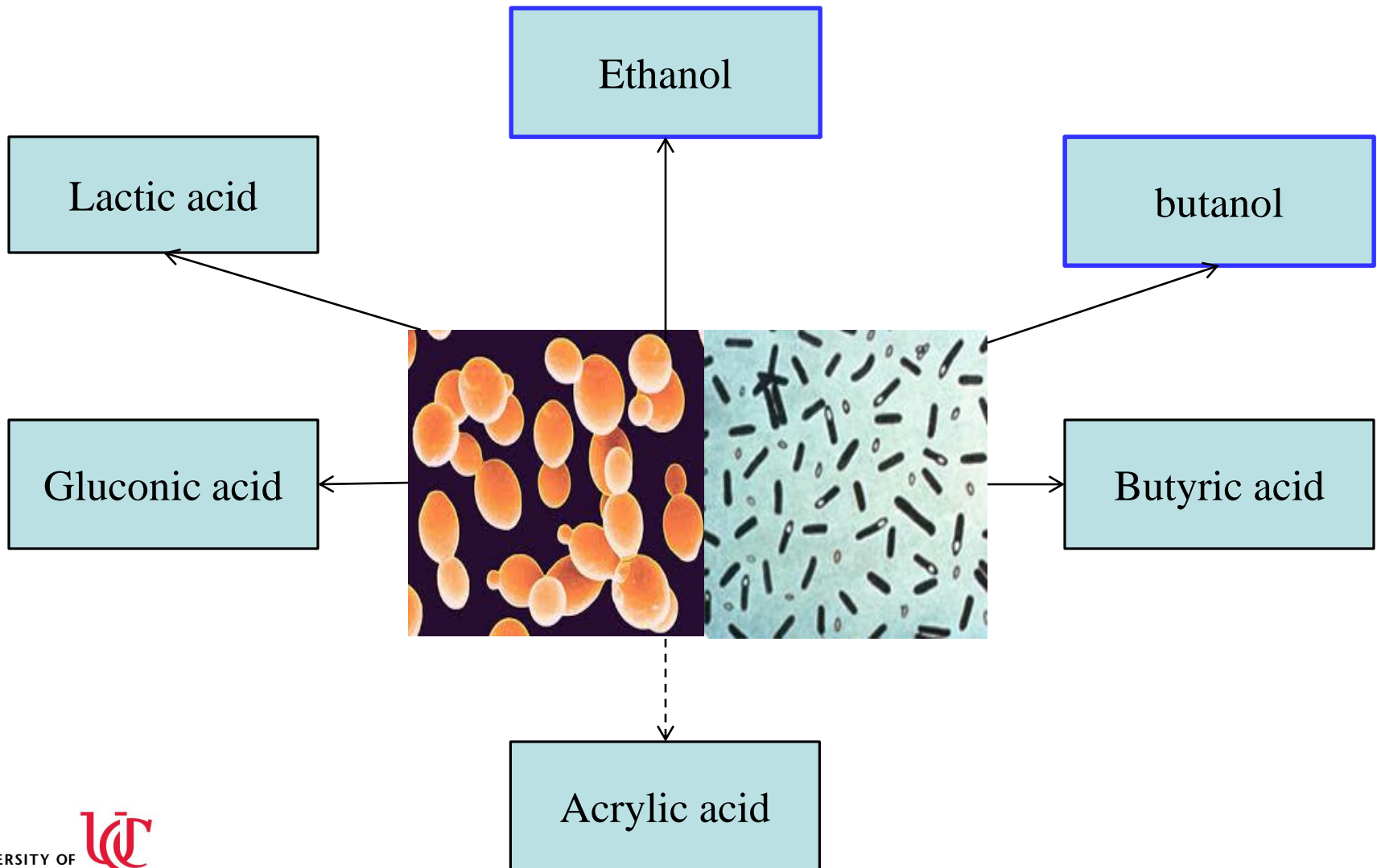


Enzyme

# Catalyst and process engineering

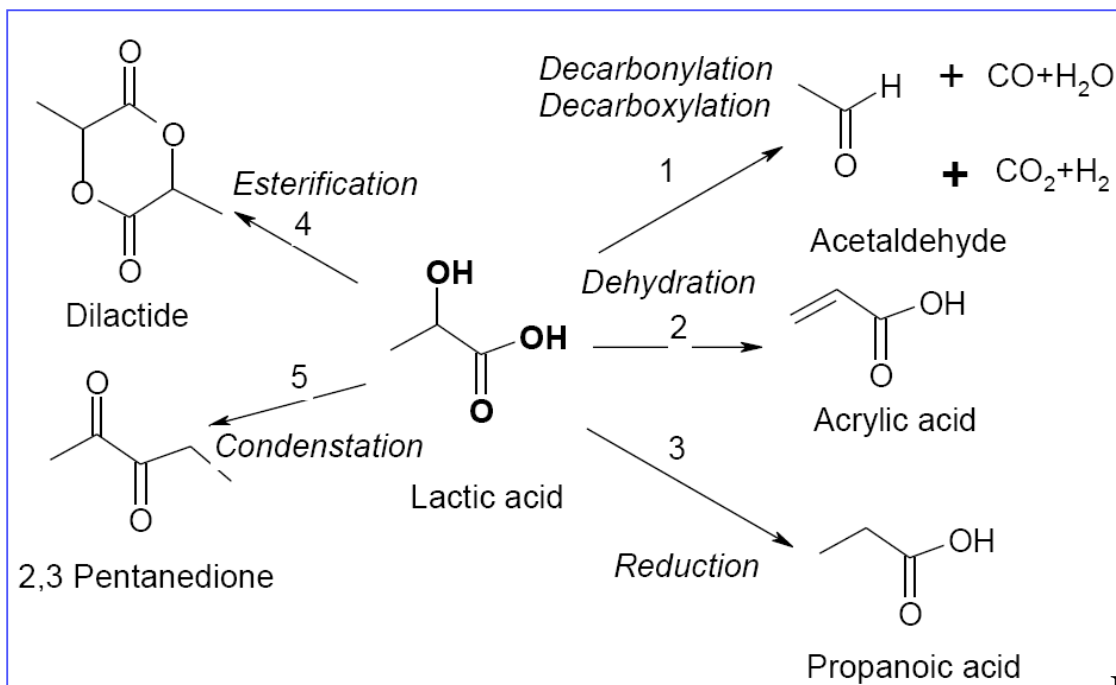
- Engineers turn molecules into money
  - develop and operate processes to convert raw materials into valuable products
  - Reactor design, process control, reaction kinetics, mass and heat transfer and separation
  - **Catalyst plays essential role** in many of these processes

# Tu research: fuels and chemicals



# Our research on acrylic acid

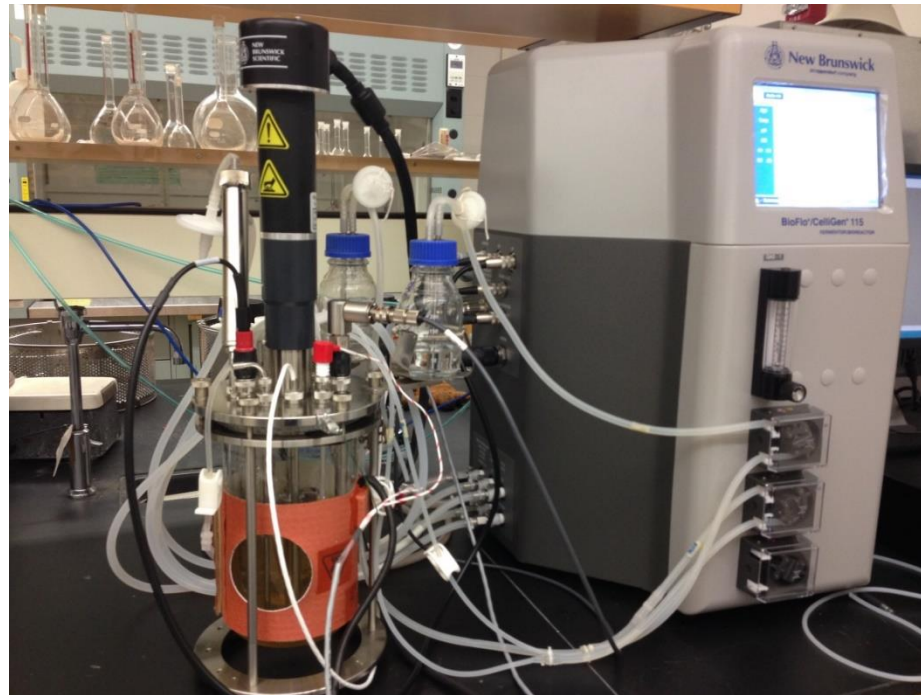
- Integrating biochemical conversion and chemical catalysis to produce new chemicals
  - Biomass to lactic acid by fermentation
  - Catalytic conversion of lactic acid to acrylic acid





# Our research on butanol

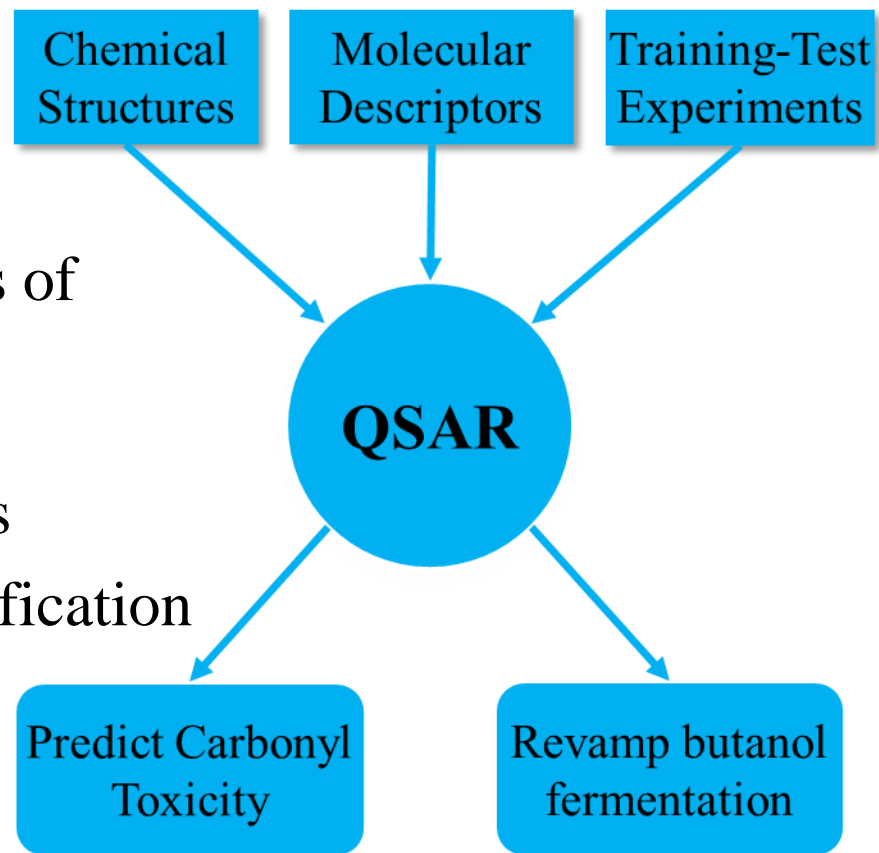
- Biofuels and bioproducts manufacturing
  - Butanol production from renewable biomass by *Clostridium acetobutylicum*
  - Carbonyl inhibition of biofuels production



# New approach

- Quantitative structure-activity relationship (QSAR) approach

- Computational study
- Experimental determination
- ❖ Predict the inhibitory effects of
  - ❖ degradation compounds
- ❖ Identify the potent inhibitors
- ❖ Design new selective detoxification



# Objectives and Hypothesis

- Objectives:
  - Establish QSAR between molecular descriptors and inhibitory effects
    - of carbonyl compounds on microbial fermentation
  - Design carbonyl-based selective chemical reactions
    - For detoxifying biomass hydrolysates
- Hypothesis:
  - *Inhibition of carbonyl compounds is governed by their electrophilic reactivity to biological nucleophiles, the reactivity is further dominated by physicochemical properties*

# Formation of carbonyl inhibitors

- Furans**

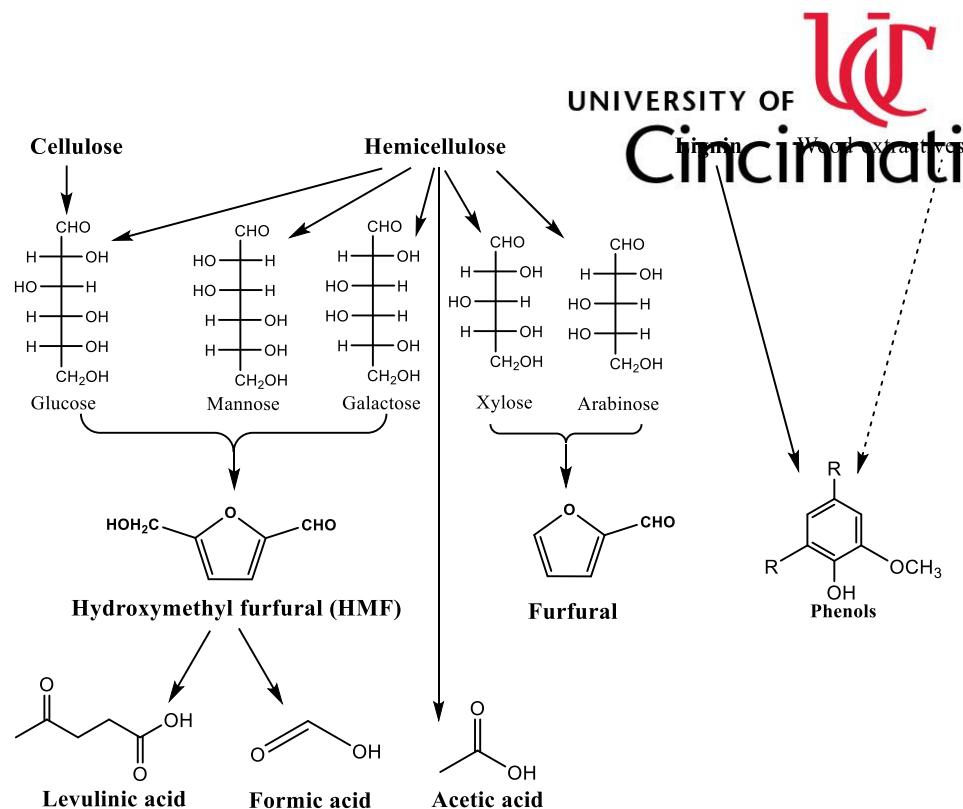
- From sugars (Furfural and HMF)
- Toxicity low

- Carboxylic acids**

- Aliphatic acid from sugar
- Phenolic acid from lignin

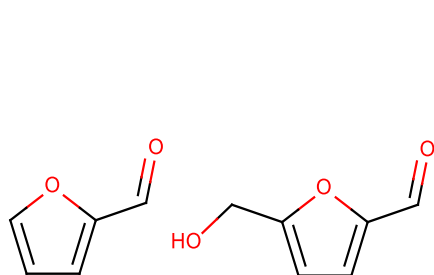
- Phenolic compounds**

- Mostly from lignin and extractives
- Low concentration
- Higher toxicity



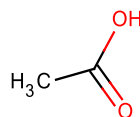
**Questions:** What are the most potent inhibitors?

# Fermentation inhibitors: carbonyl compounds

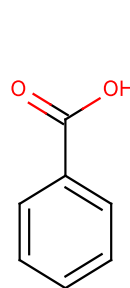


Furfural

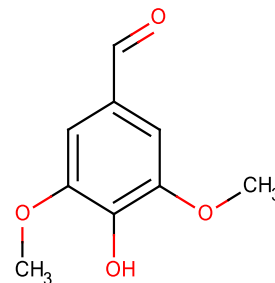
HMF



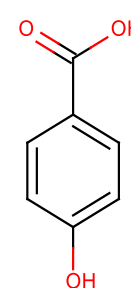
Acetic acid



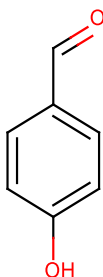
Benzoic acid



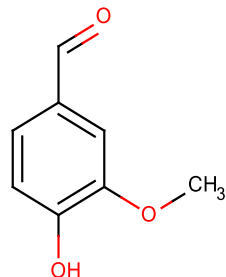
Syringaldehyde



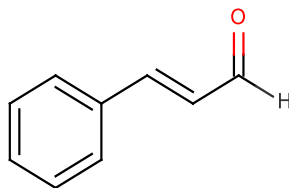
4-Hydroxybenzoic acid



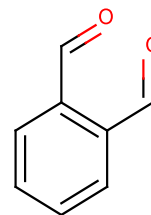
4-Hydroxybenzaldehyde



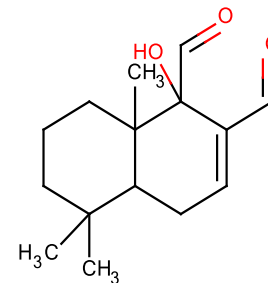
Vanillin



Cinnamaldehyde



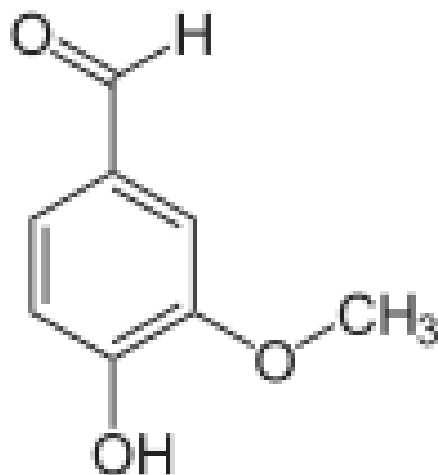
Ortho-phthalaldehyde



Warburganal

# Critical issues and questions

- What are the *most potent inhibitors*?
- How can they be *selectively removed*?
- Which *functional groups* are responsible for their inhibition?



# Methods

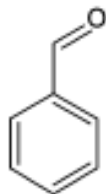
- Calculate the physiochemical properties of model compounds
  - The  $E_{LUMO}$ ,  $E_{HOMO}$ , dipole moment ( $\mu$ ), molar refractivity (MR) calculated by semi-empirical methods using Gaussian 09. The electrophilicity index ( $\omega$ ) calculated by the equation :

$$\omega = \frac{\mu^2}{2\eta} = \frac{E_{HOMO}^2 + 2E_{HOMO}E_{LUMO} + E_{LUMO}^2}{4(E_{LUMO} - E_{HOMO})}$$

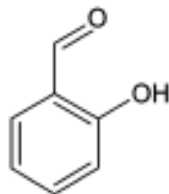
- Determine the inhibitory effects of model carbonyl compounds on yeast fermentation
  - Glucose consumption rate
  - Final ethanol yield



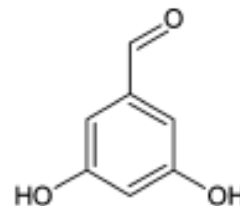
# Phenolic model compounds



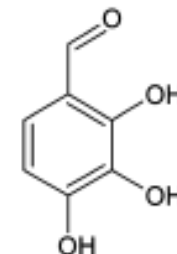
benzaldehyde



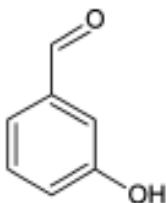
2-hydroxybenzaldehyde



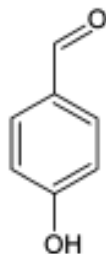
3,5-dihydroxybenzaldehyde



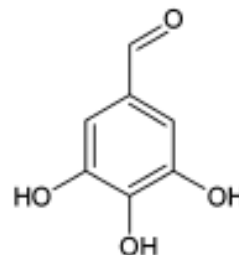
2,3,4-trihydroxybenzaldehyde



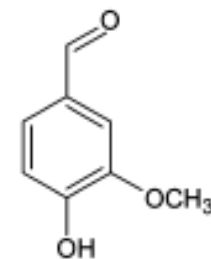
3-hydroxybenzaldehyde



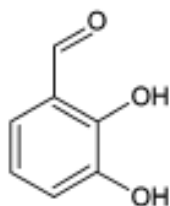
4-hydroxybenzaldehyde



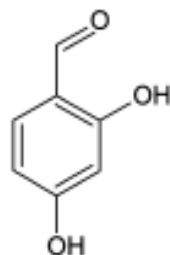
3,4,5-trihydroxybenzaldehyde



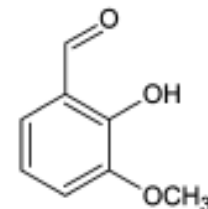
vanillin



2,3-dihydroxybenzaldehyde



2,4-dihydroxybenzaldehyde



o-vanillin

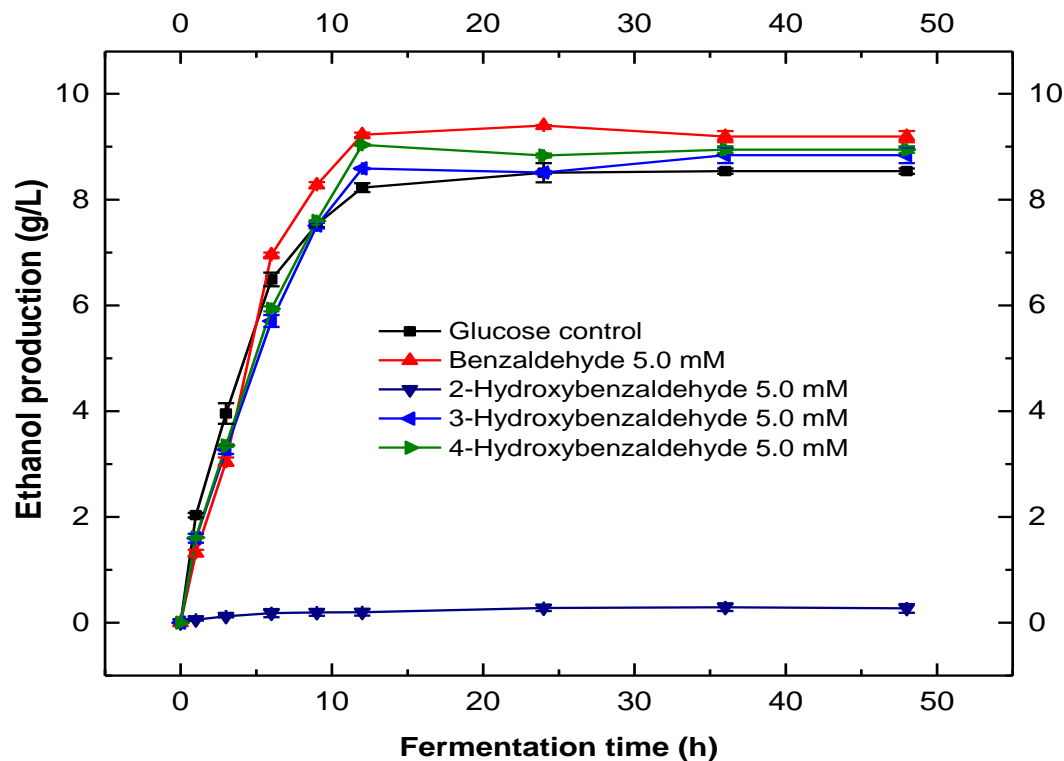
# Substitution Effects of Phenolic Aldehyde Inhibition on fermentation

compound	concentration (mM)	$Y_{\text{EtOH}}^a$ (g/g)	$\text{EC}_{50}^b$ (mM)				
glucose control		$0.42 \pm 0.00$	N/A	2,3,4-trihydroxybenzaldehyde	10.0	$0.08 \pm 0.00$	5.2
benzaldehyde	40.0	$0.03 \pm 0.00$	27.5		5.0	$0.17 \pm 0.00$	
	20.0	$0.35 \pm 0.02$			2.5	$0.44 \pm 0.00$	
	10.0	$0.47 \pm 0.01$			1.0	$0.44 \pm 0.01$	
	5.0	$0.46 \pm 0.01$					
2-hydroxybenzaldehyde	5.0	$0.01 \pm 0.00$	0.9	3,5-dihydroxybenzaldehyde	40.0	$0.44 \pm 0.00$	>40
	2.5	$0.02 \pm 0.00$			20.0	$0.44 \pm 0.01$	
	1.0	$0.22 \pm 0.03$			10.0	$0.44 \pm 0.00$	
	0.5	$0.42 \pm 0.00$			5.0	$0.44 \pm 0.00$	
3-hydroxybenzaldehyde	40.0	$0.03 \pm 0.00$	14.9	3,4,5-trihydroxybenzaldehyde	40.0	$0.43 \pm 0.00$	>40
	20.0	$0.10 \pm 0.00$			20.0	$0.43 \pm 0.00$	
	10.0	$0.44 \pm 0.00$			10.0	$0.43 \pm 0.00$	
	5.0	$0.44 \pm 0.01$			5.0	$0.43 \pm 0.01$	
4-hydroxybenzaldehyde	40.0	$0.05 \pm 0.00$	18.6	vanillin	40.0	$0.13 \pm 0.00$	25.9
	20.0	$0.18 \pm 0.00$			20.0	$0.22 \pm 0.00$	
	10.0	$0.46 \pm 0.00$			10.0	$0.43 \pm 0.00$	
	5.0	$0.44 \pm 0.00$			5.0	$0.42 \pm 0.00$	
2,3-dihydroxybenzaldehyde	5.0	$0.05 \pm 0.00$	0.9	<i>o</i> -vanillin	5.0	$0.05 \pm 0.00$	1.5
	2.5	$0.06 \pm 0.00$			2.5	$0.07 \pm 0.01$	
	1.0	$0.11 \pm 0.00$			1.0	$0.43 \pm 0.00$	
	0.5	$0.45 \pm 0.00$			0.5	$0.43 \pm 0.00$	
2,4-dihydroxybenzaldehyde	10.0	$0.06 \pm 0.00$	2.1				
	5.0	$0.07 \pm 0.00$					
	2.5	$0.18 \pm 0.01$					
	1.0	$0.44 \pm 0.00$					

<sup>a</sup> $Y_{\text{EtOH}}$  represents the ethanol yield at 48 h based on original glucose.

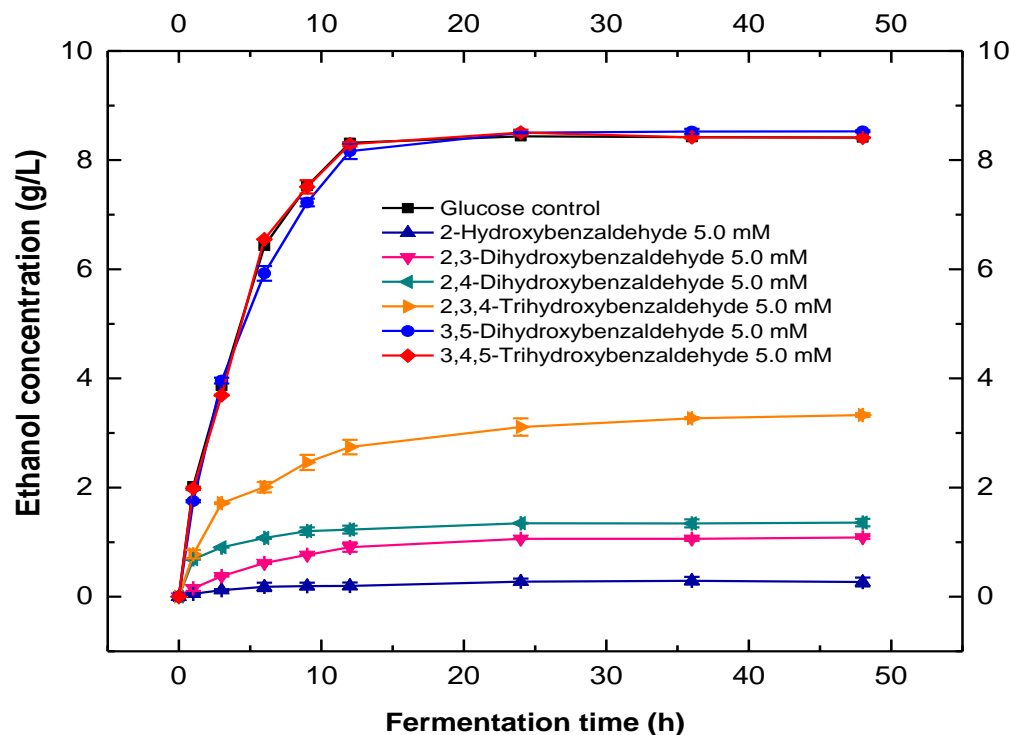
<sup>b</sup> $\text{EC}_{50}$  represents the concentration of phenolic aldehydes resulting in a final ethanol yield of 50% of the control at 48 h.

# Effect of 2-, 3- and 4-hydroxybenzaldehydes on fermentation



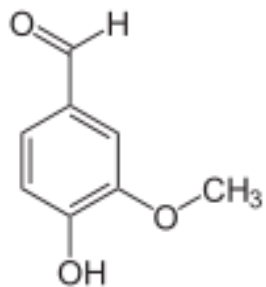
- 2-hydroxybenzaldehyde showed 30-fold higher inhibition activity than benzaldehyde
- *Ortho*-substituted 2-hydroxybenzaldehyde resulted in 15-20 fold higher inhibition than the meta- or para-substituted analogues of 3- and 4-hydroxybenzaldehydes

# Effects of di- and trihydroxybenzaldehydes on yeast fermentation

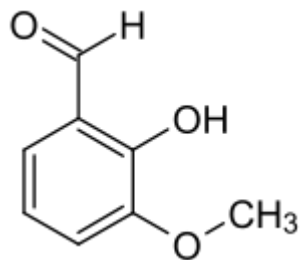


- 3,5-dihydroxybenzaldehyde was much less inhibitory ( $EC_{50}$ ,  $> 40$  mM) than 2,3- and 2,4-dihydroxybenzaldehydes ( $EC_{50}$ , 0.9-2.1 mM)
- *Ortho* -OH group can influence the inhibition significantly.

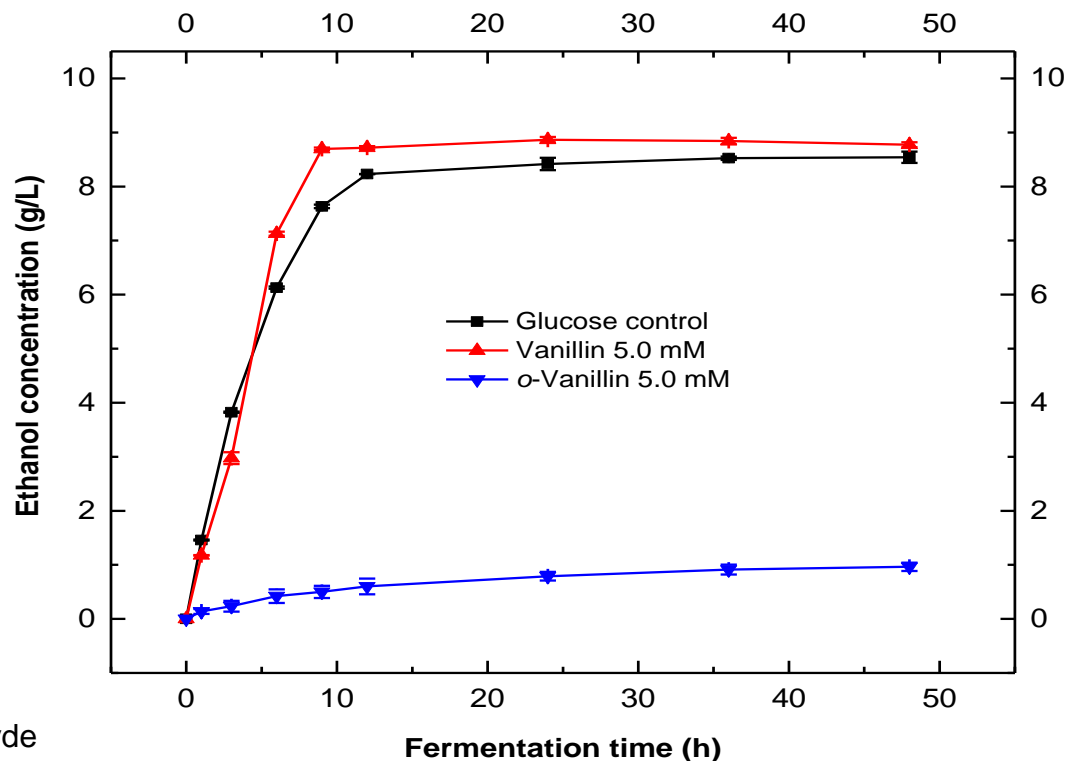
# Effect of vanillin and o-vanillin on fermentation



4-Hydroxy-3-methoxybenzaldehyde



2-Hydroxy-3-methoxybenzaldehyde



- Methoxyl group not important in benzaldehyde inhibition
- the position of –OH group contributed to the higher inhibitory activity of o-vanillin

# Physicochemical descriptors and inhibitory activity

Compound	$C_{\text{carb}}$	$O_{\text{carb}}$	$C_1$	$\text{Log } P$	$E_{\text{HOMO}}$ (a.u)	$E_{\text{LUMO}}$ (a.u)	Dipole (Debye)	$\omega$	$\text{Log } EC'_{50}$ <sup>a</sup>
Benzaldehyde	0.435	0.529	0.177	1.69	-0.3255	-0.0394	3.380	0.116	4.439
2-Hydroxybenzaldehyde	0.427	0.510	0.224	2.03	-0.2990	-0.0322	4.331	0.103	2.954
3-Hydroxybenzaldehyde	0.436	0.525	0.154	1.38	-0.3011	-0.0407	4.372	0.112	4.173
4-Hydroxybenzaldehyde	0.433	0.539	0.209	1.38	-0.3015	-0.0305	4.481	0.102	4.270
2,3-Dihydroxybenzaldehyde	0.428	0.508	0.213	1.73	-0.2872	-0.0318	5.711	0.100	2.954
2,4-Dihydroxybenzaldehyde	0.425	0.519	0.252	1.73	-0.2950	-0.0220	4.959	0.092	3.322
3,5-Dihydroxybenzaldehyde	0.437	0.520	0.134	1.08	-0.2940	-0.0410	4.138	0.111	4.602
2,3,4-Trihydroxybenzaldehyde	0.426	0.515	0.235	1.43	-0.2888	-0.0218	6.474	0.090	3.716
3,4,5-Trihydroxybenzaldehyde	0.434	0.531	0.163	0.78	-0.2911	-0.0323	5.630	0.101	4.602
Vanillin	0.433	0.547	0.190	1.22	-0.2860	-0.0299	2.286	0.097	4.413
<i>o</i> -Vanillin	0.428	0.511	0.218	1.87	-0.2820	-0.0276	5.939	0.094	3.114

<sup>a</sup>Log  $EC'_{50}$  represents Log ( $EC_{50} \cdot 1000$ ), in which the concentration unit of  $EC_{50}$  was changed from mM to  $\mu\text{M}$ .

# Quantitative structure-inhibition relationship

<i>Regression</i>	<i>n</i>	<i>r</i> <sup>2</sup>	<i>S</i>	<i>F</i>	<i>P</i>
$\text{Log } EC'_{50} = -53.19 + 132.36 C_{\text{carb}}$	10	0.73	0.37	24.70	<0.001
$\text{Log } EC'_{50} = -17.97 - 41.75 O_{\text{carb}}$	10	0.60	0.45	13.69	0.005
$\text{Log } EC'_{50} = 6.57 + 13.79 C_1$	10	0.57	2.56	11.79	0.007
$\text{Log } EC'_{50} = 6.10 - 132.36 \log P$	10	0.69	0.40	19.58	0.002
$\text{Log } EC'_{50} = 2.01 - 19.89 E_{\text{HOMO}}$	10	0.12	0.66	1.25	0.293
$\text{Log } EC'_{50} = 2.26 + 50.55 E_{\text{LUMO}}$	10	0.25	0.61	2.99	0.118
$\text{Log } EC'_{50} = 5.11 - 0.265 \text{ Dipole}$	10	0.23	0.62	2.68	0.136
$\text{Log } EC'_{50} = -0.17 - 39.79 \omega$	10	0.25	0.61	3.01	0.117
$\text{Log } EC'_{50} = -31.71 + 85.50 C_{\text{carb}} - 0.86 \log P$	10	0.87	0.27	25.88	<0.001

- Strong association was observed between **log P** and **EC50** value.
- Good correlation observed between the partial charge on carbonyl carbon ( $C_{\text{carb}}$ ) and the EC50 value of aldehydes



# Summary

- *Ortho*-substituted 2-hydroxybenzaldehyde resulted in 15-20 fold higher inhibition than the *meta*- or *para*-substituted analogues of 3- and 4-hydroxybenzaldehydes.
- Strong relationship between  $\log P$  (octanol/water partition coefficient) of aldehydes and EC50.
- *Ortho* –OH group capable of forming an intramolecular hydrogen bond, which can potentially increase the cell membrane permeability and their toxicity.